# Adaptive Deontic Logics

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Preludium: Nathan’s predicament

One Friday evening, Nathan promises his mother that he will look after his little brother, Ben, on Saturday afternoon so that she can visit her sister. A couple of hours later, Nathan’s girlfriend Lisa calls. Being a typical teenager and hopelessly in love, he completely forgets about the promise he made earlier to his mother and agrees with Lisa to go with her to the cinema on Saturday afternoon (to see this cool movie – children under the age of 13 not allowed!) and to go for a veggie burger in the evening. On Saturday, Lisa rings at the door. Almost simultaneously, his mother puts on her coat, meanwhile saying “So, I’ll be back by five. Don’t forget…” Hearing this, Nathan remembers about both promises and immediately realizes what kind of situation he is in. Given his promises, there are several things he ought to do and it is clear that he cannot do them all. Keeping his promise to go for a veggie burger in the evening still seems feasible, but he cannot look after six year old Ben and at the same time take Lisa to this particular movie!

1 Introduction

Logical principles may fail to apply under certain conditions, and logical principles involving normative concepts are no exception. Even if we restrict our focus to the modalities “it is obligatory that” and “it is permitted that”, there are circumstances in which we cannot apply certain plausible rules of inference (unrestrictedly) on pain of highly undesirable outcomes or even plain triviality.

The example from the Preludium provides one kind of illustration of this phenomenon. It concerns a context in which an agent, in this case Nathan, faces several obligations that cannot be jointly fulfilled. In such contexts, several clusters of otherwise plausible principles involving obligations and permissions are problematic. Let us look at two instances of such clusters.

Consider first the combination of the principle that whatever is obligatory is also permissible (OIP), and the principle of the interdefinability of obligation and permission (ID):

(OIP) If A is obligatory, then A is also permitted: \( O A \supset P A \)

(ID) A is obligatory iff \( \neg A \) is not permitted: \( O A \equiv \neg P \neg A \)

If both A and its negation \( \neg A \) are obligatory (\( O A \land O \neg A \)), then by (ID) and the first conjunct, \( \neg P \neg A \). However, by (OIP) and the second conjunct, \( P \neg A \). So we obtain a plain contradiction: \( \neg P \neg A \land P \neg A \). Even if one is willing to accept that contradictions are not absurd, it seems hard to accept that conflicting obligations entail them. Opinions may differ on which of these two principles is the most salient one. It is clear, however, that at least one of them has to be abandoned or adequately restricted if we want to avoid the outcome that conflicting obligations entail plain contradictions.

A second cluster of principles which is problematic in the face of conflicting obligations consists of the aggregation principle (Agg), the principle that “ought implies can” (OIC), and the impossibility of contradictory states of affairs (CP):

(Agg) If A and B are obligatory, then so is their conjunction: \( O A \land O B \supset O (A \land B) \)
If something is obligatory, then it is also possible: $\text{O}A \supset \Diamond A$

Contradictions are impossible: $\Diamond (A \land \neg A)$

If $O A \land O \neg A$, then, by (Agg), $O(A \land \neg A)$ and hence by (OIC), $\Diamond (A \land \neg A)$. But this is in direct contradiction with (CP). Again, one of the principles from the cluster cannot be upheld (unrestrictedly) if we are to accommodate conflicting obligations, or at least if we want to avoid that such conflicts result in plain contradictions.

Besides conflicting obligations, there are other types of circumstances in which plausible logical principles may fail to apply. One that we want to consider here concerns the violation of conditional obligations, i.e. statements of the form "If $A$ is the case, then $B$ is obligatory" – in formulas, $O(B \mid A)$. Each of the rules of factual detachment (FD) and deontic detachment (DD) is intuitively appealing as a rule for detaching unconditional obligations from conditional ones:

(FD) If it is obligatory that $B$ given condition $A$, and if $A$ is the case, then it is obligatory that $B$: $A, O(B \mid A) \vdash O B$

(DD) If it is obligatory that $B$ given condition $A$, and if $A$ is obligatory, then it is obligatory that $B$: $O A, O(B \mid A) \vdash O B$.

The combination of (FD) and (DD) is known to cause trouble in so-called contrary-to-duty cases: cases in which a secondary obligation kicks in once a possibly conflicting primary obligation was violated. The following is an example of such a case.

Lisa and Nathan are a couple since one year. Lisa wants their first anniversary to be special and promises Nathan to take him to a “real” restaurant. As the restaurant is quite popular, there is no chance of being served, unless a reservation was made. So, if Lisa takes her boyfriend to this particular restaurant, she ought to make a reservation beforehand. Some days before their anniversary (and before Lisa made the reservation), she ends up in hospital and it is soon clear that she will have to stay there until after their anniversary. In view of her promise, she has the obligation to take Nathan to that particular restaurant on their anniversary and also the conditional obligation that, if she takes Nathan there, she has to make a reservation. However, as Lisa will not take Nathan to any restaurant on their anniversary, a second conditional obligation pops up: if she does not take Nathan to the restaurant on the planned day, she should not make a reservation (for that day in that restaurant).

Let us now see how the combination of (FD) and (DD) causes trouble for cases like this. If the obligation $O A$ is violated, i.e. $\neg A$ is the case, then the primary conditional obligation $O(B \mid A)$ leads to the unconditional obligation $O B$ via (DD), while the secondary (contrary-to-duty) obligation $O(\neg B \mid \neg A)$ leads to the unconditional obligation $O \neg B$ via (FD). In order to resolve this conflict, we must block the application of (DD) or that of (FD).

Alternatively, we could bite the bullet and accept the outcome that both $B$ and $\neg B$ are obligatory. But then our first illustration shows that we must give up other logical principles on pain of contradiction.
now, these examples merely serve to illustrate a general point. In the circumstances described above – conflicting obligations and contrary-to-duty cases – one cannot consider principles such as the ones just mentioned as unrestrictedly valid. This leaves the logician who wants to explicate our reasoning in such cases with various options. One is to simply reject those principles, and hence declare a number of intuitive inferences simply invalid. Our stance towards this option is perhaps best summarized by the following words of van Benthem [2004, p. 95]:

This is like turning down the volume on your radio so as not to hear the bad news. You will not hear much good news either.

A more promising option is to look for restricted versions or alternative, more fine-grained formulations of those principles. For instance, for the case of conflicting obligations, one may argue that (Agg) should only be applicable in case the conjunction of $A$ and $B$ is possible. For contrary-to-duty case, one may reformulate (FD) as a principle that concerns dynamic updates, rather than (mere) factual input – see e.g. [van Benthem et al., 2014] where this is proposed.

We will not pursue this second option here, even though occasionally we will show that some concrete instances of it fail to deliver an appropriate logic of normative reasoning, either on philosophical or on purely technical grounds. Instead, we will focus on a third option, i.e. to take (some of) these problematic principles to be only valid in a defeasible, context-sensitive way.

That this option seem well in line with our intuitions is easily demonstrated by returning to our examples. As soon as Nathan realizes that looking after Ben is incompatible with going to that particular movie with Lisa, it seems quite rational to reject the conclusion that he ought to do both. But, suppose that his mother also made him promise to walk the family dog on Saturday evening. Would it be rational that, in view of the conflict concerning his afternoon plans, he also rejects the conclusion that he ought to go with Lisa for a veggie burger (at 6pm) and take the dog for a walk (at 10pm)? It seems that the one should have no bearing on the other. What this comes to is that, even if it makes sense to withdraw applications of (Agg) upon realizing that $A$ and $B$ are mutually exclusive, this need not affect other applications of (Agg).

In a similar vein, it seems quite natural that certain applications of (DD) are upheld unless and until it turns out that the unconditional obligation in the premises is violated. That Lisa, although being hospitalized, nevertheless has the obligation to make the reservation feels contra-intuitive to all non-logicians. Is there something wrong with their intuitions? Not necessarily, and maybe even to the contrary. It seems quite justified that in cases like this, (DD) is treated as a defeasible rule of inference: the obligation is detached from the conditional obligation provided the unconditional obligation is not violated.

This is exactly what differentiates the third option from the first one. In our approach, we do not invalidate principles, we invalidate certain applications of principles and this is done only when and where necessary. This at once illustrates what we mean by context-sensitivity: whether an application of a certain principle or rule is validated or not depends on the specific context (the premises at issue).
The aforementioned clusters of principles governing obligations and permissions were originally introduced to hold unconditionally. The circumstances in which these principles are not (jointly) applicable, such as conflicts and violations, are often considered anomalous or exceptional. Other principles were acknowledged to be applicable only in a defeasible, context-sensitive manner right from their very introduction. We give only one example. Consider the *nullum crimen sine lege* principle: “If $A$ is not forbidden, then $A$ is permitted”. This principle is best thought of as a kind of default rule: assume (or infer) $P \land A$, unless $O \neg A$ follows from the premises. This rule is defeasible by its very nature, in the sense that at least some of its instances are violated in every interesting application context.

In order to apply inference rules in a logic in a context-sensitive, defeasible manner, the consequence relation of this logic has to be non-monotonic: given a set of premises from which a conclusion $A$ is derivable, it must be possible to revoke $A$ in the light of additional premises. Adaptive logics (henceforth, ALs) provide a natural way to explicate the premise-sensitive, defeasible application of certain inference rules in a formal logic.

ALs are built on top of a core logic, called the lower limit logic, the inference rules of which hold unconditionally and unrestrictedly. An AL strengthens its lower limit logic by allowing a number of additional inference rules to be applied relative to the specific premises at hand. The term “adaptive logic” originates from this premise-sensitivity: ALs “adapt” themselves to the premises under consideration.

Beside ALs, many other formalisms for modelling defeasible reasoning have been applied in a deontic context: default logic [Horty, 2012], defeasible deontic logic [Nute, 1999], [Ref Governatori chapter in this volume], formal argumentation theories [Gabbay, 2012; Prakken and Sartor, 2015; Straßer and Arieli, 2015 online first; Beirlaen and Straßer, 2016; van der Torre and Villata, 2014], input/output logic [Parent and van der Torre, 2013], etc. These different frameworks are all linked to one another and to ALs in various ways – see e.g. [Heyninck and Straßer, Forthcoming] for some recent comparisons.

There is, however, a distinctive feature of ALs that sets them apart from other approaches to non-monotonic reasoning, viz. their dynamic proof theory. The idea behind this proof theory is that the non-monotonicity of the logic’s consequence relation is pushed into the object-level proofs. This means that a given derivation in a proof can become rejected in the light of other derivations within that same proof.

Another important difference between the existing work on ALs and other types of non-monotonic logics, is the pivotal role that classical logic (henceforth CL) plays within the latter. ALs are, at least in origin, more pluralistic in spirit regarding the meaning of the classical connectives, thus opening up to new perspectives on defeasible reasoning that are hard to detect when one sticks to CL as one’s underlying monotonic logic.

\[\text{Formally, a logic } L \text{ is non-monotonic iff (if and only if) there are two sets of formulas } \Gamma \text{ and } \Delta \text{ and there is a formula } A \text{ such that } A \text{ is } L\text{-derivable from } \Gamma, \text{ while } A \text{ is not } L\text{-derivable from } \Gamma \cup \Delta.\]

\[\text{We will define and illustrate the dynamic proof theory of ALs in Section 3.}\]

\[\text{This aspect of ALs is nicely illustrated by our Section 7, where we introduce and discuss}\]
The current chapter’s aim is to motivate, explain, illustrate, and discuss the use of ALs in deontic logic. Published work on deontic ALs focusses mainly on conflict-tolerant deontic logics (logics that can accommodate conflicting obligations) and – to a lesser extent – on problems concerning factual and deontic detachment. So does the present chapter. Near the end of the chapter, however, we also indicate some of the possibilities that the adaptive logic framework creates for tackling other types of problems within deontic logic.

The outline of this chapter is as follows. For ease of reference, we start by recalling the basic definitions concerning Standard Deontic logic, henceforth SDL (Section 2). In Section 3 we provide an introduction to the framework of ALs. By way of illustration, we first present two very simple adaptive logics that can handle examples as the one from the prelude (Section 3.1).

In Sections 5–7 we present and discuss a variety of conflict-tolerant deontic ALs that move further away from the standard view: unlike the logics from Section 3.1, the logics from Sections 5–7 have lower limit logics that are inferentially weaker than SDL. Section 4 provides the conceptual and technical basis for this discussion. Whereas Sections 5 and 6 are mainly based on existing work, Section 7 presents mostly new material that we think improves on the existing work in a number of ways – we explain this in Section 7.4.

Section 8 summarizes the merits and demerits of the conflict-tolerant ALs presented throughout Sections 3–7. In that section we also show how the simple logics introduced in Section 3.1 can be further refined in various ways.

The other main application of existing deontic ALs concerns the problem of detaching conditional obligations. We distinguish between various approaches to this problem in Section 9, and discuss adaptive versions of each of them.

In Section 10 we show how the *nullum crimen sine lege* principle can be captured within the AL framework, and how this gives rise to various extensions of the logics defined in previous sections. This at once paves the way for our last section in which we give a short summary of the chapter and point to ideas for future research.

Throughout this chapter our focus is on the illustration and motivation of the core ideas we present, rather than on formal details and meta-theoretical results. Whenever relevant, we provide pointers to more details in the literature, cf. the subsections “further reading and open ends”.

Much of what we will write in this chapter builds on Lou Goble’s work on normative conflicts, which is nicely summarized in his contribution to the first volume of this handbook, [Goble, 2013]. We will provide references to specific parts of this (and other) work in due course. In general, we try to avoid overlap as much as possible, but whenever this maxim conflicts with keeping the present chapter self-contained, we give priority to the latter.

We end this section with some more general comments regarding the plurality and diversity of logics to be discussed in this chapter. Our stance on the matter can be described as follows.

For a start, various logics present themselves as useful depending on the specific type of application context, and the associated logical grammar one wants to study. But even if we keep the grammar fixed, there are various (adaptive) paraconsistent deontic logics.
reasons for occupying oneself with not one but many logics for this grammar. That logic – even the logic of our most basic connectives like conjunction – is not god-given, and that there are no absolute grounds for preferring one logic over another, seems hardly contested nowadays. So all one can do is give pragmatic arguments, referring to general desiderata for logics on the one hand, and the needs of a given application on the other.

In the context of conflict-tolerant deontic logics, one way to argue for diversity is by referring to various explosion principles, as discussed in Section 4.2. For instance, if one does not need to accommodate conflicts between obligations and permissions, or if one can safely assume within a given domain that norms are at least internally consistent, then this should translate to one’s preferred logic for that domain. Moreover, there are many different ways one can interpret the $O$ of a given (conflict-tolerant or other) deontic logic, which will yield different formal semantics and hence different logics in turn.

Going non-monotonic (or in our case, going adaptive) does not reduce this plurality – quite to the contrary. To use Makinson’s words [2005, p. 14]:

Leaving technical details aside, the essential message is as follows. Don’t expect to find the nonmonotonic consequence relation that will always, in all contexts, be the right one to use. Rather, expect to find several families of such relations, interesting syntactic conditions that they sometimes satisfy but sometimes fail, and principal ways of generating them mathematically from underlying structures.

Indeed, it will become clear throughout this chapter that there are usually several interesting and sensible ways of going adaptive, starting from a given lower limit logic. In the absence of further philosophical arguments against the resulting logics, one needs to keep an open mind and study all of them.

2 Some formal preliminaries

Languages Throughout this chapter, we use $A, B, \ldots$ as metavariables for formulas of a given formal language, and $\Gamma, \Delta, \ldots$ as metavariables for sets of such formulas.

Let henceforth $CL$ stand for the propositional fragment of classical logic, as based on a set of propositional variables (also called sentential letters) $\mathcal{S} = \{p, q, \ldots\}$, the connectives $\neg, \lor, \land, \supset, \equiv$, and the logical constants $\bot, \top$. We use $W$ to denote the set of well-formed formulas in this language.

The language of $SDL$, is obtained by adding to the grammar of $CL$ the modal operators $O$ for “it is obligatory that” and $P$ for “it is permitted that”. We take both $O$ and $P$ (and the classical connectives) to be primitive by default in this chapter; i.e. whenever one is defined in terms of the others in one logic or another, we will indicate so. For the sake of simplicity, we will focus on the fragment of this language in which no nested occurrences of $O$ and $P$ are allowed. This means that the set of well-formed formulas for $SDL$ is defined as follows:

\[ W^d := W \mid \neg \langle W^d \rangle \mid \langle W^d \rangle \lor \langle W^d \rangle \mid \langle W^d \rangle \land \langle W^d \rangle \mid \langle W^d \rangle \supset \langle W^d \rangle \mid \langle W^d \rangle \equiv \langle W^d \rangle \mid O \langle W \rangle \mid P \langle W \rangle \]

Axiomatization The logic $SDL$ is obtained by adding to $CL$ the following axioms, rule, and definition:
It is well-known that in the presence of (N), (K) can equivalently be expressed as
the combination of the axiom of aggregation (Agg) and the rule of inheritance (Inh):

\[ (\text{Agg}) \quad (OA \land OB) \supset O(A \land B) \]
\[ (\text{Inh}) \quad \text{if } \vdash A \supset B, \text{ then } \vdash OA \supset OB \]

whence SDL can be equivalently characterized by adding (N), (Agg), (Inh), (D), and (DefP) to CL. Note also that in the presence of (Agg), (D) is equivalent to the following principle:

\[ (P) \quad \neg O(A \land \neg A) \]

For ease of reference, we note some more derivable principles of SDL. The first is the axiom of distributivity (of \(O\) over \(\land\)):

\[ (\text{Dist}) \quad O(A \land B) \supset (OA \land OB) \]

Second, the replacement of equivalents rule (RE) is an immediate consequence of the behavior of \(\supset\) and \(\equiv\) in CL and (Inh):

\[ (\text{RE}) \quad \text{if } \vdash A \equiv B, \text{ then } \vdash OA \equiv OB \]

Third and last, in view of (Agg), (Inh), and the validity of disjunctive syllogism (DS) in CL, we have:

\[ (\text{DDS}) \quad (OA \land O(\neg A \lor B)) \supset OB \]

**Semantics** We work with the traditional Kripke-semantics for SDL, but to prepare for the semantics of other logics to be presented below, we work with a designated “actual” world. An SDL-model \(M\) is a quadruple \(\langle W, w_0, R, v \rangle\), where \(W\) is a non-empty set of worlds, \(w_0\) is the actual world, \(R \subseteq W \times W\) is a serial\(^5\) accessibility relation and \(v : W \rightarrow S\) is a valuation function. \(R(w)\) (the image of \(w\) under \(R\)) is the set of worlds that are accessible from the viewpoint of \(w\), \(R(w) = \{ w' \mid (w, w') \in R \}\).

The semantic clauses for the sentential variables and the connectives are as usual; those for \(O\) and \(P\) are as follows:

\[ (SC1) \quad M, w \models OA \text{ iff } M, w' \models A \text{ for all } w' \in R(w) \]
\[ (SC2) \quad M, w \models PA \text{ iff } M, w' \models A \text{ for some } w' \in R(w) \]

Truth of a formula \(A\) at a world \(w\) is given by the relation \(\models\). Truth in a model \(M = \langle W, w_0, R, v \rangle\) is simply truth at \(w_0\). We say \(M\) is a model of \(\Gamma\) iff all the members of \(\Gamma\) are true in \(M\), i.e. if for all \(B \in \Gamma, M, w_0 \models B\). Semantic consequence is then defined as the preservation of truth in all models: \(\Gamma \vdash A\) iff \(A\) is true in all models of \(\Gamma\).

Following customary notation, let \([A]_M =_{df} \{ w \mid M, w \models A \}\). \([A]_M\) is also called the truth set (intension) of \(A\). Note that the semantic clause for \(O\) can be equivalently rewritten as follows: \(M, w \models OA \text{ iff } R(w) \subseteq [A]_M\).

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\(^5\) \(R\) is serial iff for every \(w \in W\), there is a \(w' \in W\) such that \(Rww'\).
Adaptive logics were originally introduced by Diderik Batens around the 1980s, and have since been applied to various forms of defeasible reasoning. The aim of this section is to highlight the basic features of ALs by means of a running example, viz. the logics $\text{SDL}_p^r$ and $\text{SDL}_p^m$. These logics can handle simple cases of conflicting obligations such as the running example from the beginning of this chapter. We explain the idea behind both logics in Section 3.1. Generic definitions for all ALs in the standard format from [Batens, 2007] are given in Section 3.2. We mention the most salient properties of all logics that are defined within this format in Section 3.3. Finally, we discuss some variants of the standard format that will turn out useful in the remainder of this chapter (Section 3.4).

3.1 The basics

Before introducing the logics $\text{SDL}_p^r$ and $\text{SDL}_p^m$, we present another predicament from Nathan’s life. The example will be used to illustrate the proof theory of $\text{SDL}_p^r$ and $\text{SDL}_p^m$.

One evening, Nathan comes home from school. As soon as he enters the kitchen, he hears his father: “Remember, Nathan, it’s your turn to do the dishes tonight. Do them this time!” His mother immediately adds: “And forget about playing with Ben tonight. Before supper, you will do nothing but your homework. Your grades are terrible lately!” Not too enthusiastically, Nathan heads towards his room to do his homework. As soon as he wants to enter it, his twin sister Olivia leaves hers, in great despair: “Nathan, you have to help me. I am on “Ben watch” tonight, but he is driving me crazy and I am expecting this really, really important phone call! Play with him until supper, will you? I’ll do anything for you in return!” Nathan finds himself again in a difficult situation. He can obey his father and do the dishes. No problem there. But what should he do until supper? Mary helps him out on a quite regular basis and he feels he ought to return the favor this time. But if he plays with Ben, he will not be able to do his homework.

This example and the one from the preludium have three important characteristics in common. The first is that they both concern a situation in which an agent faces several obligations, not all of which can be fulfilled. The second is that, for each of the separate obligations, there is some prima facie reason. In the example from the preludium, Nathan’s specific obligations hold in view of the general rule “One ought to keep one’s promises”. In this last example, the obligation that Nathan ought to do the dishes holds in view of his father’s command. The third characteristic is that, although not all obligations can be met, some of them can. Nathan cannot look after Ben and take Lisa to that particular movie, but he can go for a veggie burger in the evening. Similarly, Nathan cannot do his homework and at the same time play with Ben, but he can do the dishes.

In this chapter, we will use the term prima facie obligations for any obligation for which there is some prima facie reason (some general rule, a command, . . . ). As the examples show (and as we all know from daily life), there are situations

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6See Section 3.5 for references to the literature on ALs.
in which not all *prima facie* obligations can be *binding*. Nathan cannot go to that particular movie with Lisa (in view of his promise to her) and at the same time *not* go there (in view of his promise to his mother and the fact that six years are not allowed for this particular movie). We will use the term *actual obligations* for obligations that are binding and that should be acted upon.

Examples in which not all *prima facie* obligations can be met raise the following question: how do we decide, in a given situation, which *prima facie* obligations are actual obligations and which are not? A first answer to this question seems to be that at least those *prima facie* obligations should be considered as actual obligations that are not in conflict with any other *prima facie* obligation. This seems to capture nicely our intuitions behind the examples. The fact that Nathan made conflicting promises with respect to what he will do in the afternoon should not prevent him from going for a veggie burger in the evening. The fact that he cannot help out his twin sister as well as obey his mother should not rule out that he at least obeys his father.

This is exactly the idea behind the logics $\text{SDL}_r^p$ and $\text{SDL}_m^p$ presented in this section: *prima facie* obligations are considered as actual obligations *unless and until* it turns out that they are in conflict with some other *prima facie* obligation. Or, put in a somewhat different form, the logics $\text{SDL}_r^p$ and $\text{SDL}_m^p$ validate the inference of actual obligations from *prima facie* obligations as much as possible. The exact meaning of this “as much as possible” will become clear below.

The logics have two further characteristics in common: they allow us to (a) accommodate conflicts at the level of *prima facie* obligations, and (b) reason about actual obligations in the standard way (i.e., applying all axioms of SDL). What (a) comes to is that both logics are conflict-tolerant: they do not lead to unwanted conclusions in the face of conflicting *prima facie* obligations.

We will now show, step by step, how the logics $\text{SDL}_r^p$ and $\text{SDL}_m^p$ are obtained.

**The lower limit logic** In order to make the distinction between *prima facie* obligations and actual obligations, we will use a bi-modal language that contains two obligation operators: $\mathcal{O}^p$ and $\mathcal{O}$. The first is used for *prima facie* obligations, the second for actual obligations. The language is defined as follows:

$$\mathcal{W}^p := \mathcal{W} \mid \mathcal{O}^p (\mathcal{W}) \mid \neg (\mathcal{W}^p) \mid (\mathcal{W}^p) \vee (\mathcal{W}^p) \mid (\mathcal{W}^p) \supset (\mathcal{W}^p) \mid (\mathcal{W}^p) \wedge (\mathcal{W}^p) \mid (\mathcal{W}^p) \equiv (\mathcal{W}^p)$$

Note that we exclude nesting; i.e. none of the two operators occurs within the scope of another operator.

To obtain a logic that is tolerant with respect to conflicting *prima facie* obligations (characteristic (a) above), $\mathcal{O}^p$ is treated as a propertyless operator, a “dummy”. This means that e.g. *prima facie* obligations cannot be derived from other *prima facie* obligations. Characteristic (b) is realized by assuming that $\mathcal{O}$ is the ought-operator of SDL.

Let us call the resulting logic $\text{SDL}_p$ – it is just SDL extended with the dummy-operator $\mathcal{O}^p$. In AL terminology, what we have done so far is define

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Footnote: Our characteristics (a) and (b) correspond to Goble’s criteria of adequacy a) and b) for *prima facie* oughts versus all-things-considered oughts [Goble, 2013, p. 257].
the *lower limit logic* of our AL. This logic constitutes the monotonic core of the AL. In other words, it consists of all the principles (rules, axioms) that are unconditionally valid within the logic.  

In order to obtain a logic that validates the inference from *prima facie* obligations to actual obligations as much as possible, $\text{SDL}_p$ needs to be strengthened. One option that does *not* work is to simply add the axiom

\[(A) \quad O^p A \supset O A\]

to $\text{SDL}_p$. Let us call the resulting logic $\text{SDL}_p^+$. In this stronger logic, conflicts at the level of *prima facie* obligations will be trivialized: if $\vdash_{\text{CL}} \neg(A_1 \land \ldots \land A_n)$, then $O^p A_1, \ldots, O^p A_n \vdash_{\text{SDL}_p^+} B$ for any $B$. Of course, we could weaken the logic of $O$, but then we would loose characteristic (b). This shows that we need a more refined way to fulfill our aim. We will now show how this can be realized within the framework of adaptive logics.

**Going adaptive** What we need is a way to steer between $\text{SDL}_p$ and $\text{SDL}_p^+$, avoiding the weakness of the former but also the explosive character of the latter. More precisely, we need a defeasible, context-sensitive version of (A). This can be done by assuming that formulas like $O^p p \land \neg O^p p$, $O^p q \land \neg O^p q$, etc. are false *unless and until* proven otherwise.

In AL terminology, such formulas – the negations of defeasible assumptions – are called *abnormalities*. We will use $\Omega_p$ to refer to the set of all those abnormalities, i.e. all formulas of the form $O^p A \land \neg O A$.

In an adaptive proof, we can derive formulas on the assumption that certain abnormalities are false. This is most easily illustrated with an example. Let $d$ stand for “Nathan washes the dishes”, $b$ for “Nathan plays with Ben” and $h$ for “Nathan does his homework”. The *prima facie* obligations that Nathan faces in our second running example may then be formalized as $O^p d$, $O^p b$ and $O^p (\neg b \land h)$. An adaptive proof from $\Gamma = \{O^p d, O^p b, O^p (\neg b \land h)\}$ in which we try to derive the actual obligation for Nathan to wash the dishes ($O d$) may then look as follows:

1. $O^p d$   Prem   $\emptyset$
2. $O^p b$   Prem   $\emptyset$
3. $O^p (\neg b \land h)$   Prem   $\emptyset$
4. $O d \lor \neg O d$   SDL   $\emptyset$
5. $O d \lor (O^p d \land \neg O d)$   1; SDL   $\emptyset$
6. $O d$   5; RC   $\{O^p d \land \neg O d\}$

The fourth element of each line in this proof represents the condition of that line. This condition is always a (possibly empty) set of abnormalities. After

---

8The lower limit logic of every AL has to satisfy certain general desiderata, which will be spelled out in Section 3.2.

9To see why, note that in $\text{SDL}_p$, conflicting obligations are trivialized just as in $\text{SDL}$. If we moreover allow for the unrestricted application of (A), this means that also conflicts at the level of *prima facie* obligations are trivialized.

10Our terminology here and below suggests a link with Makinson’s *Default Assumption Consequence Relations* [Makinson, 2005]. Indeed, as shown in [Van De Putte, 2013], one can establish an exact correspondence between Makinson’s construction and ALs that use the minimal abnormality strategy.
introducing the premises on lines 1-3, we have used excluded middle to derive a new formula on line 4, and then derived line 5 using lines 1 and 4. We use “SDL” as a generic name for all properties of SDL. On line 6, Od is derived on the condition that the abnormality \( \neg Od \) is false. This is done by means of the rule RC (shorthand for conditional rule) which allows us to push abnormalities to the condition within an adaptive proof.

Here are two other applications of RC:

\[
\begin{align*}
7 & \quad Od \lor (Op \land \neg Od) \\
8 & \quad Od \\
9 & \quad O(-b \land h) \lor (Op(-b \land h) \land \neg O(-b \land h)) \\
10 & \quad O(-b \land h)
\end{align*}
\]

At this point, the reader may become suspicious. Clearly, Od and \( O(-b \land h) \) cannot both be true. By means of well-known SDL-principles, we can derive from our premises that at least one of the two corresponding abnormalities is true:

\[
11 \quad (Op \land \neg Od) \lor (Op(-b \land h) \lor \neg O(-b \land h)) \quad 2,3; \text{SDL} \quad \emptyset
\]

Formulas like the one on line 11 are called Dab-formulas (Dab is shorthand for “disjunction of abnormalities”). Note that this Dab-formula is derived on the empty condition. Hence, it is an unconditional consequence of the premises – it can never be false, if the premises are true. Moreover, it is minimal: neither of its disjuncts Op \( \land \neg Od \) or Op(-b \land h) \lor \neg O(-b \land h) is derived on the empty condition in the above proof.\(^{11}\)

At lines 8 and 10 respectively, we relied on the assumption that the first, respectively the second of these abnormalities is false. But line 11 clearly indicates that those two assumptions cannot be jointly true. So a mechanism is needed to retract the inferences at lines 8 and 10.

Formally, this is taken care of by a marking definition, which stipulates which lines are marked, and hence considered “out” at a given stage of an adaptive proof. How the marking proceeds depends on the so-called adaptive strategy. The logics SDLr and SDLm are based respectively based on the Reliability strategy and the Minimal Abnormality strategy. Let us look at these in turn.

**Reliability** For SDLr, a line is marked whenever its condition contains an abnormality that is a disjunct of a minimal Dab-formula that has been derived in the same proof. For instance, in the above example, lines 8 and 10 are marked, whereas all other lines are not marked. This is indicated by a \( \checkmark \)-symbol at the end of the line:

\[^{11}\text{In fact, neither of them can be derived in this proof on the empty condition, since they simply do not follow from } \Gamma \text{ by SDLp.}\]
In general, lines with an empty condition are never marked. But also those lines whose condition is not problematic in view of the minimal $\text{Dab}$-formulas in the proof remain unmarked (witness line 6 in the example). So at the end of the day, some instances of (A) are trustworthy in the light of the premises, while other instances of (A) are not. This illustrates the premise-sensitivity of adaptive logics that was mentioned in Section 1.\footnote{\textsuperscript{12}}

The fact that lines can become marked in a proof means that we cannot simply define logical consequence in terms of being derivable in a proof. We need a more robust notion of derivability; this is called \textit{final derivability}. The basic idea is that something is finally derivable if and only if it can be derived in a “stable” way. Spelling out this intuition is not as straightforward as it may seem, as it requires quantification over extensions of proofs. We refer to Definitions 3.3 and 3.4 in the next section for the exact details.

\textbf{Minimal Abnormality} The logic $\text{SDL}^m_p$ works in exactly the same way as $\text{SDL}^r_p$, except that the marking in both logics is slightly different. Consider the following continuation of our proof:

\begin{table}
\begin{tabular}{llll}
1 & $\text{Op}d$ & Prem & $\emptyset$ \\
2 & $\text{Op}b$ & Prem & $\emptyset$ \\
3 & $\text{Op}(-b \land h)$ & Prem & $\emptyset$ \\
4 & $\text{Od} \lor \neg \text{Od}$ & SDL & $\emptyset$ \\
5 & $\text{Od} \lor (\text{Op}d \land \neg \text{Od})$ & 1; SDL & $\emptyset$ \\
6 & $\text{Ob} \lor (\text{Op}b \land \neg \text{Ob})$ & 5; RC & $\{\text{Op}d \land \neg \text{Od}\}$ \\
7 & $\text{Od} \lor (\text{Op}b \land \neg \text{Ob})$ & 2; SDL & $\emptyset$ \\
8 & $\text{Ob}$ & 7; RC & $\{\text{Op}b \land \neg \text{Ob}\}$ ✓
\end{tabular}
\end{table}

\begin{table}
\begin{tabular}{llll}
9 & $\text{O}(\neg b \land h) \lor (\text{Op}(-b \land h) \land \neg \text{O}(-b \land h))$ & 3; SDL & $\emptyset$ \\
10 & $\text{O}(\neg b \land h)$ & 9; RC & $\{\text{Op}(-b \land h) \land \neg \text{O}(-b \land h)\}$ ✓
\end{tabular}
\end{table}

In general, lines with an empty condition are never marked. But also those lines whose condition is not problematic in view of the minimal $\text{Dab}$-formulas in the proof remain unmarked (witness line 6 in the example). So at the end of the day, some instances of (A) are trustworthy in the light of the premises, while other instances of (A) are not. This illustrates the premise-sensitivity of adaptive logics that was mentioned in Section 1.\footnote{\textsuperscript{12}}

The fact that lines can become marked in a proof means that we cannot simply define logical consequence in terms of being derivable in a proof. We need a more robust notion of derivability; this is called \textit{final derivability}. The basic idea is that something is finally derivable if and only if it can be derived in a “stable” way. Spelling out this intuition is not as straightforward as it may seem, as it requires quantification over extensions of proofs. We refer to Definitions 3.3 and 3.4 in the next section for the exact details.

\textbf{Minimal Abnormality} The logic $\text{SDL}^m_p$ works in exactly the same way as $\text{SDL}^r_p$, except that the marking in both logics is slightly different. Consider the following continuation of our proof:

Some may argue that, in light of the premise set, the inferences at lines 8 and 10 were never rational in the first place. Admittedly, in cases like $\Gamma$ above, it can easily be seen which \textit{prima facie} obligations can make it into actual obligations, and which cannot on pain of triviality. But then again, such cases are not the only ones we may encounter in practice. Conflicts may exist between many different \textit{prima facie} obligations, and they may be very hard to trace. Once we move to the predicate level, it may even be undecidable whether a certain set of \textit{prima facie} obligations is consistent. One may well be calculating up to eternity before ever knowing for sure whether a certain inference is safe.

\footnote{\textsuperscript{12}Some may argue that, in light of the premise set, the inferences at lines 8 and 10 were never rational in the first place. Admittedly, in cases like $\Gamma$ above, it can easily be seen which \textit{prima facie} obligations can make it into actual obligations, and which cannot on pain of triviality. But then again, such cases are not the only ones we may encounter in practice. Conflicts may exist between many different \textit{prima facie} obligations, and they may be very hard to trace. Once we move to the predicate level, it may even be undecidable whether a certain set of \textit{prima facie} obligations is consistent. One may well be calculating up to eternity before ever knowing for sure whether a certain inference is safe.}
Note first that, since we used the formula on line 8 to derive the one on line 12, the latter inherits the condition of the former. Likewise, line 13 is derived on the same condition as line 10. Taken together, lines 12 and 13 indicate that $O(b \lor q)$ is true if either of the abnormalities in the $Dab$-formula at line 11 is false.

Should lines 12 and 13 in this proof be marked? Clearly, there is a problem with at least one of the two involved abnormalities. But does that mean that both are true? Can’t we assume that one of both is false – even if we don’t know which one that is?

The answer to these questions depends on how cautious a reasoner you are. Each of these lines of reasoning – the one according to which lines 12 and 13 should be marked, and the one according to which they shouldn’t be – is modeled by the adaptive strategy. According to the reliability strategy (usually indicated with a superscript $r$), both lines are marked. According to minimal abnormality, they are both unmarked. In general, reliability is slightly weaker (more cautious) than minimal abnormality – see Theorem 3.15.

We now turn to the general characterization of ALs. A critical discussion of the logics $SDL^r_p$ and $SDL^m_p$ is postponed until Section 8. There we evaluate $SDL^r_p$ and $SDL^m_p$ by various criteria that are introduced in Section 4.

### 3.2 The standard format

The locus classicus for the standard format is Batens’ [2007]; an earlier version of it appeared in [Batens, 2001]. Here, we will follow the more recent presentation from [Batens, 2015], indicating minor differences where they occur. We will only explain the general characteristics, and refer to the works just cited for more details.

Standardly, a logic is defined as a function $f : \wp(W) \to \wp(W)$, where $W$ is a set of formulas in some formal language. This also holds for adaptive logics. For adaptive logics in standard format, the language should at least contain the classical disjunction $\lor$.\footnote{The assumption that the language contains a classical disjunction can be questioned on philosophical grounds. In [Odintsov and Speranski, 2013; Batens, 2015] it is shown that one can do without this assumption, if one rephrases everything in terms of multi-conclusion sequents.} For reasons of convenience, we will in this chapter...
assume that the language also contains the classical negation \( \neg \).

Every logic \( \text{AL}^x \) is defined by a triple:

1. A lower limit logic \( \text{LLL} : \mathcal{P}(W) \rightarrow \mathcal{P}(W) \). This is a reflexive, transitive, monotonically compact logic\(^{14}\) that has a characteristic semantics and for which at least the disjunction \( \lor \) behaves classically.
2. A set of abnormalities \( \Omega \subseteq W \) that is specified in terms of one or several logical forms.
3. An adaptive strategy: Reliability (when \( x = r \)) or Minimal Abnormality (when \( x = m \)).

For instance, the adaptive logic \( \text{SDL}^r_p \) from Section 3.1 is defined by the triple \( \langle \text{SDL}^r_p, \Omega_p, r \rangle \); the logic \( \text{SDL}^m_p \) is defined by \( \langle \text{SDL}^p, \Omega_p, m \rangle \). The logical form that specifies \( \Omega_p \) is \( O_p A \land \neg O_A \). In general, it is required that only countably many logical forms specify the set of abnormalities.

In the remainder of this section, we presuppose a fixed \( \text{LLL} \), \( \Omega \), and strategy \( x \in \{ r, m \} \). We use \( \text{Dab}(\Delta) \) to denote the (classical) disjunction of the members of \( \Delta \), where it is presupposed that \( \Delta \) is a finite subset of \( \Omega \).

**Proof theory** The core idea behind the adaptive proof theory is to take all the inference rules of the lower limit logic for granted and to additionally allow for defeasible applications of some rules. Defeasible inferences in adaptive proofs are conditional. Hence, the usual way in which lines in proofs are presented – by a line number, a formula, and a justification – is enriched by a fourth element: a condition. A condition in turn is a set of abnormalities.

Suppose some formula \( A \) is derived on the condition \( \{ B_1, B_2, \ldots, B_n \} \subseteq \Omega \). The intended reading is that \( A \) is derived on the assumption that all the abnormalities \( B_1, \ldots, B_n \) are false.

Adaptive proofs are characterized by three generic rules and a marking definition. Let us first discuss the generic rules. In what follows we skip the line numbers and justification of lines.

\[
\begin{align*}
\text{Prem} & \quad \text{If } A \in \Gamma: \\
& \quad : : \\
& \quad A \quad \emptyset \\
\text{RU} & \quad \text{If } A_1, \ldots, A_n \vdash_{\text{LLL}} B: \\
& \quad : : \\
& \quad A_1 \quad \Delta_1 \\
& \quad : : \\
& \quad A_n \quad \Delta_n \\
& \quad B \quad \Delta_1 \cup \ldots \cup \Delta_n \\
\text{RC} & \quad \text{If } A_1, \ldots, A_n \vdash_{\text{LLL}} B \lor \text{Dab}(\Theta): \\
& \quad : : \\
& \quad A_1 \quad \Delta_1 \\
& \quad : : \\
& \quad A_n \quad \Delta_n \\
& \quad B \quad \Delta_1 \cup \ldots \cup \Delta_n \cup \Theta
\end{align*}
\]

---

\(^{14}\)Let \( C_\pi \) be the consequence operation of a logic \( L \). \( L \) is **reflexive** if for all \( \Gamma \subseteq C_\pi(\Gamma) \). \( L \) is **transitive** if for all \( \Gamma, \Gamma' \) if \( \Gamma' \subseteq C_\pi(\Gamma) \), then \( C_\pi(\Gamma \cup \Gamma') \subseteq C_\pi(\Gamma) \). \( L \) is **monotonic** if for all \( \Gamma, \Gamma' \), \( C_\pi(\Gamma) \subseteq C_\pi(\Gamma \cup \Gamma') \). \( L \) is **compact** if for all \( \Gamma, A \), if \( A \in C_\pi(\Gamma) \), then there is a finite \( \Gamma' \subseteq \Gamma \) with \( A \in C_\pi(\Gamma') \).
By means of Prem, any premise may be introduced on the empty condition. Of course, we do not need any defeasible assumptions in order to state premises. The unconditional rule (RU) makes it possible to apply any inference rule of LLL in an adaptive proof. Note that RU may also be applied to lines that were derived on defeasible assumptions, i.e. where $\Delta_i \neq \emptyset$ for some $i \in \{1, \ldots, n\}$. The assumptions under which the $A_i$’s were derived thus carry forward to the line at which $B$ is derived. In virtue of Prem and RU, ALs inherit all the inferential power of LLL: any LLL-proof can be rephrased as an AL-proof just by adding the empty condition in the fourth column and by replacing the respective LLL-rules by Prem or RU.

In Section 3.1, we sometimes referred explicitly to the axiom that was used to derive a specific line in an adaptive proof. In the remainder we use RU as a metavariable for all axioms and (derivable) rules of the LLL; whenever useful we will indicate in footnotes which exact axioms were applied in order to derive a new line.

The rule that permits the introduction of new conditions in an adaptive proof is RC, the conditional rule. Suppose that we can derive $B \lor \text{Dab}(\Theta)$ by means of LLL, i.e. that either $B$ is the case or some of the abnormalities in $\Theta$. Then RC allows us to derive $B$ on the assumption that none of the abnormalities in $\Theta$ is true. Making this assumption amounts to adding all members of $\Theta$ to the condition by means of RC. Similarly as for RU, in case some of the lines that are used for the inference step are conditional inferences, we carry forward their conditions as well.

Apart from the possibility to make conditional derivations via RC, a second distinctive aspect of adaptive proofs is the marking definition, which is applied at each stage of a proof. A stage is simply a sequence of lines, obtained by the application of the above rules. For concrete examples, we will identify stages with their last line. So for example the last stage of the last proof displayed in Section 3.1 is referred to as stage 13.

$\text{Dab}(\Delta)$ is a Dab-formula at stage $s$ of a proof, if it is the second element of a line of the proof with an empty condition, and derived by means of RU. $\text{Dab}(\Delta)$ is a minimal Dab-formula at stage $s$ iff there is no other Dab-formula $\text{Dab}(\Delta')$ at stage $s$ such that $\Delta' \subset \Delta$. Where $\text{Dab}(\Delta_1), \text{Dab}(\Delta_2), \ldots$ are the minimal Dab-formulas at stage $s$ of a proof, let $\Sigma_s(\Gamma) = \{\Delta_1, \Delta_2, \ldots\}$. Finally, let $U_s(\Gamma) = \bigcup \Sigma_s(\Gamma)$.

Definition 3.1 (Marking for AL') A line $l$ is marked at stage $s$ iff, where $\Delta$ is its condition, $\Delta \cap U_s(\Gamma) \neq \emptyset$.

In terms of assumptions, this means that according to the reliability strategy, an assumption is “safe” at stage $s$ iff the corresponding abnormality is not a member of $U_s(\Gamma)$, and an inference is “safe” at $s$ iff it only relies on assumptions that are safe at $s$.

15Here, our terminology differs slightly from that in [Batens, 2015]. Batens uses the term “Dab-formula at stage $s$” for any disjunction of abnormalities derived at $s$, whereas we preserve it for those that have been derived by means of RU. Batens calls the latter “inferred Dab-formulas”.

Returning to our example of page 12, we can see that $\Sigma_{11}(\Gamma) = \{\{O^p b \land \neg O b, O^p(\neg b \land h) \land \neg O(\neg b \land h)\}\}$ and hence $U_{11}(\Gamma) = \{\{O^p b \land \neg O b, O^p(\neg b \land h) \land \neg O(\neg b \land h)\}\}$. This explains why lines 8 and 10 are marked at stage 11 of the proof.

The marking definition for minimal abnormality requires some more terminology. Where $\Sigma$ is a set of sets, we say that $\varphi$ is a choice set of $\Sigma$ iff for every $\Delta \in \Sigma$, $\varphi \cap \Delta \neq \emptyset$. $\varphi$ is a minimal choice set of $\Sigma$ iff there is no choice set $\psi$ of $\Sigma$ such that $\psi \subset \varphi$. Let $\Phi_s(\Gamma)$ be the set of minimal choice sets of $\Sigma_s(\Gamma)$.

Marking for minimal abnormality proceeds as follows:

**Definition 3.2 (Marking for AL$^m$)** A line $l$ with formula $A$ is marked at stage $s$ iff, where its condition is $\Delta$: (i) there is no $\varphi \in \Phi_s(\Gamma)$ such that $\varphi \cap \Delta = \emptyset$, or (ii) for a $\varphi \in \Phi_s(\Gamma)$, there is no line at which $A$ is derived on a condition $\Theta$ for which $\Theta \cap \varphi = \emptyset$.

In our simple example on page 13, $\Phi_{13}(\Gamma) = \{\{O^p b \land \neg O b\}, \{O^p(\neg b \land h) \land \neg O(\neg b \land h)\}\}$. In view of condition (ii) in Definition 3.2, lines 8 and 10 are marked for minimal abnormality at stage 13, but lines 12 and 13 are not. Note that all of these lines are marked for reliability.

If a line that has $A$ as its second element is marked at stage $s$, this indicates that according to our best insights at this stage, $A$ cannot be considered derivable. If the line is unmarked at stage $s$, we say that $A$ is derivable at stage $s$ of the proof. Since marks may come and go as a proof proceeds, we also need to define a stable notion of derivability. This definition is the same for both strategies.

Where $s$ is a proof stage, an extension of $s$ is every stage $s'$ that contains the lines occurring in $s$ in the same order. Hence putting lines in front of $s$, inserting them somewhere in between lines of $s$, or simply adding them at the end of $s$ may all result in an extension of $s$.

**Definition 3.3** $A$ is finally derived from $\Gamma$ on line $l$ of a stage $s$ iff (i) $A$ is the second element of line $l$, (ii) line $l$ is unmarked at $s$, and (iii) every extension of $s$ in which line $l$ is marked may be further extended in such a way that line $l$ is unmarked again.

**Definition 3.4** $\Gamma \vdash_{AL^x} A$ ($A \in Cn_{AL^x}(\Gamma)$) iff $A$ is finally derived at a line of an $AL^x$-proof from $\Gamma$.

Note that in order to be finally derivable, $A$ must be derived at a line $l$, where $l \in \mathbb{N}$. This means that every formula that is finally derivable from $\Gamma$ can be finally derived in a finite proof from $\Gamma$. However, we need a meta-level argument to show that clauses (ii) and (iii) in Definition 3.3 are satisfied, and hence that $\Gamma \vdash_{AL^x} A$.

**Semantics** On the supposition that LLL is characterized by a model theoretic semantics, one can also give a semantics for $AL^x$. The rough idea is as follows: from the set of LLL-models of a given premise set, $AL^x$ selects a subset of “preferred” models. Whatever holds in those preferred models, follows
What counts as a preferred model depends on the strategy used. For minimal abnormality, only those models of the premise set are selected which verify a $\subset$-minimal set of abnormalities. For reliability, a threshold of unreliable abnormalities (with respect to a given premise set $\Gamma$) is defined, and only the models that do not verify any abnormalities other than the unreliable ones, are selected.

To define the $\mathbf{AL}^x$-semantics in exact terms, we need some more notation. Validity of a formula $A$ in a model $M$ will be written as $M \models A$. $M$ is an $\mathbf{LLL}$-model of $\Gamma$ iff $M \models A$ for all $A \in \Gamma$. $\mathcal{M}_{\mathbf{LLL}}(\Gamma)$ denotes the set of $\mathbf{LLL}$-models of $\Gamma$. Where $M$ is an $\mathbf{LLL}$-model, its abnormal part is given by $\text{Ab}(M) = \{B \mid B \in \Omega, M \models B\}$.

For reliability, the selection of preferred models is in some sense analogous to the marking definition. $\text{Dab}(\Delta)$ is a minimal $\text{Dab}$-consequence of $\Gamma$ iff $\Gamma \vdash_{\mathbf{LLL}} \text{Dab}(\Delta)$ and there is no $\Delta' \subset \Delta$ for which $\Gamma \vdash_{\mathbf{LLL}} \text{Dab}(\Delta')$. Where $\text{Dab}(\Delta_1), \text{Dab}(\Delta_2), \ldots$ are the minimal $\text{Dab}$-consequences of $\Gamma$, let $\Sigma(\Gamma) = \{\Delta_1, \Delta_2, \ldots\}$. Let $U(\Gamma) = \bigcup \Sigma(\Gamma)$. We say that $U(\Gamma)$ is the set of unreliable formulas with respect to $\Gamma$.

**Definition 3.5** An $\mathbf{LLL}$-model $M$ of $\Gamma$ is reliable iff $\text{Ab}(M) \subseteq U(\Gamma)$.

**Definition 3.6** $\Gamma \models_{\mathbf{AL}^r} A$ iff $A$ is verified by all reliable models of $\Gamma$.

For minimal abnormality, the semantics’ simplicity stands in sharp contrast to the intricate marking definition:

**Definition 3.7** An $\mathbf{LLL}$-model $M$ of $\Gamma$ is minimally abnormal iff there is no $\mathbf{LLL}$-model $M'$ of $\Gamma$ such that $\text{Ab}(M') \subset \text{Ab}(M)$.

**Definition 3.8** $\Gamma \models_{\mathbf{AL}^m} A$ iff $A$ is verified by all minimally abnormal models of $\Gamma$.

In the remainder, we will denote the set of $\mathbf{AL}^x$-models of a set $\Gamma$ by $\mathcal{M}_{\mathbf{AL}^x}(\Gamma)$.

**Upper Limit Logic** The so-called upper limit logic of $\mathbf{AL}^x$ is defined as the Tarski-logic obtained by adding all negations of abnormalities as axioms to $\mathbf{LLL}$. That is, where $\Omega^- = \{\neg A \mid A \in \Omega\}$, $\Gamma \vdash_{\mathbf{ULL}} A$ iff $\Gamma \cup \Omega^- \vdash_{\mathbf{LLL}} A$. By the compactness of $\mathbf{LLL}$, $\Gamma \vdash_{\mathbf{ULL}} A$ iff there are $B_1, \ldots, B_n \in \Omega$ such that $\Gamma \cup \{\neg B_1, \ldots, \neg B_n\} \vdash_{\mathbf{LLL}} A$. $\mathbf{AL}^x$ can be seen as steering a middle course between $\mathbf{LLL}$ and $\mathbf{ULL}$ (see Theorem 3.15 below).

In our running example, $\mathbf{SDL}_p^r$ is the upper limit logic of both $\mathbf{SDL}_p^r$ and $\mathbf{SDL}_p^m$. Note that in general, $\mathbf{ULL}$ does not depend on the strategy of $\mathbf{AL}^x$.

---

16 Note that this is similar to the semantics of circumscription (where models are selected in which the abnormal predicates have a minimal extension) and Shoham-style preferential semantics (where all the $\prec$-minimal models are selected, for a given order $\prec$ on the $\mathbf{LLL}$-models). However, in $\mathbf{ALs}$, the selection depends on purely syntactic properties of the models, viz. the formulas (more specifically, the abnormalities) that they verify. This in turn gives $\mathbf{ALs}$ fairly strong meta-theoretic properties – see Section 3.3.

17 A Tarski-logic is a logic whose consequence relation is reflexive, monotonic, and transitive.
3.3 Some meta-properties of ALs in standard format

Once defined within the standard format, it is guaranteed that an AL satisfies a number of meta-properties. We only mention some of them here for the ease of reference. Their proofs can be found in [Batens, 2007].

First of all, the dynamic proof theory is sound and complete with respect to the semantics of AL$^x$:

**Theorem 3.9 (Soundness and Completeness)** $\Gamma \vdash_{AL^x} A$ iff $\Gamma \models_{AL^x} A$.

It follows from this result that one can rely on semantic considerations in order to prove that a formula $A$ is finally derivable from a given $\Gamma$. We will in the remainder rely freely on Theorem 3.9, switching between the semantic and proof theoretic consequence relation where suitable.

Recall that the semantics of an AL consists in selecting a subset of the LLL-models of $\Gamma$. Now, when a model $M$ is not selected, we should be able to justify this in terms of another model $M'$ that is selected, and is more normal than $M$. This is what the following theorem gives us:

**Theorem 3.10 (Strong Reassurance)** If $M \in M_{LLL}(\Gamma) - M_{AL^x}(\Gamma)$, then there is an $M' \in M_{AL^x}(\Gamma)$ such that $\text{Ab}(M') \subset \text{Ab}(M)$.

In other words, the preference relation defined in terms of $\subset$ and the abnormal part relation is smooth with respect to every set $M_{LLL}(\Gamma)$.

It is well-known that a selection semantics based on such a smooth preference relation warrants the following properties in turn:

**Theorem 3.11 (Consistency Preservation)** If $\Gamma$ has LLL-models, then $M_{AL^x}(\Gamma) \neq \emptyset$. Hence, $\Gamma$ is AL$^x$-trivial iff $\Gamma$ is LLL-trivial.

**Theorem 3.12 (Cumulative Indifference)** If $\Gamma' \subseteq Cn_{AL^x}(\Gamma)$, then $Cn_{AL^x}(\Gamma) = Cn_{AL^x}(\Gamma \cup \Gamma')$.

In the literature on non-monotonic logics, cumulative indifference is often divided into two properties: cumulative transitivity or cut (if $\Gamma' \subseteq Cn_{AL^x}(\Gamma)$, then $Cn_{AL^x}(\Gamma \cup \Gamma') \subseteq Cn_{AL^x}(\Gamma)$) and cumulative or cautious monotonicity (if $\Gamma' \subseteq Cn_{AL^x}(\Gamma)$, then $Cn_{AL^x}(\Gamma) \subseteq Cn_{AL^x}(\Gamma \cup \Gamma')$).

Strong reassurance, consistency preservation, and cumulative indifference are generally considered desirable for non-monotonic consequence relations, see e.g. [Makinson, 2005]. It speaks in favor of ALs (in standard format) that they satisfy each of these properties. In particular, cautious monotonicity is a very intuitive property: if a formula follows from a premise set $\Gamma$, then it ought to follow from any $\Gamma'$ that is obtained by extending $\Gamma$ with some logical consequences of $\Gamma$. The extended premise set $\Gamma'$ contains no genuinely new information, as the additions are in a sense already contained in $\Gamma$.

Suppose that $\Gamma$ and $\Gamma'$ are LLL-equivalent, i.e. $Cn_{LLL}(\Gamma) = Cn_{LLL}(\Gamma')$. It follows that they have the same set of LLL-models and that $U(\Gamma) = U(\Gamma')$.

---

18A partial order $\prec$ is smooth with respect to a set $X$ if for all $x \in X$, either $x$ is $\prec$-minimal in $X$, or there is some $\prec$-minimal $y \in X$ such that $y \prec x$.

19See e.g. [Makinson, 1994].
Hence in view of the semantics, they will also have the same ALx-models, and hence be ALx-equivalent. So we have a fairly straightforward criterion to decide when two premise sets are equivalent according to ALx:

**Theorem 3.13 (Equivalence)** If $Cn_{LLL}(\Gamma) = Cn_{LLL}(\Gamma')$, then $Cn_{ALx}(\Gamma) = Cn_{ALx}(\Gamma')$.

The next property on the list is specific to ALs, as it concerns the notion of an abnormality. It will be of particular use in Sections 5-7.

Say a premise set $\Gamma$ is normal iff $\Gamma \cup \{\neg A \mid A \in \Omega \}$ is not LLL-trivial; in other words, iff it is ULL-consistent. The theorem states that every adaptive logic is as powerful as its upper limit logic when normal premise sets are concerned:

**Theorem 3.14 (ULL-recapture)** $\Gamma$ is a normal premise set iff $Cn_{ALx}(\Gamma) = Cn_{ULL}(\Gamma)$.

The last theorem simply recalls the relation between LLL, ALr, ALm and ULL, which was illustrated in Section 3.1:

**Theorem 3.15** $Cn_{LLL}(\Gamma) \subseteq Cn_{ALr}(\Gamma) \subseteq Cn_{ALm}(\Gamma) \subseteq Cn_{ULL}(\Gamma)$.

### 3.4 Variants and extensions of the standard format

In this section, we briefly consider two variants of the standard format that are useful in the context of deontic reasoning; we will occasionally refer back to both variants in the remainder of this chapter. We focus on the essential ideas in both cases; the metatheory of these (and many other) variants of the standard format is studied at length in [Straßer, 2014, Chapter 5].

**Normal Selections** The minimal abnormality strategy corresponds to what is called the skeptical solution to the problem of multiple extensions in default logic. That is, $A$ is finally ALm-derivable from $\Gamma$ if and only if, for every maximal set $\Delta \subseteq \Omega$ such that $\Gamma \cup \Delta$ is LLL-satisfiable, $\Gamma \cup \Delta \vdash_{LLL} A$.

Rather than taking the universal quantification over such maximal sets, one may also quantify existentially over them. That is, say $\Gamma \vdash_{ALn} A$ iff there is a maximal set $\Delta \subseteq \Omega$ such that $\Gamma \cup \Delta \vdash_{LLL} A$. The superscript $n$ refers to “normal selections”, which is the name of the adaptive strategy of the resulting logics. Proof-theoretically, such logics are characterized in exactly the same way as ALs in standard format, with the only exception that the marking definition is simplified:

**Definition 3.16 (Marking for Normal Selections)** A line $l$ in a proof with condition $\Delta$ is marked at stage $s$ iff $Dab(\Delta)$ is derived on the empty condition at $s$.

---

20 Similar criteria for equivalence are discussed in [Batens et al., 2009]; an extended and updated version of this paper can be found in [Straßer, 2014, Chapter 4].
21 Our name for the theorem is inspired by discussions in paraconsistent logic, where a similar property is called “classical recapture” [Priest, 1987].
22 Analogous problems arise in Input/Output-logic, inheritance networks, and abstract argumentation, giving rise to similar distinctions between less and more cautious “modes of reasoning” – see [Straßer, 2014, Sect. 2.8] for more discussion.
23 This is a well-known property that is often used in the metatheory of ALs; see e.g. [Van De Putte, 2013] for a proof of it.
The consequence relation $\vdash_{\text{AL}}$ is usually very strong, and yet does not trivialize premise sets as long as they are LLL-consistent. However, it will not in general be closed under LLL. More generally, many of the nice properties we discussed in Section 3.3 can fail for $\vdash_{\text{AL}}$.

To understand this, consider the logic $\text{SDL}^p_{\Omega}$, defined by the triple $\langle \text{SDL}^p, \Omega_p, \text{normal selections} \rangle$.

Let $\Gamma = \{ \neg O_p p, O_p q, \neg O(p \land q) \}$. Note that this premise set has the following minimal Dab-consequence:

$$ (1) (O_p p \land \neg O_p) \lor (O_p q \land \neg O_q) $$

Since this is a minimal Dab-consequence of $\Gamma$, both $O_p$ and $O_q$ are individually compatible with $\Gamma$. Hence, both $O_p$ and $O_q$ are finally $\text{SDL}^p_{\Omega}$-derivable from $\Gamma$, on the respective conditions $\{ O_p p \land \neg O_p \}$ and $\{ O_p q \land \neg O_q \}$. However, $O_p \land O_q$ is not finally $\text{SDL}^p_{\Omega}$-derivable from $\Gamma$, since one needs to rely on the falsity of both abnormalities in order to obtain this conclusion. This shows that the consequence relation of $\text{SDL}^p_{\Omega}$ is not closed under the rule of conjunction, even if $\land$ behaves classically in the lower limit logic.

In the context of deontic logic, normal selections has been used to characterize one variant of Horty’s approach to conflicting obligations [Straßer et al., 2017]. Likewise, it has been applied to characterize constrained Input/Output-logics that are defined in terms of the join of the maximal unconflicted sets of generators [Straßer et al., 2016]. We will shortly return to the latter systems in Section 9.2.

Prioritized adaptive logics Another useful variation of the standard format is obtained by distinguishing between various types of abnormalities, and by giving priority to some of these when minimizing abnormality. This can be done in at least three clearly distinct ways – see [Van De Putte, 2012] for a detailed study of these. Here we will only discuss one of these three, viz. the so-called lexicographic ALs first presented in [Van De Putte and Straßer, 2012]; we moreover confine ourselves to the minimal abnormality-variant of these systems. Although these logics can be fully characterized in terms of a dynamic proof theory, we focus on their semantics, which is a straightforward generalization of the AL$^m$-semantics.

Let $\langle \Omega_i \rangle_{i \in I}$ (for $I \subseteq \mathbb{N}$) be a sequence of sets of abnormalities. Intuitively, the idea is that we consider the members of $\Omega_1$ to be the “worst” abnormalities; those of $\Omega_2$ as “slightly less problematic (yet still abnormal)”, etc. Thus, we want to make sure when selecting models, that we first minimize with respect to $\Omega_1$, next with respect to $\Omega_2$, etc. This is done in terms of a lexicographic order $\sqsubseteq$ on the abnormal parts of the models:

**Definition 3.17** Where $\Delta, \Delta' \subseteq \bigcup_{i \in I} \Omega_i$: $\Delta \sqsubseteq \Delta'$ iff there is a $j \in I$ such that (1) for all $k < j$ (if any), $\Delta \cap \Omega_k = \Delta' \cap \Omega_k$ and (2) $\Delta \cap \Omega_j \subset \Delta' \cap \Omega_j$.

The preference relation $\sqsubseteq$ on abnormal parts of models yields a smooth preference relation on every set $\mathcal{M}_{\text{LLL}}(\Gamma)$ [Van De Putte and Straßer, 2012]. Hence, just as for minimal abnormality, we can select the $\sqsubseteq$-minimal models of a premise set and define semantic consequence in terms of those models. Then
it is again a matter of routine to show that this consequence relation satisfies all the nice properties of the standard format.

For an illustration of this format of ALs, let us suppose that \textit{prima facie} obligations come in various degrees \(i \in \mathbb{N}\) of importance, where degree 1 is most important, degree 2 is slightly less important, etc. Let \(O^i_p A\) denote that \(A\) is \textit{prima facie} obligatory, with degree \(i\). Then intuitively, we expect that from \(\{O^1_p p, O^2_q q, O^2_r r, \neg O(p \land q)\}\) we can derive \(O_p\) but not \(O_q\). Moreover, we also expect \(O_r\) to be derivable, since \(r\) is not involved in the conflict. This is exactly the result we obtain if we define our sequence of sets of abnormalities as \(\{\{O^i_p A \land \neg O A\}\}_{i \in \mathbb{N}}\).

The format of lexicographic ALs is relatively new; the first ideas for it date back to 2010. It has been applied to deontic logic in [Van De Putte and Straßer, 2013], where a lexicographic variant of the logic from [Meheus \textit{et al.}, 2012] is proposed.

### 3.5 Further reading

The first ALs were developed a little before 1980 by Diderik Batens, as a new, “dialectical” approach to (non-explosive) reasoning with inconsistent theories.\(^{24}\) Nowadays these logics are called “inconsistency-adaptive logics” – more on them in Section 7.\(^{25}\)

From its first days, this research was pluralist in the sense that various (monotonic) paraconsistent logics were used to define ALs. Around the mid 1990s, the idea emerged that besides inconsistency, various other types of “abnormality” with respect to classical (propositional or first order) logic can be used as a basis to define ALs – see e.g. [Batens, 1997]. The resulting logics are nowadays called “corrective ALs”, in contradistinction to “ampliative” ALs, which only saw light around 2000.\(^{26}\) The latter are, roughly, ALs that characterize a given type of inference which goes beyond one’s chosen standard of deduction (usually first order \(\text{CL}\)), such as inductive generalization [Batens, 2011], abduction [Meheus \textit{et al.}, 2002; Beirlaen and Aliseda, 2014], etc.

The notion of an adaptive strategy was only fully developed in the 1990s – see in particular [Batens, 1999a]. Before that, only the proof theory of reliability and the semantics of \textit{minimal abnormality} were known.

The standard format as presented in this section, was introduced in [Batens, 2007]. Its further development in turn facilitated applications in various new areas during the last decade, ranging from foundations of set theory [Verdée, 2012], over causal discovery [Leuridan, 2009; Beirlaen \textit{et al.}, 2016 online first], to deontic logic.

For a recent and compact introduction into ALs (with a focus on their application to paraconsistent reasoning), we refer to [Batens, 2015]. A thorough discussion of the standard format and several of its generalizations can be found in Part I of [Straßer, 2014]. Slightly older papers that present the basics of ALs are [Batens, 2001] and [Batens, 2007].

\(^{24}\)In [Batens, 1986], Batens refers to an (unpublished) 1979 paper in Dutch, “Dynamische processen en dialectische logica’s”, as the first publication on this subject.

\(^{25}\)The term “adaptive” appears to be introduced in 1981 [Batens, 1986].

\(^{26}\)See e.g. [Meheus \textit{et al.}, 2002] for a discussion of this distinction.
ALs have been compared to various other generic frameworks for defeasible and/or non-monotonic reasoning in the past, including Makinson’s default assumption consequence relations [Van De Putte, 2013], abstract argumentation [Straßer and Šešelja, 2010], and modal logics [Allo, 2013]. There is also an interesting line of research on the relation between ALs and Rescher-Manor consequence relations for “contextualized” reasoning with inconsistent premises [Rescher and Manor, 1970]. In fact, the logics $\text{SDL}_r^p$, $\text{SDL}_m^p$, and $\text{SDL}_n^p$ can be seen as adaptive variants of the Free, the Strong, and the Weak Rescher-Manor consequence relation respectively [Meheus et al., 2016].

4 Revisionist adaptive deontic logics

The logics $\text{SDL}_r^p$ and $\text{SDL}_m^p$ from Section 3 reserve the $\text{SDL}$-operator $\mathcal{O}$ for actual obligations, while they allow for the non-trivial formalization of conflicting (prima facie) obligations in terms of the new operator $\mathcal{O}^p$. Via this grammatical enrichment, we obtain a conflict-tolerant adaptive logic, without having to revise any of the core principles of SDL. Indeed, $\text{SDL}_p^x$ is built on top of $\text{SDL}_p$, which is in turn an extension of $\text{SDL}$.

Instead of extending the grammar of $\text{SDL}$ while keeping its core principles intact, we may also accommodate conflicts by keeping the grammar of $\text{SDL}$ intact while giving up some of its core principles. This means that we revise the underlying logic, to use the terminology from [Goble, 2013]. We therefore call the adaptive logics based on such “weak” deontic logics revisionist adaptive deontic logics. The aim of sections 5–7 is to present and discuss this branch of ALs.

We provide some general insight into the various types of revisionist (adaptive) deontic logics that are on the market in Section 4.1. Next, we will introduce some conceptual machinery that allows us to compare and evaluate such logics (Section 4.2).

4.1 SDL: three ways of giving it up (while keeping it)

If we are to reason non-trivially in the face of conflicting obligations, we need to give up at least some part of SDL. For the time being, let us focus on conflicts of the type $\mathcal{O}A \land \mathcal{O}\neg A$ (we will consider several other types below). First, if the logic of $\neg$ is classical, then the (D)-axiom needs to be given up order to avoid that everything follows from $\mathcal{O}A \land \mathcal{O}\neg A$. This means we are left with the minimal normal modal logic $\text{K}$, which is fully characterized by $\text{CL}$, the rule of necessitation (N) and the normality schema (K).

But giving up (D) alone will not do. As soon as (Agg), (Inh), and Ex Contradictione Quodlibet (ECQ) are valid, deontic conflicts result in deontic explosion, i.e. the conclusion that everything is obligatory:27

\[(\text{DEX}) \quad \mathcal{O}A, \mathcal{O}\neg A \vdash \mathcal{O}B\]

Suppose $\mathcal{O}A$ and $\mathcal{O}\neg A$. By (Agg), $\mathcal{O}(A \land \neg A)$. By (ECQ) and (Inh), $\mathcal{O}B$. Since all three of these principles are derivable within $\text{K}$, deontic conflicts imply deontic explosion also in this minimal logic.

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27(ECQ) is the (classically valid) inference from $A, \neg A$ to arbitrary $B$. 

So at least one of (Agg), (Inh), or (ECQ) has to go. It can be shown—and will be shown in the next three sections—that giving up either (Agg), or (Inh), or (ECQ) is sufficient in order to accommodate conflicts of the type $O A \land O \neg A$. So in the remainder we will focus on these three principles, rather than on the “official” characterization of SDL in terms of (N), (K) and (D).

In Section 5, we will consider deontic logics that are obtained by giving up (Inh). This means that e.g. $O (A \land B)$ does not imply $O A$, and $O A$ does not imply $O (A \lor C)$ in these logics, absent further information about $A$, $B$, and $C$. As a result, $O (A \land B)$ can be true for conflicting (i.e., mutually incompatible) $A$ and $B$, but this need not imply that $O C$ is true for any arbitrary (non-contradictory) $C$.

Section 6 is concerned with conflict-tolerant deontic logics that invalidate (Agg). Thus, in these logics, $O A$ and $O B$ can be true without $O (A \land B)$ being true. As a result, the step from $O A \land O \neg A$ to $O (A \land \neg A)$ is blocked and we cannot get to the conclusion that any $B$ is obligatory.

Finally, Section 7 focuses on alternative, weaker accounts of negation, which invalidate (ECQ). This allows us to keep (D).

So there are several, well-studied ways to avoid (DEX) and thus to accommodate deontic conflicts within a formal logic. However, giving up principles of SDL comes at a price. As we will show below, these principles are at the heart of intuitively plausible patterns of inference—see Section 4.2 for a number of examples. Giving up the principles means that one either has to deny head-on the validity of those inferences, or to explain them as enthymatic arguments, i.e. arguments with a number of tacit, hidden premises. Even if such a strategy is successful to some extent, it turns out very difficult to develop a general logical (and philosophically justifiable) procedure that allows one to obtain such tacit premises for a given case.

Going adaptive allows us to give up principles, whilst keeping them as much as possible, i.e., as long as they do not lead to deontic explosion. The core idea behind revisionist adaptive deontic logics is to start from a monotonic, conflict-tolerant deontic logic $L$ and to try to apply the missing SDL-rule(s) in a premise-sensitive, defeasible way, thus steering a middle course between the excesses of SDL and the inferential weakness of $L$.

Before we continue, an important side-remark is in place. In [Goble, 2013, Sect. 5.4], Goble also develops a new, monotonic conflict-tolerant deontic logic that is inferentially very powerful, in the sense that it validates all of (Agg), (DDS), and (Dist). The basic idea behind this proposal is to give up the principle of extensionality (RE), and to opt for a weaker notion of “analytic equivalence” instead. We will not discuss this proposal in the present chapter, since no semantics or philosophical interpretation of the logic has been developed so far, and since it is unclear whether and how sensible adaptive logics based on this proposal could be developed.

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28 One may of course give up even more principles, but we will focus on the simple cases where only one of the three is given up. All that we write on revisionist deontic logics and their adaptive extensions applies mutatis mutandis to such weaker logics.

29 In some but not all of these logics, also (Agg) is restricted. In all of them, classical logic is preserved for the connectives and replacement of equivalents (RE) holds.
4.2 Criteria for comparison and evaluation

When discussing and comparing the ALs defined in the next three sections, we will look at two aspects in particular. First, we will consider various types of deontic conflicts, and compare the logics in terms of which of these types they can accommodate properly. Second, we look at how the logics behave with respect to specific benchmark examples known from the literature.

**Explosion principles** In the specific context of conflict-tolerant deontic logics, it is common to demand some additional consistency constraints on top of the consistency preservation property from Theorem 3.11. In particular, we want to take great care to avoid the validity of explosion principles, i.e. principles according to which a set of arbitrary formulas is derivable given a (specific type of) normative conflict. These can come in various types, as we now explain.

We already referred to the principle of deontic explosion (DEX) in Section 4.1. In [Straßer and Beirlaen, unpublished], some more refined explosion principles are specified that serve as touchstones for measuring the conflict-tolerance of various deontic logics. Here are some examples:

\[(2) \quad OA, \neg O\neg A \vdash OB \lor OB\]
\[(3) \quad OA, \neg O\neg A \vdash OB \lor PB\]
\[(4) \quad OA, O\neg A \vdash OB \lor \neg OB\]
\[(5) \quad OA, O\neg A \vdash PB\]

Principles (2)-(5) weaken the right-hand side of (DEX). We can devise further – equally undesirable – explosion principles by strengthening its left-hand side via the addition of logically unrelated information. For instance, where \(\gamma\) is any subset of \(\{OD, \neg OD, PE, \neg OP, \neg OF, \neg OF, PG, \neg PG\}\),

\[(6) \quad \{OA, O\neg A\} \cup \gamma \vdash OB \lor PB\]

More fine-grained explosion principles may be obtained by stipulating that principles like (2)-(6) are avoided even for \(B\) that satisfy certain additional constraints. For instance, Goble showed that the following principle is valid in deontic logics which restrict (Agg) to conjunctions of jointly consistent obligations [Goble, 2005]:

\[(7) \quad \text{If } \not\vdash \neg B, \text{ then } OA, O\neg A \vdash OB\]

The above forms of explosion are all still limited in (at least) one sense, in that they are focused on binary conflicts between obligations, i.e. formulas of the form \(OA \land O\neg A\). There seems to be no reason to us as to why one should focus solely on such types of conflicts between norms, ignoring all others. For instance, there seems to be no logical reason why self-contradictory norms should be excluded – if an authority can issue mutually incompatible commands, then why can’t it issue (highly complex but) self-contradictory commands as well? Likewise, why not consider conflicts between obligations and permissions?

Consider the following variant of an example from [Hansen, 2014, p. 305]: a couple you know is having a party. One of them leaves a message: “I am sorry,
you cannot come – it’s close friends only.” The other also leaves a message: “you can surely come to the party if you like – there will anyway be plenty of food for everyone.” Absent further information, the resulting norms can best be formalized as $\neg p$ and $p$, where $p$ stands for “go to the party”. Even if we assume that $O$ and $P$ are interdefinable, this does not result in a conflict of the form $O.A \land O.\neg A$, but rather in a direct contradiction, i.e. $O.A \land \neg O.A$.

So all in all, there seem to be reasons for taking into account explosion principles such as the following:

$O.A, P\neg A \vdash OB$  
(8)

$O(A \land \neg A) \vdash OB$  
(9)

Candidate conflict-tolerant deontic logics should be tested not only for the validity of (DEX), but also for the validity of more refined principles like (2)-(7) above. In doing so, we do not consider it the task of any such logic to invalidate all forms of explosion; rather, we treat the explosion principles as a useful way to compare and classify given deontic logics.

In the next two sections, we will focus on the following explosion principles – apart from (DEX):

$(\text{DEX}-O.\bot)$ $O(A \land \neg A) \vdash OB$

$(\text{DEX}-P.\bot)$ $P(A \land \neg A) \vdash PB$

$(\text{DEX}-O\neg P)$ $O.A \land P.\neg A \vdash B$

$(\text{DEX}-O\neg P)$ $O.A \land \neg P.A \vdash B$

We choose these five principles since they allow us to compare the (non)explosive behavior of the various logics discussed below in a succinct way. In Section 7 we will consider some additional forms of explosion that can be avoided by using paraconsistent deontic logics.

**Benchmark examples.** Research in the fields of deontic logic and non-monotonic logic is to a large extent driven by a relatively small set of benchmark examples aimed at testing the formal system in question (the reader may be familiar with Tweety the penguin, the good Samaritan, and the gentle murderer, just to name a few). When faced with such examples, counter-intuitive outcomes are taken to reflect badly on a formal system, so these benchmark examples provide a criterion for checking whether a formal system meets our informal intuitions.

A warning is in order here, however. The fact that a formal system provides intuitive outcomes for the relevant benchmark examples is not a sufficient condition for positively evaluating the system in question. For instance, the system may be devised in an ad hoc manner to deal specifically with a small set of examples, at the cost of violating one or more rationality postulates. Moreover, some of these examples may reflect intuitions on which not everyone agrees, leaving room for dispute. In some cases the fact that our logic does not give us the expected outcome for some concrete example may inform us that our
intuitions are perhaps incoherent, whence this is not in itself a sufficient reason to reject the logic. So as was the case with explosion principles, we will use our benchmark examples as means to classify given logics, not as absolute criteria for their usefulness.\footnote{For a critical discussion of the use of examples as intuition-pumps in the evaluation of logics for defeasible reasoning, see [Prakken, 2002].}

With this warning in mind, let us list a number of examples which have been used to evaluate conflict-tolerant deontic logics studied in the literature. For each of them, we indicate some of the basic SDL-principles which allow us to infer the conclusion from the given premises. We use (CL) as a generic name for all inferences that are CL-valid.

1. \textit{The Smith Argument.} — (Agg), (Inh), (CL)

   (i) Smith ought to fight in the army or perform alternative service to his country ($O(f \lor s)$).
   (ii) Smith ought not to fight in the army ($O\neg f$).
   $\therefore$ (iii) Smith ought to perform alternative service to his country ($Os$).

2. \textit{The Jones Argument.} — (Inh), (CL)

   (i) Jones ought to tell a joke and sing a song ($O(j \land s)$).
   $\therefore$ (ii) Jones ought to tell a joke ($Oj$).

3. \textit{The Roberts Argument, version 1.} — (Inh), (CL)

   (i) Roberts ought to pay federal taxes and register for national service ($O(t \land r)$).
   (ii) Roberts ought not to pay federal taxes but volunteer to help the homeless in his community ($O(\neg t \land v)$).
   $\therefore$ (iii) Roberts ought to register for national service and ought to volunteer to help the homeless ($Or \land Ov$).

4. \textit{The Roberts Argument, version 2.} — (Inh), (CL), (Agg)

   (i) Roberts ought to pay federal taxes and register for national service ($O(t \land r)$).
   (ii) Roberts ought not to pay federal taxes but volunteer to help the homeless in his community ($O(\neg t \land v)$).
   $\therefore$ (iii) Roberts ought to register for national service and volunteer to help the homeless ($O(r \land v)$).

5. \textit{The Thomas Argument.} — (Inh), (Agg), (CL)
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(i) Thomas ought to pay federal taxes and either fight in the army or perform alternative service to his country ($O(t \land (f \lor s))$).

(ii) Thomas ought neither to pay federal taxes nor fight in the army ($O(\neg t \land \neg f)$).

∴ (iii) Thomas ought to perform alternative service to his country ($O(s)$).

6. The Natascha Argument, version 1. — (K) / (Inh), (Agg), (CL)

(i) Natascha ought to take Sarah to the concert ($O(s)$).

(ii) Natascha ought to take Martin to the concert ($O(m)$).

(iii) Natascha cannot take Sarah and Martin to the concert ($\neg O(s \land m)$).

(Perhaps there is only one additional ticket left at the counter.)

(iv) If she takes Sarah, she ought to buy an extra ticket ($O(s \supset t)$).

(v) If she takes Martin, she ought to buy an extra ticket ($O(m \supset t)$).

∴ (vi) Natascha ought to buy an extra ticket ($O(t)$).

7. The Natascha Argument, version 2. — (K) / (Inh), (Agg), (CL)

(i) Natascha ought to take Sarah to the concert ($O(s)$).

(ii) Natascha ought to take Martin to the concert ($O(m)$).

(iii) Natascha cannot take Sarah and Martin ($O(\neg(s \land m))$).

(iv) If she takes Sarah, she ought to buy an extra ticket ($O(s \supset t)$).

(v) If she takes Martin, she ought to buy an extra ticket ($O(m \supset t)$).

∴ (vi) Natascha ought to buy an extra ticket ($O(t)$).

The Smith argument was first presented by Horty [1994; 1997; 2003; 2012]; the name ‘Smith’ is due to Goble [2014; 2013]. The Jones, Roberts, and Thomas arguments are variations on examples from [Goble, 2014; Goble, 2013]. The Natascha argument is new.

The validity of these arguments is not undisputed. The Jones argument, for instance, which concerns the application of the inheritance principle (Inh), has been called into question [Goble, 1990a; Hansen, 2013; Parent and van der Torre, 2014]. The Natascha argument concerns the derivation of a so-called floating conclusion, a conclusion entailed by each of two mutually conflicting obligations. The status of such conclusions is debatable.31

In both versions of the Natascha argument, we translate information concerning what is (im)possible directly into the language of SDL. While the first version of this argument relies on the principle of “ought implies can” (OIC) and contraposition, the second relies on the stronger principle of “permited implies can” (PIC), interdefinability of $O$ and $P$, and contraposition. Both (OIC) and (PIC) are controversial.32

31 See [Horty, 2002; Makinson and Schlechta, 1991; Prakken, 2002] for arguments pro and contra the derivation of floating conclusions in non-monotonic logic. In a moral context, the derivability of floating conclusions has been defended by Brink [1994].

32 See [Vranas, 2007] for a comprehensive discussion of the first of these two principles.
5 Adaptive inheritance

The first type of conflict-tolerant deontic logics mentioned in Section 4.1 is obtained by giving up or weakening the rule of inheritance (Inh). In the present section, we discuss one specific subclass of such logics, showing how they can be strengthened by going adaptive.

5.1 Logics with unconflicted inheritance

Restricting inheritance In a number of papers, Goble presented the LUM-family of deontic logics.33 The language of these logics is just that of SDL, with P defined as the dual of O. The logics in the LUM-family do not simply reject inheritance, but replace it with a weaker principle that accounts for a number of intuitive applications of (Inh). This requires some explanation.

Let UA =df ¬(O∧¬A) denote that A is unconflicted. All LUM-systems extend CL with the necessitation rule (N), the replacement of equivalents rule (RE), as well as the following rule of “unconflicted” inheritance (RUM):

(RUM) If A ⊢ B, then UA, OA ⊢ OB

(RUM) allows for those applications of the inheritance rule (Inh) which involve only unconflicted obligations. In terms of permission, the rule states that whenever A is both obligatory and permitted, then whatever is logically weaker than A is also obligatory. This rule is therefore also sometimes referred to as “permitted inheritance” (RPM).

In addition to (N), (RE), and (RUM), the systems in the LUM-family are defined in terms of the rule (P), (Agg), and “consistent” and “permitted” aggregation rules (C-Agg) and (P-Agg):

(C-Agg) If /\ ¬(A ∧ B) then OA, OB ⊢ O(A ∧ B)
(P-Agg) PA, PB, OA, OB ⊢ O(A ∧ B)

Note that, since P is the dual of O, the antecedent of (P-Agg) just means that A and B are obligatory, and that neither of their negations are obligatory. The systems LUM.a-LUM.c extend CL by adding:

LUM.a: (N), (RE), (RUM), (Agg)
LUM.b: (N), (RE), (RUM), (P), (C-Agg)
LUM.c: (N), (RE), (RUM), (P), (P-Agg)

A semantics for these three logics can easily be obtained, following Chellas’ well-known generalization of Kripke-semantics into neighbourhood semantics [Chellas, 1980, Chapters 7 & 8]. Say a LUM-model is of the type M = ⟨W, w0, nO, v⟩, where W is a non-empty set of worlds, w0 ∈ W is the actual world, nO : W → \varphi(\varphi(W)) maps each world w ∈ W to the set of obligatory propositions at w, and v is a valuation function. The semantic clause for O in such models reads:

(SC-O) M, w |= OA iff [A]M ∈ nO(w)

33We adopt the presentation and nomenclature from [Goble, 2014]. For more details and references, we refer to Section 5.3.
Truth in a model is defined as usual, viz. as truth at \( w_0 \); semantic consequence is defined by quantifying over all models in which the premises are true.

This gives us the minimal classical modal logic (E), which is characterized fully by adding (RE) to CL. Imposing a number of restrictions on such models, we obtain the additional axioms and rules listed above. For instance, (RUM), (N) and (Agg) are obtained by imposing the condition

\[
(C-RUM) \quad \text{if } X \in n_\mathcal{O}(w), \ W \setminus X \not\in n_\mathcal{O}(w), \text{ and } Y \supseteq X, \text{ then } Y \in n_\mathcal{O}(w)
\]

\[
(C-N) \quad W \in n_\mathcal{O}(w)
\]

\[
(Agg) \quad \text{if } X \in n_\mathcal{O}(w) \text{ and } Y \in n_\mathcal{O}(w), \text{ then } X \cap Y \in n_\mathcal{O}(w)
\]

For an extensive comparison and discussion of the various LUM-logics, we refer to [Goble, 2013, Sect. 5.3]. In the remainder, we will focus on ALs obtained from them.

**Going adaptive** To understand the specific motivation for going adaptive in the case of the LUM-logics, it will be useful to reconsider the benchmark examples from Section 4.2. The Smith and Jones arguments are invalid in all three of the LUM-logics, but valid once we add the premises \( U \lnot f \) (for the Smith argument) and \( U(j \land s) \) (for the Jones argument). The Roberts and Thomas arguments are more problematic. In the Roberts argument, for instance, we cannot just add the premises \( U(t \land r) \) and \( U(\lnot t \land v) \) in order to render the argument valid, since doing so would trivialize the premise set.

More generally, it is problematic that in the LUM-systems we need to add the ‘tacit’ information that a formula is unconflicted before we can apply the restricted distribution rule. This worry was first raised in [Straßer et al., 2012], and acknowledged by Goble:

For one thing, the additional non-conflict condition on the distribution rule seems rather ad hoc; there is little to recommend it except its success in disarming deontic explosion. For another, it seems risky to try to account for the plausibility of arguments by considering them enthymematic for straight-forwardly valid arguments. In context it may be all right to accept the alleged tacit premise, but we cannot rely on that. With more complicated arguments it might be quite uncertain what unspoken premises of non-conflict are implicitly present [Goble, 2014, pp. 210-211].

Both problems can be overcome by strengthening the LUM-systems within the adaptive logics framework. On the one hand, we can validate all those applications of distribution that do not lead to deontic explosion. On the other hand, it is the logic itself which fixes which applications of distribution are tolerable; no interference of any user is required for this. We explain how this works below, focusing on the adaptive extensions of the logic LUM.a. For the other logics in this family, the difficulties and properties are roughly analogous. We will point out salient differences as we go along.

---

34For Roberts, first note that \( \vdash (t \land r) \supset \lnot (\lnot t \land v) \). By (RPM), \( \lnot O(t \land r) \supset O(t \land r) \supset O(\lnot (t \land v)) \) or, equivalently, \( O(\lnot (t \land r)) \supset O(t \land r) \supset O(\lnot (t \land v)) \). By premises (i) and (ii) of the Roberts argument, we get \( O(t \land r) \lor O(\lnot t \land v) \lor (O(\lnot t \land v) \lor O(t \land r)) \) by CL. So adding \( U(t \land r) \) and \( U(\lnot t \land v) \) would make the argument CL-inconsistent. For Thomas the argument is analogous.
The logics \textit{ALUM.a} – A natural way of strengthening Goble’s \textit{LUM}-systems is to work under the assumption that obligations are unconflicted, so that an obligation \( O.A \) behaves abnormally in case it is conflicted, i.e. in case \( \neg U.A \) or, equivalently, \( O.A \land \neg O.A: \)
\[
\Omega = \{ O.A \land O.\neg A \mid A \in \mathcal{W}^{\text{CL}} \}
\]
The logic \textit{ADPM.1} from [\textit{Straßer et al., 2012}] is the AL defined by the triple \( \langle \text{LUM.a}, \Omega, \text{reliability} \rangle \). In an \textit{ADPM.1} -proof, (\text{Inh}) can be applied via the conditional rule RC, assuming that the obligations involved are not conflicted:
\[
\begin{align*}
1 & \quad O(p \land q) \quad \text{Prem} \quad \emptyset \\
2 & \quad O.r \quad \text{Prem} \quad \emptyset \\
3 & \quad O.\neg r \quad \text{Prem} \quad \emptyset \\
4 & \quad O.p \quad 1; \text{RC} \quad \{ O(p \land q) \land O.\neg(p \land q) \} \\
5 & \quad (O(p \land q) \land O.\neg(p \land q)) \lor \quad 1-3; \text{RU} \quad \emptyset \quad \checkmark \\
& \quad (O(p \land r) \land O.\neg(p \land r)) \lor \\
& \quad (O(p \land r) \land O.\neg(p \land r)) \lor \\
& \quad (O(p \land \neg r) \land O.\neg(p \land \neg r))
\end{align*}
\]
The Dab-formula derived at line 5 is minimal at this stage of the proof, and causes the marking of line 4.\textsuperscript{35} This Dab-formula is a minimal Dab-consequence of the premise set \( \{ O(p \land q), O.r, O.\neg r \} \). Consequently, there is no extension of this proof in which line 4 is unmarked, and hence
\[
O(p \land q), O.r, O.\neg r \not\vdash_{\textit{ADPM.1}} O.p
\]
The same holds if we use the minimal abnormality strategy instead of reliability (the reasoning is analogous):
\[
O(p \land q), O.r, O.\neg r \not\vdash_{\textit{ADPM.1^*}} O.p
\]
This problem generalizes: in the presence of a conflict between two obligations, we can construct minimal Dab-formulas containing abnormalities pertaining

\textsuperscript{35}By (10), \( O.p \lor (O(p \land q) \land O.\neg(p \land q)) \). Suppose \( O.p \). Then (i) by (Agg), \( O(p \land r) \) and, by (RUM) and \textit{CL}, \( O.(p \land \neg r) \lor (O(p \land r) \land O.\neg(p \land r)) \); analogously (ii) by (Agg), \( O(p \land \neg r) \) and, by (RUM) and \textit{CL}, \( O.(p \land r) \lor (O(p \land \neg r) \land O.\neg(p \land \neg r)) \). Altogether, by \textit{CL}, \( O(p \land q) \lor O.\neg(p \land q) \lor (O(p \land r) \land O.\neg(p \land r)) \lor (O(p \land \neg r) \land O.\neg(p \land \neg r)) \).
to seemingly unrelated and unproblematic formulas, blocking unproblematic applications of RC. The logics \textsc{ADPM.1}\textsuperscript{r} and \textsc{ADPM.1}\textsuperscript{m} are therefore called \textit{flip-flops} [Batens, 2007]. In the absence of conflicts, their consequence set is the same as their ULL, namely \textsc{SDL}.\textsuperscript{36} As soon as one conflict is present, however, their consequence set collapses into that of their lower limit logic \textsc{LUM.a}.

There is a natural fix to this flip-flop problem, due to Goble \cite{Goble2014}. Let \( S(A) \) denote the set of all subformulas of \( A \) (including \( A \) itself). Where \( S(A) = \{ B_1, \ldots, B_n \} \), we define\textsuperscript{37}

\[ \sharp(A) = (OB_1 \land O\neg B_1) \lor \ldots \lor (OB_n \land O\neg B_n) \]

Following Goble, we let \textsc{ALUM.a} = \( \langle \textsc{LUM.a}, \Omega^S, \text{reliability} \rangle \), where

\[ \Omega^S = \{ \sharp(A) \mid A \in \mathcal{W}_{\text{CL}} \} \]

In an \textsc{ALUM.a}\textsuperscript{r}-proof, the formula derived at line 5 of our proof above is no longer a Dab-formula. Rather, we obtain the following proof:

\begin{align*}
1 & \quad \text{O}(p \land q) & \text{Prem} & 0 \\
2 & \quad \text{O}r & \text{Prem} & 0 \\
3 & \quad O\neg r & \text{Prem} & 0 \\
4 & \quad \sharp(p \land q) & 1; \text{RC} & \{ \sharp(p \land q) \} \\
5 & \quad \sharp(p \land q) \lor \sharp(p \lor r) \lor \sharp(p \lor \neg r) & 1-3; \text{RU} & 0 \\
6 & \quad \sharp(p \lor r) & 2,3; \text{RU} & 0 \\
7 & \quad \sharp(p \lor \neg r) & 2,3; \text{RU} & 0 \\
\end{align*}

The abnormalities \( \sharp(p \land q) \), \( \sharp(p \lor r) \), and \( \sharp(p \lor \neg r) \) denote the formulas (13), (14), and (15) respectively:

\begin{align*}
(13) & \quad O(p \land q) \lor O(p \lor r) \lor O(p \lor \neg r) \\
(14) & \quad O(p \land q) \lor O(p \lor r) \lor O(p \lor \neg r) \\
(15) & \quad O(p \lor r) \lor O(p \lor \neg r) \lor O(p \land r) \lor O(p \land \neg r) \lor (O\neg r \land O\neg \neg r) \\
\end{align*}

The inference made at line 4 is legitimate in view of the \textsc{LUM.a}-valid inference

\[ O(p \land q) \vdash Op \lor \sharp(p \land q) \]

Since \( \sharp(p \land r) \) and \( \sharp(p \lor \neg r) \) are \textsc{LUM.a}-derivable from the premises \( Or \) and \( O\neg r \), the Dab-formula derived at line 5 of the proof is not minimal at stage 7. Consequently, line 4 is unmarked at this stage. As opposed to \textsc{ADPM.1}\textsuperscript{r} and \textsc{ADPM.1}\textsuperscript{m}, the logics \textsc{ALUM.a}\textsuperscript{r} and \textsc{ALUM.a}\textsuperscript{m} lead to the following desirable outcome:

\begin{align*}
(17) & \quad O(p \land q), Or, O\neg r \vdash_{\textsc{ALUM.a}\textsuperscript{r}} Op \\
(18) & \quad O(p \land q), Or, O\neg r \vdash_{\textsc{ALUM.a}\textsuperscript{m}} Op \\
\end{align*}

\textsuperscript{36}It was shown in [Straßer \textit{et al.}, 2012, Th. 7] that \textsc{SDL} is the ULL of \textsc{ADPM.1}\textsuperscript{r}.

\textsuperscript{37}Our expression \( \sharp(A) \) is equivalent to the negation of Goble’s expression \( \mathcal{U}(A) \) in [Goble, 2014; Goble, 2013]. Note that \( \sharp \) is not a (modal or other) operator but just a symbol that allows us to abbreviate a formula.
5.2 Evaluating the logics

Explosion principles The adaptive logics based on the LUM-family are conflict-tolerant to the same extent as their respective lower limit logics. This means, for a start, that (DEX) is invalid in all of them. Since they are CL-based and in view of the interdefinability of O and P, they also accommodate conflicts of the form $O A \land \neg PA$, which simply reduce to conflicts between obligations.

However, the logics do not tolerate the other types of deontic conflicts that were discussed in Section 4.2. While $O(A \land \neg A)$ is consistent in LUM.a – and hence also in ALUM.a, it is inconsistent in each of LUM.b and LUM.c in view of the (P)-axiom. It follows that ALs based on the latter two logics cannot make sense of self-contradictory obligations. Also, all the (adaptive) LUM-logics trivialize conflicts of the form $O A \land P \neg A$, as these reduce to plain contradictions in view of (DefP) and (RE). Finally, $P(A \land \neg A)$ (which is equivalent to $\neg O(\neg A \lor A)$) is also trivial in these logics, in view of the necessitation rule (N).

Benchmark examples The Smith and Jones arguments are ALUM.a\xRightarrow*{\supset}-valid. Their premises are SDL-consistent and hence normal, which means that (by Theorem 3.14), the adaptive logics are just as strong as SDL for these cases. The Roberts and Thomas arguments are not valid in ALUM.a or ALUM.a\textsuperscript{m}. Here is a proof illustrating why the Roberts arguments are not valid in ALUM.a\xRightarrow*{\supset}:

\begin{align*}
1 & \quad O(t \land r) \quad \text{Prem} \quad \emptyset \\
2 & \quad O(\neg t \land v) \quad \text{Prem} \quad \emptyset \\
3 & \quad O r \quad 1; \text{RC} \quad \{\exists(t \land r)\} \checkmark \\
4 & \quad O v \quad 2; \text{RC} \quad \{\exists(\neg t \land v)\} \checkmark \\
5 & \quad O(r \land v) \quad 3,4; \text{RU} \quad \{\exists(t \land r), \exists(\neg t \land v)\} \checkmark \\
6 & \quad \exists(t \land r) \lor \exists(\neg t \land v) \quad 1,2; \text{RU} \quad \emptyset
\end{align*}

In order to infer Or and Ov via RC we need to rely on the falsity of $\exists(t \land r)$ and $\exists(\neg t \land v)$. However, further inspection of the premises teaches us that the disjunction of these abnormalities is LUM.a-derivable from the premises. To see why, note that this disjunction is LUM.a-equivalent to the following formula, which is a LUM.a-consequence of the premises:\(\text{39}\)

\begin{align*}
(19) \quad (O(t \land r) \land O(\neg(t \land r))) \lor (O(\neg t \land v) \land O(\neg(\neg t \land v))) \lor \\
(Ot \land O(\neg t)) \lor (Or \land O(\neg r)) \lor (Ov \land O(\neg v))
\end{align*}

The minimal Dab-formula derived at line 6 blocks the derivation of the formulas derived at lines 3-5, causing the invalidity of the Roberts arguments. The same mechanism blocks the derivation of the conclusion of the Thomas argument.\(\text{40}\)

---

\(\text{39}\)Goble showed that the upper limit logic of ALUM.a\xRightarrow*{\supset} is SDL, see [Goble, 2014, Observation 4.1].

\(\text{39}\)By CL, $O(t \land r) \land O(\neg(t \land r)) \lor \neg(O(t \land r) \land O(\neg(t \land r)))$ entails Ot by (RUM). Analogously, by CL, $O(\neg t \land v) \land O(\neg(\neg t \land v)) \lor \neg(O(\neg t \land v) \land O(\neg(\neg t \land v)))$ entails Ot by (RUM). Altogether, by CL, $(O(t \land r) \land O(\neg(t \land r))) \lor (O(\neg t \land v) \land O(\neg(\neg t \land v))) \lor (Ot \land O(\neg t)) \lor (Or \land O(\neg r)) \lor (Ov \land O(\neg v))$.

\(\text{40}\)In the Thomas case, the culpable Dab-formula is the disjunction $\exists(t \land (f \lor v)) \lor \exists(\neg t \land \neg f)$. We leave the verification to the interested reader.
The Natascha argument, version 1, is \texttt{LUM.a}-valid (and hence \texttt{ALUM.a}\textsuperscript{x}-valid), but only because its premise set is \texttt{LUM.a}-trivial: from premises (i) and (ii) we can derive the negation of premise (iii) by (Agg). In contrast, the second version of the Natascha argument is \texttt{LUM.a}-satisfiable. Here is an \texttt{ALUM.a}\textsuperscript{m}-proof for this argument:

\begin{verbatim}
1 Os            Prem  \emptyset
2 Om            Prem  \emptyset
3 O\neg(s \land m) Prem \emptyset
4 O(s \supset t) Prem \emptyset
5 O(m \supset t) Prem \emptyset
6 O(s \land t)  1, 4; RU \emptyset
7 O(m \land t)  2, 5; RU \emptyset
8 Ot            6; RC \{\sharp(s \land t)\}
9 Ot            7; RC \{\sharp(m \land t)\}
10 \sharp(s \land t) \lor \sharp(m \land t) 1-3; RU \emptyset
\end{verbatim}

The formulas derived at lines 6 and 7 are \texttt{LUM.a}-derivable from the premises via applications of (Agg) and (RE). From each of these formulas we can derive \texttt{Ot} via RC. Since we are working with the minimal abnormality strategy, lines 8 and 9 are unmarked at stage 10. If we were to use reliability, however, both lines would be marked. Indeed, the modified Natascha argument is valid for \texttt{ALUM.a}\textsuperscript{m}, while invalid for \texttt{ALUM.a}\textsuperscript{r}:

(20) Os, Om, O\neg(s \land m), O(s \supset t), O(m \supset t) \not\vdash_{\texttt{ALUM.a}\textsuperscript{x}} Ot
(21) Os, Om, O\neg(s \land m), O(s \supset t), O(m \supset t) \vdash_{\texttt{ALUM.a}\textsuperscript{m}} Ot

The behavior of the ALs based on \texttt{LUM.b} and \texttt{LUM.c} is roughly analogous to the preceding case, with one exception. The premises in version 1 of the Natascha argument are inconsistent in \texttt{LUM.a} and \texttt{LUM.b}, but consistent in \texttt{LUM.c}. That is, we cannot aggregate premises (i) and (ii) of this argument, in the absence of the permission statements Ps and Pm. Parallel to the situation for the modified Natascha argument in \texttt{ALUM.a}\textsuperscript{x}, we obtain the conclusion \texttt{Ot} with \texttt{ALUM.a}\textsuperscript{m} for the original Natascha argument, while we do not obtain it with \texttt{ALUM.c}\textsuperscript{r}. The following proof illustrates that \texttt{Ot} is \texttt{ALUM.c}\textsuperscript{m}-derivable:

\footnote{Lines 7 and 8 can be derived by means of (P-Agg). Note that this rule requires that the two formulas to be aggregated are themselves unconflicted. Hence we need RC to make these two derivations.}
1. Os
2. Om
3. ¬Os ∧ Om
4. Os ⊃ t
5. Om ⊃ t
6. Os ∧ Om
7. Os ⊃ t
8. Om ⊃ t
9. Os ∧ Om

The inferences at lines 13 and 14 hold in view of the CL-validity of Os ⊃ t and Om ⊃ t respectively. Where Γn = {Os, Om, ¬Os ∧ Om, Os ⊃ t, Om ⊃ t}:

\( \Phi_{14}(\Gamma_n) = \{\{s \wedge t\}, \{s\}, \{m \wedge t\}, \{m\}\} \)

It is easily verified that, in view of Definition 3.2, lines 9 and 10 are unmarked. If we were to use the reliability strategy instead, then by Definition 3.1 these lines would be marked in the proof above.

\( \Gamma_n \not\vdash_{ALUM*} Ot \)
\( \Gamma_n \vdash_{ALUM*} Ot \)

The formula Ot is a floating conclusion with respect to Γn. As pointed out in Section 4, it is a matter of debate whether or not floating conclusions are acceptable. We do not add anything to this debate here. It suffices for us to point out that each stance can be formally represented within the AL framework.

5.3 Further reading and open ends

The LUM-systems were introduced by Goble in [2004a; 2005; 2009], where they were called ‘logics of permitted distribution’ or DPM. They were called ‘logics of unconflicted distribution’ or LUM in [Goble, 2013; Goble, 2014]. Adaptive extensions of these systems were presented in [Straßer et al., 2012; Straßer, 2014; Goble, 2014]. Moreover, in [Straßer, 2011], dyadic variants of the LUM-systems were also strengthened within the AL framework (see also Section 9.1 below).

There are many other types of deontic logics which invalidate (Inh). First, there is the general class of classical modal logics of which the logic E (cf. supra) is but one example. Second, Goble [1990a; 1990b] developed a very rich semantics for deontic logics, based on an idea from [Jackson, 1985]. On this semantics, OA is true iff the closest A-worlds are all better than the closest ¬A-worlds. Third and last, in more recent work, Cariani [2013] proposed yet another semantics for “ought” which invalidates (Inh) in a principled way –
see also [Van De Putte, 2016a; Van De Putte, 2016b] for a formal investigation into this proposal. For each of these types of logics, one can ask whether it makes sense to strengthen them adaptively, and if so, which technical difficulties arise and what behavior the resulting logics will display. In particular, it would be interesting to learn whether some such variants perform better than the currently available logics, in dealing with the Roberts arguments and the Thomas argument.

6 Adaptive aggregation

A popular way to accommodate deontic conflicts in a formal system is by rejecting the aggregation principle (Agg), and with it the normality schema (K). In its simplest form, this proposal gives us the deontic logic $P$. We will focus on two relatively basic ALs obtained from $P$ in this section.

6.1 Adaptive aggregation: a basic example

Rejecting aggregation The language of $P$ is the same as that of SDL, with $P$ defined as the dual of $O$. As before, we will not consider nested occurrences of $O$. $P$ is axiomatized by adding to the axiom (D) to $CL$ and closing the resulting set under modus ponens (MP), the necessitation rule (N), and the rule of inheritance (Inh). Each of the following are facts about the derivability relation of $P$:

\begin{align}
(25) & \vdash O(p \lor \neg p) \\
(26) & O_p \vdash O(p \land q) \\
(27) & O(p \land q) \vdash O_p, Oq \\
(28) & O_p, Oq \not\vdash O(p \land q) \\
(29) & O(p \land (\neg p \lor q)) \vdash Oq \\
(30) & O_p, O(\neg p \lor q) \not\vdash Oq
\end{align}

In view of (Inh), Replacement of (Classical) Equivalents (RE) is valid in $P$. So in Chellas' terms, $P$ is a non-normal but classical modal logic [Chellas, 1980].

One way to motivate and understand the rejection of (Agg) in $P$ is in terms of multiple normative standards that ground our obligations, where $OA$ is unspecific about the normative standard that grounds the obligation that $A$. Under such a reading, $OA$ and $OB$ may well be true even if there is no single standard that grounds the conjunction of both obligations, and hence $O(A \land B)$ can still fail. For instance, varying on our Smith example, one's duty to fight in the army might be based on the laws of one's country, whereas one's personal

42 Again, we follow Goble's nomenclature. See the end of this section for pointers to the literature on this and related logics.

43 The idea that one can relativize deontic logic to a given "moral code", and that what is obligatory under one such code may not be obligatory (or even forbidden) under another, is at least as old as Von Wright's *Deontic Logic* – see [von Wright, 1951, p. 15]. The difference here is that in $P$, the code that is at stake remains implicit, and $OA$ only means that $A$ is obligatory under at least some moral code.
pacifist ethics grounds the claim that one ought not to fight in the army. Still, it does not follow that one ought to do the logically impossible, viz. to fight in the army and not fight in the army.

A semantics for $P$ is obtained from the SDL-semantics (cf. Section 2) by generalizing the notion of an accessibility relation $R$. $P$-models are then of the type $\langle W, w_0, R, V \rangle$, where $W$, $w_0$, and $V$ are as before, but $R$ is a non-empty set of serial accessibility relations, rather than a single such relation. The semantic clause for $O$ then reads as follows:

$$(SC-O) \quad M, w \models O A \text{ iff there is an } R \in R \text{ such that } M, w' \models A \text{ for all } w' \text{ such that } R w w'$$

In other words, the single normative standard from SDL is replaced with a set of such standards, and we quantify (existentially) over such standards in order to determine the truth of $O A$. It is well-known that $P$ is sound and complete with respect to this semantics – see [Goble, 2000, Theorem 1]. Other semantics can also be given for $P$. We refer the reader to [Goble, 2013, pp. 300-301] for an overview of these.

Going adaptive Even if aggregation is invalid on the reading of $O$ just presented, in practice we do often aggregate our obligations. One simple way to argue for this is by referring to the benchmark examples from Section 4. It can easily be verified that neither the Smith argument nor the second variant of the Roberts argument is valid in $P$.

More generally, it is one thing to say that we take into account various normative standards and treat them as independent grounds or reasons when trying to determine what our obligations are. It is quite another thing to argue that none of these obligations can themselves be aggregated when doing so; this seems to go against much of our intuition.\footnote{Compare [Goble, 2013, p. 253]: “Even if what one ought to do is often determined by different sources or authorities, insofar as propositions of what one ought to do serve as guides to action or as standards of evaluation of an agent’s overall actions, there must be a common ought derived from those separate sources”.
} For instance, when deciding how to get to my office in the morning, I may apply norms concerning the environment, norms uttered by my boss, and norms concerning my own safety and that of others. There seems to be no prima facie reason why we cannot integrate these various norms when settling for a single way to get to the office – e.g. I may conclude that I ought to bike to the office, since that way I will be in time for a meeting without causing air-pollution. The presence of deontic conflicts in itself seems insufficient to warrant a full rejection of aggregation, and, as we will show below, there is no logical reason for doing so either.

One needs to be careful here though. We cannot just add (Agg) to $P$, as this would give us again full SDL and hence deontic explosion in the face of deontic conflicts.\footnote{We safely leave it to the reader to check that adding (Agg) to $P$ yields full SDL.} Moreover, as shown in [Goble, 2005, Sect. 2], there is no obvious conditional variant of (Agg) that can do a similar job, without in turn yielding some variant of deontic explosion.\footnote{See also Section 5.2 of Goble’s entry in the first volume of this handbook, [Goble, 2013]. In particular, Goble shows that adding the axiom (C-Agg) (cf. Section 5.1) to $P$ will result in a variant of deontic explosion.} So some obligations can be aggregated, but...
not all. As we will show in the remainder of this section, going adaptive allows us to steer a middle course between the weakness of \( P \) and deontic explosion.

**The logics \( P^x \)** The most straightforward way one might strengthen \( P \) adaptively, is by treating all formulas of the form \( O A \land O B \land \neg O (A \land B) \) as abnormalities. However, just as in the case of \( \text{ADPM1}^r \), this will give us a flip-flop. To see why, consider \( \Gamma = \{ O p, O \neg p, O q, O r \} \). Intuitively speaking, there is no problem with \( q \) and \( r \) in this example, and hence we expect \( O (q \land r) \) to be derivable. Such an inference can indeed be made within a proof of the adaptive logic thus defined. However, we can derive a disjunction of abnormalities (in that adaptive logic) from \( \Gamma \) which will block the derivation. This \( \text{Dab} \)-formula is a disjunction of the following three formulas:

\[
(31) \quad O (q \land r) \land O p \land \neg O (p \lor \neg (q \land r)) \\
(32) \quad O (p \lor \neg (q \land r)) \land O \neg p \land \neg O ((p \lor \neg (q \land r)) \land \neg p) \\
(33) \quad O (q \land r) \land O \neg (q \land r) \land \neg O ((q \land r) \land \neg (q \land r))
\]

Suppose that (31) is false but the premises are true. Then \( O (q \land r) \) is the case. Likewise, since \( O (p \lor \neg (q \land r)) \) follows by (Inh) from \( O p \), (32) can only be false (in view of the premises) if its last conjunct is false, and hence \( O \neg (q \land r) \) is true. But then the third abnormality, (33) must be true.

It is not hard to see where the problem could be in cases like this. That is, since \( O p, O \neg p \in \Gamma \), we should not use these obligations – nor weakenings of them – in order to apply aggregation. In other words, obligations that are themselves conflicted, or subformulas of which are conflicted, should be treated as abnormal.

This brings us to a slightly more complicated set of abnormalities, which is due to Goble [2014]. As before, let \( \sharp (A) \) denote the disjunction of all formulas \( O B \land O \neg B \), where \( B \in S (A) \) (\( B \) is a subformula of \( A \)). Let \( \sharp (A, B) = (OA \land OB \land O \neg (A \land B)) \lor \sharp (A \land B) \). We now define

\[
\Omega_P = \{ \sharp (A, B) \mid A, B \in W_{\text{CL}} \}
\]

In other words, we have an abnormality with respect to \( A \) and \( B \) iff they are both obligatory and their conjunction is not obligatory, or a proper subformula of them is conflicted. This means that as soon as e.g. \( O p, O \neg p \) holds, all abnormalities \( \sharp (A, B) \) with \( p \in S (A) \) are true. Under this definition, none of the formulas (31)-(33) are abnormalities. The corresponding disjunction of \( \Omega_P \)-abnormalities

\[
(34) \quad \sharp (q, r) \lor \sharp (p \lor \neg (q \land r), \neg p) \lor \sharp (q \land r, \neg (q \land r))
\]

is not a minimal \( \text{Dab} \)-consequence of \( \Gamma \), since \( \sharp (p \lor \neg (q \land r), \neg p) \) alone follows from \( \Gamma \).

Let the logics \( P^r \) and \( P^m \) be the adaptive logics defined by the triple \( (P, \Omega_P, x) \), where \( x \in \{ r, m \} \). It can easily be checked that the upper limit logic of \( P^r \) and \( P^m \) is just \( \text{SDL} \): adding the negation of all members of \( \Omega_P \),
as axioms to $P$, is equivalent to adding (Agg) to $P$.\footnote{To see why this is so, note first that if we negate all formulas of the form $\neg(A \wedge B)$, then \textit{a fortiori} we negate all formulas of the form $OA \wedge OB \wedge \neg O(A \wedge B)$, and hence we affirm all instances of (Agg). In addition, we also negate all formulas of the form $OA \wedge O\neg A$, but these are anyway SDL-valid.}\footnote{Note also that simply rejecting (P) will not allow us to have a satisfactory account of conflicts of the type $O(A \wedge \neg A)$: due to (Inh) these conflicts will still lead to deontic explosion.} This means that normal premise sets in the logics $P^x$ are just SDL-consistent premise sets (where ‘normal’ is understood in the technical sense specified on page 20). Hence by Theorem 3.14, whenever a premise set is SDL-consistent, its $P^x$-consequence set will be identical to its SDL-consequence set:

**Theorem 6.1** If $\Gamma$ is SDL-consistent, then $Cn_{P^r}(\Gamma) = Cn_{P^m}(\Gamma) = Cn_{SDL}(\Gamma)$.

### 6.2 Evaluating the logics

**Explosion principles** The logic $P$, and with it $P^r$ and $P^m$, clearly accommodates conflicts of the basic type $OA, O\neg A$. By (RE), (Def$_P$) and CL-properties, also conflicts of the type $OA, \neg PA$ are consistent in $P$ and its adaptive extensions.

All other types of deontic conflicts listed in Section 4.2 will be trivialized within these logics. The reasons are similar to those for LUM.\textsc{b} and LUM.\textsc{c}: $O(A \wedge \neg A)$ is contradictory in view of (P), $OA \wedge P\neg A$ is contradictory in view of (Def$_P$) and (RE), and $P(A \wedge \neg A)$ is false in view of (N) and (Def$_P$). So the simplicity of $P$ comes at an important price, viz. that it can only handle conflicting obligations and does not allow us to reason about conflicting information concerning (obligations and) permissions.\footnote{To see why this is so, note first that if we negate all formulas of the form $\neg(A, B)$, then \textit{a fortiori} we negate all formulas of the form $OA \wedge OB \wedge \neg O(A \wedge B)$, and hence we affirm all instances of (Agg). In addition, we also negate all formulas of the form $OA \wedge O\neg A$, but these are anyway SDL-valid.}

**Benchmark examples** The arguments for Jones and Roberts 1 are valid in both $P^r$ and $P^m$. This is easy to verify since the arguments are already valid in $P$ in view of its validating (Inh), and since both $P^r$ and $P^m$ are extensions of $P$. The premises of the Smith argument are normal: no Dab-formula can be derived from them. As a result, we can aggregate the obligations in the argument and derive $O$s.

The second Roberts argument is also valid in $P^x$, but here the reasoning is slightly more intricate. First, applying (Inh), we can derive $O_r$ and $O_v$ from the premises. To apply aggregation to these two formulas, we need to assume that neither $r$ nor $v$ are conflicted, given the premise set. This is clearly the case: the only conflict that follows from the premises, is $O_t, O\neg t$. The following $P^x$-proof illustrates how we can obtain the desired conclusion for Roberts 2, while avoiding the aggregation of conflicted obligations:
Since $\neg t \lor t$ follows from the premises, we cannot finally derive $O(t \land v)$ from them. So even if there is no direct conflict between $t$ and $v$, the fact that $t$ is itself conflicted is sufficient to block its aggregation with other (unproblematic) obligations.\footnote{As pointed out by Goble, allowing aggregation for all $A, B$ such that $A \land B$ is consistent is simply a no-go in the context of $P$, since it will lead to another form of deontic explosion. See [Goble, 2005, Sect. 2.4.1].}

The reasoning for the Thomas argument is wholly analogous to the second Roberts case, with the difference that we apply (Inh) once more after aggregating $O(f \lor s)$ and $O(\neg f)$ to $O((f \lor s) \land \neg s)$. This gives us the desired conclusion $O(s)$.

For the Natascha arguments, it turns out that with the $P$-based adaptive logics the strategies make no difference. The point is that, although we can obviously not apply aggregation to $O(s)$ and $O(m)$, we can still aggregate $O(s)$ and $O(s \supset t)$ (and likewise, $O(m)$ and $O(m \supset t)$). The fact that the pair $(m, s)$ behaves abnormally ($\neg (m, s)$ follows from the premises of the argument) does not imply that either of $(s, s \supset t)$ or $(m, m \supset t)$ behave abnormally. Hence we can finally derive $Ot$ on two different conditions in both $P^r$ and $P^m$. We illustrate this for the first variant of the Natascha argument:

<p>| | | | |</p>
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<thead>
<tr>
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<tbody>
<tr>
<td>1</td>
<td>$O(s)$</td>
<td>Prem</td>
<td>$\emptyset$</td>
</tr>
<tr>
<td>2</td>
<td>$O(m)$</td>
<td>Prem</td>
<td>$\emptyset$</td>
</tr>
<tr>
<td>3</td>
<td>$\neg O(s \land m)$</td>
<td>Prem</td>
<td>$\emptyset$</td>
</tr>
<tr>
<td>4</td>
<td>$O(s \supset t)$</td>
<td>Prem</td>
<td>$\emptyset$</td>
</tr>
<tr>
<td>5</td>
<td>$O(m \supset t)$</td>
<td>Prem</td>
<td>$\emptyset$</td>
</tr>
<tr>
<td>6</td>
<td>$O(s \land (s \supset t))$</td>
<td>1, 4; RC</td>
<td>${z(s, s \supset t)}$</td>
</tr>
<tr>
<td>7</td>
<td>$O(m \land (m \supset t))$</td>
<td>2, 5; RC</td>
<td>${z(m, m \supset t)}$</td>
</tr>
<tr>
<td>8</td>
<td>$Ot$</td>
<td>6; RU</td>
<td>${z(s, s \supset t)}$</td>
</tr>
<tr>
<td>9</td>
<td>$Ot$</td>
<td>7; RU</td>
<td>${z(m, m \supset t)}$</td>
</tr>
</tbody>
</table>

For none of the variants of the Natascha arguments, the disjunction of abnormalities $(O(s) \lor O(\neg s)) \lor (O(m) \lor O(\neg m))$ is $P$-derivable from the premises. Nor is there another Dab-formula which prevents lines 8 and 9 from being finally derivable. So, to sum up, all inferences from our benchmark examples are valid in the logics $P^r$ and $P^m$.

As pointed out by Goble, allowing aggregation for all $A, B$ such that $A \land B$ is consistent is simply a no-go in the context of $P$, since it will lead to another form of deontic explosion. See [Goble, 2005, Sect. 2.4.1].
allow us to finally derive $O(p \land r) \lor O(q \land r)$, whereas $P^m$ will. To understand this, note that $(p, r) \lor (q, r)$ is a minimal $\mathbb{D}_{ab}$-consequence of $\Gamma$, whence both abnormalities are unreliable in view of $\Gamma$. However, since nothing prevents us to assume that either the first or the second abnormality is false, using minimal abnormality we can derive $O(p \land r) \lor O(q \land r)$.

### 6.3 Further reading and open ends

Bernard Williams [Williams and Atkinson, 1965] was the first to advocate a rejection of (Agg) on philosophical grounds; Marcus [1980] is another important proponent of such a rejection. More formally worked out proposals can be found in [van Fraassen, 1973; Chellas, 1980; Schotch and Jennings, 1981]. Later, Goble developed the semantics and metatheory of $P$ and variants of it in detail – see in particular [Goble, 2000; Goble, 2004b; Goble, 2003]. For a more complete overview of the literature on $P$ and close (monotonic) relatives, we refer to Section 5.2 of Goble’s entry [Goble, 2013] in the first volume of this handbook.

The first adaptive logic that applies the idea of “adaptive aggregation” was published in [Meheus et al., 2010], and later reworked in [Meheus et al., 2012]. These logics are however based on a richer lower limit logic, viz. the logic $\text{SDL}_{a}P_{e}$ from [Goble, 2000]. In this system, one can express both an “existential” notion of obligation $O_e$ (whose logic is $P$) and a “universal” notion of obligation $O_u$, whose logic is $\text{SDL}$. The two modalities are connected by the following bridging principle:

(B) $O_u(A \supset B) \land O_eA \vdash O_eB$

which entails i.a. that every universal obligation is also an existential obligation, $O_uA \supset O_eA$. Alternatively, one can interpret the logics in terms of our distinction between prima facie obligations and all-things-considered obligations (cf. Section 3.1).

Adaptive logics that are based on $P$ itself are discussed in [Goble, 2014]; here we only discussed the second of the two. The other AL discussed by Goble appears to be slightly weaker. For instance, in this logic, the Natascha argument is only valid if we use minimal abnormality. More generally, in this logic any conflict of the type $O(A \land B) \land \neg O(A \land B)$ “infects” all the subformulas of $A$ and $B$. We leave the full inspection and proof of this claim for another occasion.

An interesting issue concerns the enrichment of the aforementioned ALs with operators that allow one to express (technical, physical, practical) impossibility at the object level. Indeed, in Williams’ famous essay, he argues that purely logical conflicts between oughts are only a special case of a much more common type of conflicts, viz. conflicts between two obligations whose joint fulfillment is impossible for contingent reasons – e.g. because of the particular physical situation we find ourselves in [Williams and Atkinson, 1965]. This raises a number of questions concerning the interplay between alethic and deontic modalities, which would take us well beyond the scope of the present chapter.

### 7 Inconsistency-adaptive deontic logics

As noted in Section 3.5, the first adaptive logics were inconsistency-adaptive. Inconsistency-adaptive logics are members of the larger family of paraconsistent
logics, i.e. logics which invalidate (ECQ).

Note that (ECQ) bears close affinity to (DEX). To obtain the latter from the former we only need to prefix the formulas involved with an $O$-operator.

Going paraconsistent has a couple of additional benefits in the context of deontic logic. A first is that it allows us to preserve the interdefinability of $O$ and $P$, while invalidating (DEX-OP$\neg$). Assuming the interdefinability of $O$ and $P$, the formula $OA \land P \neg A$ is equivalent to the contradictions $OA \land \neg OA$ and $\neg P \land A \land P \neg A$. By (ECQ), these contradictions entail everything. To prevent such explosive behavior, it suffices to invalidate (ECQ).

A second advantage is that only a paraconsistent deontic logic can invalidate the explosion principles (DEX-$O\neg O$) and (DEX-$P\neg P$), for the obvious reason that these principles are instances of (ECQ):

$$
(DEX-O\neg O) \quad OA, \neg OA \vdash OB \\
(DEX-P\neg P) \quad PA, \neg PA \vdash OB
$$

There are independent reasons as to why, in some contexts, we may want to tolerate contradictory norms, i.e. formulas of the form $OA \land \neg OA$ or $PA \land \neg PA$. Priest, for instance, gives the following example. Suppose that, in some country, women are not permitted to vote, while property holders are permitted to vote. Suppose further that, perhaps due to a recent revision of the property law, women are permitted to hold property. Then female property holders are both permitted and not permitted to vote ($P \lor \neg P$) [Priest, 1987, pp. 184–185].

In this section, we present inconsistency-adaptive deontic logics. We will work stepwise, starting with the paraconsistent logic $CLuN$, its deontic extension $DCLuN$, and adaptive strengthenings $DCLuN^x$ (Section 7.1). After that, we will consider several variants of $DCLuN$ and their associated adaptive logics (sections 7.2 and 7.3).

### 7.1 Paraconsistent adaptive deontic logic

**A paraconsistent core logic** We use the paraconsistent logic $CLuN$ as our starting point. $CLuN$ is an acronym for ‘Classical Logic with gluTs for Negation’. A truth-value glut for negation relative to a formula $A$ occurs when both $A$ and its negation are true; $CLuN$ allows such gluts whereas $CL$ disallows them. The deontic logics to be presented in this section are extensions of $CLuN$, but they are defined so that plenty of other paraconsistent logics may replace $CLuN$ as their core logic. In Sections 7.2 and 7.3 we will mention some alternatives.

The set $W^\sim$ of well-formed $CLuN$-formulas is the following:

$$
W^\sim := \{ S | \sim(W^\sim) | (W^\sim) \lor (W^\sim) \land (W^\sim) \} \cup \{ W^\sim \} \cup \{ (W^\sim) \vdash (W^\sim) \}
$$

In the remainder, we will stick to $\sim$ as the connective denoting classical negation. Beside $\sim$, $W^\sim$ contains the connective $\sim$ which we will use as our paraconsistent negation sign. In fact, $\sim$ is the only $CLuN$-connective which behaves differently from the classical connectives. We obtain $CLuN$ by adding
the following axiom schema to CL:

\[ A \lor \neg A \]

We write \( \Gamma \vdash_{\text{CLuN}} A \) to denote that \( A \) is CLuN-derivable from \( \Gamma \).

The CLuN-semantics is defined as follows. To obtain a CLuN-model \( M \), we extend the assignment function \( v_a \) of CL so that it assigns truth values not only to schematic letters, but also to formulas of the form \( \neg A \), i.e. \( v_a : S \cup \{ \neg A \mid A \in W^- \} \to \{0, 1\} \). Next, we extend \( v_a \) to a valuation function \( v \) as follows:

(SC1) For formulas \( A \in S \cup \{ \neg A \mid A \in W^- \} : M \models A \iff v_a(A) = 1.

(SC2) For \( \neg, \lor, \land, \rightarrow, \equiv \), the semantic clauses for CLuN are those of CL.

Finally, in order to validate the axiom (EM\( \neg \)), we require that all CLuN-models satisfy the following condition: for all \( A \in W^- \), \( M \models A \) or \( M \models \neg A \).

A semantic consequence relation for CLuN is defined as follows: \( \Gamma \models_{\text{CLuN}} A \iff \) for all CLuN-models \( M : \) if \( M \models B \) for all \( B \in \Gamma \), then \( M \models A \).

Before we move on to deontic extensions of CLuN, we point out a number of relevant properties of this logic for ease of reference:

(i) CLuN is paraconsistent, but not paracomplete: while (ECQ) is CLuN-invalid for \( \neg \), the excluded middle principle (EM\( \neg \)) is CLuN-valid.

(ii) In contrast to well-known paraconsistent logics such as Priest’s LP, CLuN validates modus ponens:

\[ A, A \rightarrow B \vdash B \]

Note that \( A \rightarrow B \) and \( \neg A \lor B \) are not CLuN-equivalent: if \( v(A) = v(\neg A) = v(\neg B) = 1 \) and \( v(B) = 0 \), then \( v(A \rightarrow B) = 0 \) while \( v(\neg A \lor B) = 1 \).

(iii) De Morgan’s laws and the double negation laws are invalid for \( \sim \) in CLuN. This means that complex contradictions are not reducible to contradictions between elementary letters:

\[
\begin{align*}
(35) \quad (p \land q) \land \neg(p \land q) & \not\models (p \land \sim p) \lor (q \land \sim q) \\
(36) \quad (p \lor q) \land \neg(p \lor q) & \not\models (p \land \sim p) \lor (q \land \sim q) \\
(37) \quad (p \rightarrow q) \land \neg(p \rightarrow q) & \not\models (p \land \sim p) \lor (q \land \sim q) \\
(38) \quad \neg\neg(p \land \sim p) & \not\models p \land \sim p \\
\end{align*}
\]

(iv) Contraposition, modus tollens, and disjunctive syllogism are invalid for \( \sim \) in CLuN:

\[
\begin{align*}
(39) \quad A \rightarrow B & \not\models \sim B \rightarrow \sim A \\
(40) \quad A \rightarrow B, \sim B & \not\models \sim A \\
(41) \quad A \lor B, \sim A & \not\models B \\
\end{align*}
\]
A paraconsistent deontic logic A technically straightforward way to construct a deontic logic on the basis of CLuN is the following. First, we extend the language $W^\sim$ with the deontic operator $O$, preventing nested occurrences of the deontic operator:

$$W_0^O := W^\sim | O(W^\sim) | \sim(W_0^O) \lor (W_0^O) \lor (W_0^O) \lor (W_0^O) \lor (W_0^O) \supset (W_0^O) \lor (W_0^O) \equiv (W_0^O) \lor \bot$$

The logic DCLuN is axiomatized by adding to CLuN the axioms (K), (D), and closing the resulting set under (N) and (MP).

The semantics for DCLuN looks as follows. A model is a quadruple $M = (W, w_0, R, v)$ where $W$ is a non-empty set, $w_0 \in W$, $R \subseteq W \times W$ is a serial accessibility relation, and $v : W_0^\sim \times W \rightarrow \{0, 1\}$ is a valuation function. As with CLuN, we first assign truth values to both schematic letters and formulas of the form $\sim A$: $v_a : S \cup \{\sim A \mid A \in W_0^\sim\} \times W \rightarrow \{0, 1\}$. $v_a$ is extended to $v$ as follows:

(Sci) For formulas $A \in S \cup \{\sim A \mid A \in W_0^\sim\}$: $M, w \models A$ iff $v_a(A, w) = 1$.

(SC2') For $O, \sim, \lor, \land, \supset, \equiv$, the semantic clauses for DCLuN are exactly those of SDL (cf. Section 2).

A model $M$ is a DCLuN-model iff it satisfies the following condition on $v$:

(Cu) for all $w \in W$, for all $A : v(A, w) = 1$ or $v(\sim A, w) = 1$

$\Gamma \models_{DCLuN} A$ iff for all DCLuN-models $M$: if $M, w_0 \models B$ for all $B \in \Gamma$, then $M, w_0 \models A$.

The proof of soundness for this logic is a matter of routine. For completeness, we can simply use the well-known technique of canonical models (see e.g. Blackburn et al., 2001, Chapter 4), adjusted to the setting with an actual world. Fix a maximal, $\sim$-consistent set $\Gamma \subseteq W_0^\sim$. We build the canonical model $M^c_\Gamma = (W^c, \Gamma, R^c, V^c)$ for this set as follows:

(i) $W^c$ is the set of all maximal consistent and DCLuN-closed sets $\Delta$,

(ii) $R^c = \{ (\Delta, \Delta') \mid \{ A \mid O A \in \Delta \} \subseteq \Delta' \}$,

(iii) for all $A \in S \cup \{ \sim A \mid A \in W_0^\sim \}$, for all $\Delta \in W^c$: $v_a(A, \Delta) = 1$ iff $A \in \Delta$.

To show that $M^c_\Gamma$ is a DCLuN-model, we need to rely on excluded middle for ~ and the maximality of each $\Delta \in W^c$. For seriality, we rely on the (D)-axiom in the usual way. The proof of the truth lemma proceeds by a standard induction. So we can derive that all the members of $\Gamma$ are satisfied at $\Gamma$ in $M^c_\Gamma$.

Note that, since CLuN is a conservative extension of CL, DCLuN is also a conservative extension of SDL. However, if we consider the $\sim$-free fragment of DCLuN, and treat $\sim$ as the “proper” negation, then DCLuN is a proper fragment of SDL. When applying the logic DCLuN to concrete examples, we will use $\sim$ to translate negations in natural language. Given this convention, the logic DCLuN is strongly conflict-tolerant.

$$O A \land O \sim A \not\models_{DCLuN} O B$$

$$O A \land \sim O A \not\models_{DCLuN} O B$$

\textsuperscript{50} The name "CLuN" is sometimes reserved for the fragment of this logic without $\sim$. 
In DCLuN we can define permission in various ways relative to our negation operators:

\[
\begin{align*}
P^\frown A &=_{df} \neg(O^\frown \neg A) \\
P^\frown \neg A &=_{df} \neg(O^\frown \neg A) \\
P^\frown \neg \neg A &=_{df} \neg(O^\frown \neg \neg A) \\
P^\frown \neg \neg \neg A &=_{df} \neg(O^\frown \neg \neg \neg A)
\end{align*}
\]

All of these permission operators tolerate conflicts between an obligation and a permission, as well as contradictory norms. Where \(\dagger, \ddagger \in \{\neg, \neg\}\):

\[
\begin{align*}
O A \land P^\dagger \neg A &\not\vdash_{DCLuN} OB \\
O \neg A \land P^\ddagger A &\not\vdash_{DCLuN} OB \\
P^\dagger A \land \neg P^\ddagger A &\not\vdash_{DCLuN} OB
\end{align*}
\]

In sum, DCLuN is very conflict-tolerant, especially compared to the logics discussed in previous sections. However, it is also rather weak. To be sure, the Jones argument, the Roberts arguments, and the (original and modified) Natascha argument are valid in DCLuN due to the validity of (Inh) and (Agg). Unfortunately, the Smith argument and the Thomas argument are not DCLuN-valid. More generally, all instances of the following inference schemas fail in DCLuN:

\[
\begin{align*}
O(A \supset B) &\not\vdash_{DCLuN} O(\neg B \supset \neg A) \\
O(A \lor B), O\neg A &\not\vdash_{DCLuN} OB \\
O(A \lor B), O\neg B &\not\vdash_{DCLuN} OB
\end{align*}
\]

The invalidity of (45)-(47) mirrors the invalidity of their non-deontic counterparts (39)-(41) in CLuN. So the main advantage of DCLuN goes hand in hand with its inability to validate seemingly intuitive inferences. This drawback is overcome by strengthening this system with the adaptive logics framework.

**Going adaptive** We strengthen DCLuN to the adaptive logic DCLuN\(x\), which is defined by the triple \(DCLuN, \Omega^-, x\), where

\[
\Omega^- = \{A \land \neg A \mid A \in W^-\} \cup \{P^\frown (A \land \neg A) \mid A \in W^\neg\}
\]

\(\Omega^-\) contains not only plain contradictions, but also formulas that express that in some deontically accessible world, a given contradiction is true. This allows us at once to validate the Smith argument and the Thomas argument. Here is a DCLuN\(x\)-proof illustrating the validity of the Thomas argument:

\[
\begin{array}{cccl}
1 & O(t \land (f \lor s)) & \text{Prem} & \emptyset \\
2 & O(\neg t \land \neg f) & \text{Prem} & \emptyset \\
3 & O(f \lor s) & 1; \text{RU} & \emptyset \\
4 & O\neg f & 2; \text{RU} & \emptyset \\
5 & Os & 3,4; \text{RC} & \{P^\frown (f \land \neg f)\}
\end{array}
\]
The inference made at line 5 holds in view of the DCLuN-valid inference

\[(48)\] \[O(f \lor s), O \vdash f \lor O \forall \forall (f \land \lnot f)\]

Suppose that \(O(f \lor s)\) and \(O \vdash f\). By (Agg), \(O((f \lor s) \land \lnot f)\). By normal modal logic properties, we can infer \(O \lor \lnot O \vdash f \land \lnot f\) so that we can derive \(O \lor\) on the condition \(P \lnot (f \land \lnot f)\).

Equations (49)-(54) illustrate that the DCLuN-invalid inferences (39)-(41) and (45)-(47) hold conditionally in DCLuN\(^x\). The conditions on which these inferences can be made in a DCLuN\(^x\)-proof are indicated between square brackets.

\[(49)\] \[p \supset q \vdash DCLuN^x \lnot q \supset \lnot p \quad [q \land \lnot q]\]
\[(50)\] \[p \supset q, \lnot q \vdash DCLuN^x \lnot p \quad [q \land q]\]
\[(51)\] \[p \lor q, \lnot q \vdash DCLuN^x q \quad [p \land p]\]
\[(52)\] \[O(p \supset q) \vdash DCLuN^x O(\lnot q \supset \lnot p) \quad [P \lnot (q \land q)]\]
\[(53)\] \[O(p \supset q), O \lnot q \vdash DCLuN^x O \lnot p \quad [P \lnot (q \land q)]\]
\[(54)\] \[O(p \lor q), O \lnot p \vdash DCLuN^x O q \quad [P \lnot (p \land p)]\]

More generally, relative to premise sets from which no abnormalities are DCLuN-
derivable \(\sim\) is as strong as \(\sim\) in DCLuN\(^x\). That is, where \(A \in W_\ominus\), let \(\pi(A)\) be the result of replacing every occurrence of \(\sim\) in \(A\) with \(\sim\). We lift this translation to sets of formulas in the usual way. We can now prove the following:

**Theorem 7.1** If \(\Gamma\) is normal, then \(\Gamma \vdash_{DCLuN^x} A\) iff \(\pi(\Gamma) \vdash_{SDL} \pi(A)\).

**Proof.** The upper limit logic of DCLuN\(^x\) is obtained by adding to DCLuN all formulas \(\sim A\) for which \(A \in \Omega^\sim\). Call this logic UDCLuN. By Theorem 3.14: If \(\Gamma\) is normal, then \(\Gamma \vdash_{DCLuN^x} A\) iff \(\Gamma \vdash_{UDCLuN} A\). We show that \(\Gamma \vdash_{UDCLuN} A\) iff \(\pi(\Gamma) \vdash_{SDL} \pi(A)\).

(\(\Rightarrow\)) It is easily checked that, under the transformation given, all CLuN-valid inferences are CL-valid; (K), (D), and (N) are SDL-valid; and all elements of \(\pi(\{\sim A \mid A \in \Omega^\sim\})\) are SDL-valid.

(\(\Leftarrow\)) Given the fact that UDCLuN, like DCLuN, extends SDL, it suffices to show that \(\sim\) is as strong as \(\sim\) in UDCLuN:

\[(55)\] \[\vdash_{UDCLuN} \sim A \supset \sim A\]
\[(56)\] \[\vdash_{UDCLuN} O \sim A \supset O \sim A\]

Ad. (55) Suppose \(\sim A\). Then \(\sim A \lor (A \lor A)\) since \(\vdash_{CLuN} \sim A \supset (\sim A \lor (A \lor A))\). We also know that \(\vdash_{UDCLuN} \sim (A \lor A)\), so by CL-properties we obtain \(\sim A\).

Ad. (56) By (N), \(\vdash_{UDCLuN} O \sim (A \lor (A \lor A))\). Suppose \(O \sim A\). By (K) and (MP), \(O \sim A \lor (A \lor A)\). By SDL-properties, \(O \sim A \lor P \sim (A \lor A)\). But then \(O \sim A\) follows in view of \(\vdash_{UDCLuN} \sim P \sim (A \lor A)\).

### 7.2 Semi-paracomponent adaptive deontic logic

The logic DCLuN and its adaptive extensions consistently accommodate all types of normative conflicts that we have encountered so far. But they also
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consistently accommodate plain contradictions between formulas not involving deontic operators, such as \( p \land \neg p \). One could argue that this is overkill. Even if normative conflicts are part of life and should be accommodated in a deontic logic, there is no need to also allow for a non-deontic statement and its negation to be true at the same time.

In this section we mention two ways to adjust \( \text{DCLuN} \) and its adaptive extensions so as to tolerate normative conflicts, without having to tolerate all outright contradictions of the form \( A \land \neg A \). Casey McGinnis coined the term semi-paraparadigm deontic logic for paraconsistent deontic logics that meet this desideratum [McGinnis, 2007b; McGinnis, 2007a].

**Excluding non-deontic contradictions** The logic \( \text{DCLuN}_1 \) is obtained by closing \( \text{DCLuN} \) under the axiom schema (\( \text{Cons}_1 \)):

(\( \text{Cons}_1 \)) \[ A \in W^\sim : \neg A \supset \neg A \]

Where \( A \in W^\sim \), (\( \text{Cons}_1 \)) takes care that \( A \land \neg A \) is trivialized in \( \text{DCLuN}_1 \).

This means that for non-deontic formulas, we obtain full CL. Still, \( \text{DCLuN}_1 \), like \( \text{DCLuN} \), is highly conflict-tolerant. Where as before \( \dagger, \ddagger \in \{\sim, \neg\} \):

\[ \text{(57)} \quad O A \land O \neg A \mid\not\in \text{DCLuN}_1, OB \]
\[ \text{(58)} \quad O A \land P_{\dagger} \neg A \mid\not\in \text{DCLuN}_1, OB \]
\[ \text{(59)} \quad O \neg A \land P_{\ddagger} A \mid\not\in \text{DCLuN}_1, OB \]
\[ \text{(60)} \quad O A \land \neg O A \mid\not\in \text{DCLuN}_1, OB \]
\[ \text{(61)} \quad P_{\dagger} A \land \neg P_{\ddagger} A \mid\not\in \text{DCLuN}_1, OB \]

As desired, \( \text{DCLuN}_1 \) consistently accommodates normative conflicts while trivializing contradictions between statements without occurrences of deontic operators.

Semantically, the logic \( \text{DCLuN}_1 \) is characterized by imposing the following additional condition on \( \text{DCLuN} \)-models:

(\( \text{C}^0 \)) \[ \text{For all } A \in W^\sim : v(A, w_0) = 1 \text{ iff } v(\neg A, w_0) = 0 \]

Unlike \( \text{DCLuN} \), the logic \( \text{DCLuN}_1 \) is not a normal modal logic, since it is not closed under the standard necessitation rule (N). That is, even though \( \neg p \supset \neg p \) is a theorem of the logic, \( O(\neg p \supset \neg p) \) is not. For similar reasons, the logic is not closed under Uniform Substitution. For instance, \( \neg O p \supset \neg O p \) is not a theorem of \( \text{DCLuN}_1 \).

Adaptive logics based on \( \text{DCLuN}_1 \) can be defined just as before. Mind however that abnormalities of the form \( A \land \neg A \) for \( A \in W^\sim \) are vacuous in the resulting adaptive logics, since they are anyway trivialized by their lower limit logic, in view of (\( \text{Cons}_1 \)). These adaptive logics will perform just as well as \( \text{DCLuN}^x \), in that validate all the inferences from our list of benchmark examples.

---

51Where \( \vdash \subseteq \varphi(\Phi) \times \Phi \) is a consequence relation and \( \Delta \) is a set of axioms, we obtain \( \vdash_{\Delta} \), the closure of \( \vdash \) under \( \Delta \), as follows: \( \Gamma \vdash_{\Delta} A \) iff \( \Gamma \cup \Delta \vdash A \). This means that one cannot e.g. apply necessitation to members of \( \Delta \).
Excluding all contradictions at the actual world A second, stronger semi-paraconsistent deontic logic is obtained by closing DCLuN under the unrestricted version of (Cons1):

\[(\text{Cons}_2) \quad \sim A \supset \neg A\]

Call the resulting logic DCLuN$_2$. Its semantics is obtained by imposing the following condition on DCLuN-models:

\[(C^0_2) \quad v(\sim A, w_0) = 1 \text{ if } v(A, w_0) = 0\]

In the DCLuN$_2$-semantics, ~ and ¬ are interchangeable at $w_0$. At all other worlds, ¬ remains strictly stronger than ~. This means that contradictions outside the scope of $O$ are trivialized, whereas contradictions within the scope of $O$ are not.

The logic DCLuN$_2$ is not as conflict-tolerant as DCLuN$_1$, since it trivializes conflicts of the form $O A \land \sim O A$ or $P^±_1 A \land \sim P^±_1 A$, where $± \in \{\sim, ¬\}$. Since (Cons$_2$) and (C$_2^0$) are no longer restricted to members of $W^\sim$, the logic DCLuN$_2$ satisfies the rule of uniform substitution, although necessitation (in its full generality) is still invalid.

Just as with DCLuN and DCLuN$_1$, we can use DCLuN$_2$ as a lower limit logic of our adaptive logic. In this case, the set of abnormalities can be further simplified to the following:

\[\Omega^2_\sim = \{P^\sim_\sim(A \land \sim A) \mid A \in W^\sim\}\]

7.3 Other paraconsistent negations

CLuN is the weakest logic which verifies the full positive fragment of CL as well as the principle of Excluded Middle (EM). Stronger paraconsistent logics can be obtained by adding to CLuN the double negation laws and/or de Morgan’s laws for negation:

\[
\begin{align*}
(A:\sim) & \quad \sim\sim A \equiv A \\
(A:\supset) & \quad \sim(A \supset B) \equiv (A \land \sim B) \\
(A:\wedge) & \quad \sim(A \land B) \equiv (\sim A \lor \sim B) \\
(A:\lor) & \quad \sim(A \lor B) \equiv (\sim A \land \sim B) \\
(A:\equiv) & \quad \sim(A \equiv B) \equiv ((A \lor B) \land (\sim A \lor \sim B))
\end{align*}
\]

Let CLuNs be obtained by adding all of these axioms to CLuN. Analogously to the construction of DCLuN, we can now construct the logic DCLuNs by enriching CLuNs with (K), (D), and (N).

One clear difference between DCLuN-based ALs and DCLuNs-based ALs is that the latter verify a number of additional inferences in a non-defeasible way. For instance, where $\Gamma = \{O(p \land q), O\sim(p \land q)\}$, one cannot DCLuNs$^\sim$-derive $O(\sim p \lor \sim q)$ from $\Gamma$, since one cannot rely on the falsehood of the abnormality $P((p \land q) \land \sim(p \land q))$. In contrast, one can finally DCLuNs$^\equiv$-derive $O(\sim p \lor \sim q)$ from the same premise set, simply in view of properties of DCLuNs.
We have to take care when constructing adaptive logics on the basis of **DCLuNs**. Suppose that we work with the set $\Omega^-$ of **DCLuNS**-abnormalities.

<table>
<thead>
<tr>
<th>Step</th>
<th>Formula</th>
<th>Premises</th>
<th>Marks</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>$\exists p$</td>
<td></td>
<td>$\emptyset$</td>
</tr>
<tr>
<td>2</td>
<td>$\exists p$</td>
<td></td>
<td>$\emptyset$</td>
</tr>
<tr>
<td>3</td>
<td>$\exists q$</td>
<td></td>
<td>$\emptyset$</td>
</tr>
<tr>
<td>4</td>
<td>$\exists(\exists q \lor r)$</td>
<td></td>
<td>$\emptyset$</td>
</tr>
<tr>
<td>5</td>
<td>$\exists r$</td>
<td>3,4;RC</td>
<td>${P^-((q \land \exists q) \lor (p \land r))}^6$</td>
</tr>
<tr>
<td>6</td>
<td>$P^-((p \land r) \lor (p \land r))$</td>
<td>1-4;RU</td>
<td>$\emptyset$</td>
</tr>
</tbody>
</table>

Line 5 is marked in view of the minimal **Dab**-formula derived at line 6. There is no extension of this proof in which to unmark line 5. The proof illustrates that $\exists r$ is not finally derivable from the premises at lines 1-4. This is counterintuitive.

If we are to build an adaptive logic on the basis of the lower limit logic **DCLuNs** and the set of abnormalities $\Omega^-$, the resulting logic would exhibit flip-flop behavior (see Section 5 where we also encountered this problem). The solution is to restrict the set of abnormalities as follows:

\[(\exists A)\exists A = \{A \land \exists A \mid A \in \mathcal{S}\} \cup \{\exists OA \land \exists OA \mid A \in \mathcal{W}^-\} \cup \{P^-((A \land \exists A) \mid A \in \mathcal{S})\}\]

Given $(\exists A)(\exists A \equiv A)$, inconsistencies between complex formulas in $\mathcal{W}$ can be reduced to inconsistencies at the level of atoms in **DCLuNs**. In view of this, **DCLuNS**-abnormalities must be restricted accordingly, on pain of flip-flop behavior. That is, where $A \in \mathcal{W}$, $A \land \exists A$ and $P^-((A \land \exists A)$ only counts as an abnormality when $A \in \mathcal{S}$.

The situation is different for formulas of the form $\exists OA \land \exists OA$: within the scope of $\exists$, inconsistencies between complex formulas do not reduce to inconsistencies at the level of atoms. For instance, the inference from $\exists(O(p \land q) \land \exists O((p \land q))$ to $(\exists Op \land \exists Op) \lor (\exists Oq \land \exists Oq)$ is not **DCLuNs**-valid, since $\exists O((p \land q)$ does not **DCLuNs**-entail $\exists Op \lor \exists Oq$. More generally, where $A$ is a complex formula, the formula $\exists OA$ cannot be further analysed in **DCLuNs**. So, as in **DCLuN**, all formulas of the form $\exists OA \land \exists OA$ count as abnormalities in **DCLuNS**.

Let **DCLuNS** be the adaptive logic defined by the lower limit logic **DCLuNs**, the set of abnormalities $\Omega^-$, and the strategy $\exists x \in \{r, m\}$. Then clearly the formula derived at line 6 of the proof above is no longer a minimal **Dab**-formula, and line 5 remains unmarked. We can still derive the **Dab**-formula $P^-((p \land r) \lor (p \land r)) \vdash_{\text{DCLuNs}} P^-((p \land r) \lor (p \land r))$ from lines 1-4 via RU, in view of

\[(\exists A)\exists A = \{A \land \exists A \mid A \in \mathcal{S}\} \cup \{\exists OA \land \exists OA \mid A \in \mathcal{W}^-\} \cup \{P^-((A \land \exists A) \mid A \in \mathcal{S})\}\]

However, this **Dab**-formula is not minimal, since its disjunct $P^-((p \land r) \lor (p \land r)))$ is a **DCLuNs**-consequence of the formulas $Op$ and $\exists O((p \land r) \lor (p \land r))$ at lines 1 and 2. As a result, line 5 is finally derivable and $\exists r$ is a **DCLuNS**-consequence of the premises.

Other than **CLUUn** and **CLUUs**, there is a wide variety of paraconsistent logics that can serve as the core logic of an inconsistency-adaptive logic. We could, for instance, treat ‘~’ as a dummy operator for which not even (EM)
holds by removing \((A\sim 1)\) in the axiomatization of CLuN. The resulting logic is called CLoN (for Classical Logic with both gluts and gaps for Negation). Extending CLoN with \((A\sim\sim)-(A\sim\equiv)\) results in the logic CLoNs. These systems too can be extended deontically and adaptively. In addition, one can also consider semi-paraconsistent versions of DCLuNs and DCLoNs.

7.4 Further reading and open ends
For a general overview of paraconsistent logic, see e.g. [Priest, 2002; Priest et al., 2015]. For an overview of (monotonic) paraconsistent deontic logic, we refer to [Goble, 2013, Sect. 6.1] in volume 1 of this handbook.

The first paper on inconsistency-adaptive logic – published in 1989, but written in 1981 – is [Batens, 1989], where the proof theory for the reliability strategy was first presented. The minimal abnormality strategy was first presented (semantically) in [Batens, 1986]. The (propositional) results of the two aforementioned papers were generalized to the predicative level in [Batens, 1999a]. For an overview and more recent results within the inconsistency-adaptive program, see [Batens, 2015].

Inconsistency-adaptive deontic logics were presented in [Beirlaen, 2012; Beirlaen et al., 2013], in [Beirlaen and Straßer, 2011], and in [Goble, 2014]. Most of these systems – in contrast to the ones presented in this section – allow for the following inference:\footnote{\(52\)}

\begin{equation}
O A \land O\sim A \vdash \sim O\sim A \land O\sim A
\end{equation}

That is, conflicts of the form \(O A \land O\sim A\) entail plain contradictions. Goble is critical of such systems:

That seems an exceedingly strong commitment. It is easy to accept that there are normative conflicts, harder to suppose they all yield contradictions that are true. Even Priest, the hierarch of dialetheism, does not consider normative conflicts so paradoxical [Goble, 2014, Fn. 15].

The systems presented in this section circumvent Goble’s criticism by invalidating inferences like (64).

In [Beirlaen and Straßer, 2013] the semi-paraconsistent deontic logic LNP is presented and extended within the adaptive logics framework. LNP is a close cousin of DCLoNs\(_2\), but has a slightly different language in which the \(P\)-operator is primitive, and in which ‘\(-\)’ is allowed only outside the scope of deontic operators, while ‘\(-\)’ is allowed only inside the scope of deontic operators.

Once we are open to the possibility of changing the logic of the connectives, new questions arise. For instance, why should we always blame negation for the explosive behavior of a logic, and why not weaken the meaning of the other connectives? Why not e.g. give up addition for \(\lor\) (i.e., to derive \(A \lor B\) from \(A\))

\footnote{\(52\) (64) holds for the inconsistency-adaptive systems presented in [Beirlaen, 2012; Beirlaen et al., 2013], and [Beirlaen and Straßer, 2011]. The closely related principle \(O A \land O\sim A \vdash (O\sim A \land \sim O\sim A) \lor OB\) holds for those logics mentioned in [Goble, 2014] which satisfy the ‘deontic addition’ schema \(O A \supset O(A \lor B)\).}
or from $B$? In [Batens, 1999b], Batens shows that a whole range of interesting new logics come to the fore, once we generalize the idea of gluts and gaps to other connectives and logical operators. The application of all this to deontic reasoning is yet to be studied in detail, but it can draw on many existing results concerning corrective ALs.

In [Beirlaen and Straßer, 2014], a very rich paraconsistent deontic logic is presented, one that allows the user to express not only obligations that concern states of affairs, but also obligations that concern agency. The language of these systems contains modal operators $\Box_j$ for “the group of agents $J$ brings it about that”, inspired by existing work on logics of agency [Segerberg, 1992; Belnap and Perloff, 1993; Elgesem, 1997]. This in turn allows one to distinguish between various different types of inter-personal and intra-personal deontic conflicts:\footnote{An inter-personal conflict is one that holds between the obligations of different agents, whereas an intra-personal conflict obtains between the obligations of a single agent. One famous example of an inter-personal normative conflict can be found in Sophocles’ Antigone, where due to the city’s laws, Creon is obliged to prevent the burial or Antigon’s brother Polyneices, but Antigone faces a religious and familial obligation to bury Polyneices [Marcus, 1980; Gowans, 1987].}

\begin{align}
(65) & \quad O\Box_iA \land O\Box_j\neg A \\
(66) & \quad O\Box_iA \land P\Box_j\neg A \\
(67) & \quad O\Box_iA \land O\Box_j\neg A \\
(68) & \quad O\Box_iA \land P\Box_j\neg A \\
(69) & \quad O\Box_iA \land O\sim\Box_iA \\
(70) & \quad O\Box_iA \land P\sim\Box_iA \\
(71) & \quad O\Box_iA \land \sim O\Box_iA \\
(72) & \quad P\Box_iA \land \sim P\Box_iA
\end{align}

One further advantage of such richer formal languages in the context of adaptive reasoning is that they allow us to prioritize the minimization of certain types of conflicts over that of others. For instance, we may consider conflicts of type (67) worse than those of type (65) and (65), since the former clearly violate the principle that if an agent ought to bring about $A$, then that agent is also able to see to $A$ – assuming agents cannot bring about contradictions. Such a prioritized reasoning can be modeled in terms of a lexicographic AL (cf. Section 3.4).

8 Conflict-tolerant adaptive logics: round-up

In this section, we give an overview of the main features of the logics discussed so far. We start by giving an overview of the performance of revisionist ALs with respect to the criteria introduced in Section 4.2. In Section 8.2 we return to the logics from Section 3. We show how these can be evaluated using similar criteria, and how they can be enriched in various ways.
8.1 Revisionist deontic adaptive logics: overview

The behavior of the revisionist adaptive logics with respect to the criteria from Section 4.2 is summarized in Tables 1 and 2. Principles (arguments) that are valid in a given logic receive a ✓, invalid principles (arguments) receive a ✗.

Where the premises of an argument are trivialized by a given logic, we write a ⊥ in Table 2.

<table>
<thead>
<tr>
<th>Logic</th>
<th>DEX</th>
<th>DEX-Ø</th>
<th>DEX-P</th>
<th>DEX-ØP</th>
<th>DEX-Ø¬P</th>
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<td>✓</td>
<td>✓</td>
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<td>✓</td>
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<td>✗</td>
</tr>
<tr>
<td>DCLuN²</td>
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<td>✓</td>
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<td>✗</td>
</tr>
</tbody>
</table>

Table 1. Behavior of deontic ALs with respect to various explosion principles.

Let us close this overview with a technical point. All ALs discussed in Sections 5–7 have a monotonic, conflict-tolerant deontic logic as their lower limit logic. The latter logics are mutually incomparable, in the sense that neither is stronger than another. For instance, the logic LUM.a from Section 5 invalidates (Inh) but validates (Agg); conversely, the logic P that is discussed in Section 6 invalidates (Agg) but validates (Inh). It can easily be shown that any two ALs that are based on such incomparable lower limit logics, are themselves equally incomparable. This is an immediate corollary of the following:

**Theorem 8.1** Let AL₁ and AL₂ be two ALs in standard format, defined by the triples ⟨LLL₁, Ω₁, x₁⟩, resp. ⟨LLL₂, Ω₂, x₂⟩, over a given formal language. If ⊢AL₁ ⊆ ⊢AL₂, then ⊢LLL₁ ⊆ ⊢LLL₂.

**Proof.** By contraposition: suppose that ⊬LLL₁ ⊈ ⊢LLL₂. Let Γ, A be such that (i) Γ ⊢LLL₁ A but (ii) Γ ⊬LLL₂ A. By (i) and the monotonicity of LLL₁, (iii) Γ ∪ {¬A} ⊨LLL₁ A. By (ii), Γ ∪ {¬A} is LLL₂-consistent, and hence by CL-properties, (iv) Γ ∪ {¬A} ⊬LLL₂ A. By (iii) and Theorem 3.15,

---

54 As noted before, for the logics from Section 7 we assume that the principles (arguments) in question are formalized using the paraconsistent negation sign ¬.

55 A small warning is in place here. The paraconsistent deontic logics of the DCLuN-family, presented in Section 7, work with a richer language that contains both a paraconsistent and a classical negation. The claim we make here concerns the fragment of those logics without the classical negation.

56 Theorem 8.1 generalizes one direction of Theorem 3.3 in [Van De Putte and Straßer, 2014].
Table 2. Behavior of deontic ALs with respect to the Smith (S), Jones (J), Roberts (R1 and R2), Thomas (T), and Natascha (N1 and N2) arguments from Section 4.

<table>
<thead>
<tr>
<th></th>
<th>S</th>
<th>J</th>
<th>R1</th>
<th>R2</th>
<th>T</th>
<th>N1</th>
<th>N2</th>
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Γ ∪ {¬A} ⊢ AL_1 A. By (iv) and Theorem 3.11, Γ ∪ {¬A} ⊬ AL_2 A. Hence, ⊬ AL_1 ⊆ ⊬ AL_2. □

As a result, the ALs discussed in Sections 5-7 are incomparable, i.e. an AL belonging to one of these three types cannot in general be stronger or weaker than an AL belonging to another of the three types.

8.2 Prima facie obligations revisited

Explosion principles To apply the criteria from Section 4.2 to the logics from Section 3.1, we need some more preparation. We take it that the premises of the explosion principles, resp. arguments under consideration are all concerned with prima facie obligations, whereas their conclusion concerns actual obligations. Under this translation, SDL^p^ and SDL^m^ invalidate the analogues of (DEX) and (DEX-O-⊥):

(73) O^p A ∧ O^p ¬A ⊬ OB
(74) O^p (A ∧ ¬A) ⊬ OB

The other explosion principles cannot as easily be translated to these systems, because in Section 3.1 we did not define a corresponding prima facie permission operator for the logics SDL^p^.

Suppose that we add a second dummy operator P^p^ to the language of SDL^p^. For the adaptive extension of the resulting logic, we re-define the set of abnormalities Ω_p^ by including both formulas of the form O^p A ∧ ¬OA and formulas
of the form $P^pA \land \neg P^pA$. In the resulting logic, the following analogues of the explosion principles (DEX-$P\bot$) and (DEX-$Op$) are invalid:

$$
\begin{align*}
(75) & P^p(A \land \neg A) \vdash OB \\
(76) & O^pA \land P^p\neg A \vdash B \\
(77) & O^pA \land \neg P^pA \vdash B
\end{align*}
$$

Note that conflicts of the form $O^pA \land P^p\neg A$ give rise to disjunctions of abnormalities in this logic:

$$
O^pA \land P^p\neg A \vdash (O^pA \land \neg O^pA) \lor (P^p\neg A \land \neg P^p\neg A)
$$

In case there is a conflict between a *prima facie* obligation and a *prima facie* permission, the adaptive logic will not prioritize one over the other. This is in line with [Hansen, 2014], where it is argued that permission should not take priority over obligations or conversely. Should one nevertheless want a logic that does treat one type of conflict as “worse” than the other, then one can turn to the format of lexicographic ALs as sketched in Section 3.4.

**Benchmark examples** First, in both $SDL^p_r$ and $SDL^p_n$, the Smith and Jones arguments are $SDL^p_n$-valid, while Roberts and Thomas are not.

$$
\begin{align*}
(Smith) & O^p(f \lor s), O^p\neg f \vdash_{SDL^p_n} Os \\
(Jones) & O^p(j \land s) \vdash_{SDL^p_n} Oj \\
(Roberts 1) & O^p(t \land r), O^p(\neg t \land v) \not\vdash_{SDL^p_n} Or \land Ov \\
(Roberts 2) & O^p(t \land r), O^p(\neg t \land v) \not\vdash_{SDL^p_n} O(r \land v) \\
(Thomas) & O^p(t \land (f \lor s)), O^p(\neg t \land \neg f) \not\vdash_{SDL^p_n} Os
\end{align*}
$$

In order to infer the conclusions of the Roberts and Thomas arguments, we would need to detach the obligations $O(t \land r)$ and $O(t \land (f \lor s))$ respectively. But we cannot do that in view of the following minimal Dab-consequences of the respective premise sets:

$$
\begin{align*}
(79) & (O^p(t \land r) \land \neg O(t \land r)) \lor (O^p(\neg t \land v) \land \neg O(\neg t \land v)) \\
(80) & (O^p(t \land (f \lor s)) \land \neg O(t \land (f \lor s))) \lor (O^p(\neg t \land \neg f) \land \neg O(\neg t \land \neg f))
\end{align*}
$$

One way of accounting for the Roberts and Thomas arguments is to strengthen $SDL^p_n$ by closing the operator $O^p$ under a number of further rules. For instance, we could add a principle permitting the inference from $O^p(A \land B)$ to $O^pA$, such as (Inh). That would enable us to infer $O^p\neg r$ given $O^p(t \land r)$, and $Or$ given $O^p\neg r$ (on the condition $O^p\neg r \land \neg Or$). Clearly, however, not anything goes when closing $O^p$ under additional rules. For one thing, we do not want to end up with full $SDL$ or even $K$ for *prima facie* obligations, as this would completely annihilate our initial objective. But also if we characterize $O^p$ in terms of weaker logics like the ones presented in Sections 5-7, we should be careful. After all, the richer one’s lower limit logic, the more likely one is to end up with flip-flop problems that will require further tinkering with the set of abnormalities, much as we had to do in previous sections.
For the Natascha argument, one can translate the impossibility of $s \land m$ using the operator $O$ for actual obligations. The underlying idea is that constraints concerning what is practically (im)possible only have a bearing on actual obligations, not on the *prima facie* obligations. This can again be done in two different ways, giving rise to two different premise sets. For both, the validity of the argument will depend on the adaptive strategy:

(Natascha 1) $O^p s, O^p m, O^p (s \supset t), O^p (m \supset t), \neg O(s \land m) \not\vdash_{\text{SDL}^p} Ot$

(Natascha 1) $O^p s, O^p m, O^p (s \supset t), O^p (m \supset t), \neg O(s \land m) \vdash_{\text{SDL}^p} Ot$

(Natascha 2) $O^p s, O^p m, O^p (s \supset t), O^p (m \supset t), O\neg(s \land m) \not\vdash_{\text{SDL}^p} Ot$

(Natascha 2) $O^p s, O^p m, O^p (s \supset t), O^p (m \supset t), O\neg(s \land m) \vdash_{\text{SDL}^p} Ot$

9 Conditional obligations and adaptive detachment

SDL is inadequate not just for accommodating normative conflicts in deontic logic, but also for representing deontic conditionals, as we will explain below.\footnote{We will only sketch the latter inadequacy here. It is discussed at length in Section 8.5, and in the Appendix of Ch. 1 in the first volume of this handbook. For other overviews of this problem, see for instance [˚Aqvist, 2002; Carmo and Jones, 2002].}

Within the vast literature on such conditionals, one can distinguish three general approaches. The first is to represent them by means of a *dyadic* obligation operator $O(· | ·)$, and to read a formula $O(B | A)$ as ‘If $A$, then $B$ is obligatory’. A second approach is to treat the problems surrounding deontic conditionals as symptomatic of the bigger challenge of how to formalize conditional statements in general. The third approach is more abstract: it treats deontic conditionals as pairs connecting a given “input” with an “output”, and defines specific proof theories and an operational semantics (based on the principle of detachment and CL) for such connections.

We will discuss these three different approaches in Sections 9.1-9.3 respectively, showing how the framework of ALs can be useful in each of them. Our discussion will be mainly tentative; we provide pointers to more technical results and fully worked-out proposals in the literature at the end of each subsection.

9.1 Adaptive dyadic deontic logics

Helping one’s neighbours Let us illustrate the distinctive problems surrounding deontic conditionals by means of a so-called Chisholm scenario – after [Chisholm, 1963]. This scenario can be represented as follows in the dyadic setting:

(i) It is obligatory that Jones goes to the aid of his neighbours ($Og$).

(ii) It is obligatory that if Jones goes to the aid of his neighbours, then he tells them he is coming ($O(t \mid g)$).

(iii) If Jones does not go to the aid of his neighbours, then he ought not to tell them he is coming ($O(\neg t \mid \neg g)$).
(iv) Jones does not go to the aid of his neighbours ($\neg g$).

Recall now the principles of **factual detachment** (FD) and **deontic detachment** (DD) from Section 1:

(FD) $A, O(B \mid A) \vdash OB$

(DD) $OA, O(B \mid A) \vdash OB$.

Given premises (iii) and (iv), we can use (FD) to infer an obligation $O\neg t$ for Jones not to tell his neighbours he is coming. However, given premises (i) and (ii), we can use (DD) to infer an obligation $Ot$ for Jones to tell his neighbours he is coming.

But now we face a dilemma. Jones cannot both tell and not tell his neighbours he is coming. So, each of (DD) and (FD) has some intuitive appeal, but together they lead to trouble (and to explosion if the $O$-operator is that of SDL). This is the dilemma of deontic and factual detachment, also known in the literature as the dilemma of detachment and commitment [Åqvist, 2002; van Eck, 1982].

Since each of (DD) and (FD) seems reasonable in isolation, Hilpinen and McNamara argue that we cannot just pick one of them at the expense of the other, and that we need to move to a more nuanced position beyond this choice [Hilpinen and McNamara, 2013, p. 119]. One solution is to make the detachment – via (DD) or (FD) – of unconditional obligations subject to further conditions, such as joint consistency. The AL framework allows us to make this idea exact, and to study its pros and cons.

**A simple solution** Let SDL$_d$ be the logic obtained by replacing the unary *prima facie* operator $O^p(\cdot)$ of SDL$_p$ with the conditional operator $O(\cdot \mid \cdot)$. As we did with the $O^p$-operator of SDL$_p$, we treat the new conditional operator like a dummy operator in SDL$_d$. In order to detach unconditional obligations from conditional obligations, we strengthen SDL$_d$ adaptively to the logics SDL$_d^x$, which are defined by the triple $\langle$SDL$_d, \Omega_d, x \rangle$, with $x \in \{r, m\}$ and $\Omega_d = \Omega_{fd} \cup \Omega_{dd}$:

\[
\Omega_{fd} = \{O(B \mid A) \wedge A \wedge \neg OB \mid A, B \in W\}
\]

\[
\Omega_{dd} = \{O(B \mid A) \wedge OA \wedge \neg OB \mid A, B \in W\}
\]

In view of the SDL$_d$-valid inferences (81) and (82), the adaptive logics SDL$_d^x$ allow for the conditional application of (FD) and (DD):

(81) $A, O(B \mid A) \vdash OB \lor (O(B \mid A) \wedge A \wedge \neg OB)$

(82) $OA, O(B \mid A) \vdash OB \lor (O(B \mid A) \wedge OA \wedge \neg OB)$

We illustrate the resulting logic by applying it to the Chisholm scenario in (i)-(iv):
1. \( \text{O}_g \)  
2. \( \text{O}(t \mid g) \)  
3. \( \text{O}(\neg t \mid \neg g) \)  
4. \( \neg g \)  
5. \( \text{O}t \)  
6. \( \text{O}(\neg t) \)  
7. \( \text{O}(t \mid g) \land \text{O}_g \land \neg \text{O}t \)  

Lines 4 and 5 remain marked in any extension of this proof, so that neither \( \text{O}t \) nor \( \neg \text{O}t \) is an SDL\(_d\)-consequence of the premises at lines 1-4. Thus, in cases of conflict, the applications of (FD) and (DD) that lead to the conflict are rejected.

Some have taken a bolder stance here by arguing that when factual and deontic detachment lead to a conflict, (FD) overrules (DD) or vice versa. We will not go into this discussion here – see [Hilpinen and McNamara, 2013, p. 112-124] for an overview of the various positions. However, let us briefly indicate how this idea of overruling can be modeled with the AL framework.

Recall the lexicographic ALs that were introduced in Section 3.4. Consider the lexicographic ALs defined in terms of the lower limit logic SDL\(_d\) and the sequence \( \langle \Omega_{fd}, \Omega_{dd} \rangle \). The idea is that we treat abnormalities with respect to factual detachment as “worst”, and hence give priority to (FD) over (DD). For instance, in the Chisholm case, the abnormality \( \text{O}(t \mid g) \land \neg g \land \neg \text{O}t \) will be avoided, and hence the abnormality \( \text{O}(\neg t \mid \neg g) \land \neg g \land \neg \text{O}t \) will be assumed to hold. Thus, in such logics, one can conclude that Jones ought not to tell his neighbours he is coming. Other applications of (DD) that do not result in conflicting obligations will remain valid in such logics. Finally, if two different applications of (FD) conflict, they will both be blocked in the adaptive logics.

Open problems and further reading The first monotonic dyadic deontic logics were introduced in Bengt Hansson’s seminal paper [Hansson, 1969]. See the first chapter of this handbook volume for a detailed study of the history and metatheory of those logics [Ref Parent chapter in this volume]. Hansson-style dyadic deontic logics typically invalidate (FD), while some of them validate (DD).

More recently, van Benthem, Grossi and Liu have investigated the relation between modal logics of preferences, priority structures, and dyadic deontic logic more generally [van Benthem et al., 2014]. In this account, the factual information in the antecedent of (FD) is formalized as a dynamic epistemic event, rather than as a “mere” factual (propositional) statement. This way, the non-monotonicity of reasoning with dyadic obligations is formalized at the object-level, rather than as a property of the consequence relation.

Our focus in this section was on the defeasible application of the detachment principles (FD) and (DD). We did not discuss other logical properties of the dyadic obligation operator \( \text{O}(\cdot \mid \cdot) \), and instead treated it as a dummy operator much like we treated the \( \text{O}p \)-operator from Section 3. But we may of course wonder whether there are no logical properties which the dyadic operator ought to satisfy unrestrictedly. Possible candidates include, for instance, the dyadic
versions of the aggregation and inheritance principles:

\[(\text{DAgg})] \quad (O(B \mid A) \land O(C \mid A)) \supset (O(B \land C \mid A))

\[(\text{DInh})] \quad \text{From } O(B \mid A) \text{ and } \vdash B \supset C, \text{ to infer } O(C \mid A)

However, one has to be careful again, since enriching one’s lower limit logic may easily give rise to flip-flop-problems, analogous to the monadic deontic logics presented in previous sections. The solutions that were discussed in those sections may in turn be transferred to the dyadic setting.

Different preferences regarding the characterization of $O(\cdot \mid \cdot)$ have given rise to a wide variety of dyadic systems, including a range of conflict-tolerant dyadic systems which could in turn be extended adaptively so as to gain further inferential power. For instance, in [Straßer, 2010] and [Straßer, 2014, Ch. 11], Christian Straßer studied conditional versions of some of the LUM-systems from Section 5, and presented a number of adaptive extensions of these logics. In [Straßer, 2011] and [Straßer, 2014, Chapters 11–12], Straßer presents a general method for turning dyadic deontic logics into dyadic ALs which allow for the conditional application of (FD), paying special attention to Chisholm-scenarios.

9.2 Adaptive reasoning with conditionals

Adaptive detachment, generalized Instead of using a binary operator for conditional obligation, one may also introduce a new conditional $\Rightarrow$, so that the logic of deontic conditionals derives from the logic for this new conditional and the logic for the monadic operator $O$ of one’s choice. In this section we focus on this second approach.

Suppose we formalize “If $A$, then $B$ is obligatory” as $A \Rightarrow OB$. Then at the very least we want to be able to factually detach $OB$ given $A$ and $A \Rightarrow OB$, absent further information. But we may not want unrestricted detachment (or full modus ponens) for the conditional $\Rightarrow$. For instance, given the premises $p, q, p \Rightarrow O r$, and $q \Rightarrow O \neg r$, we may not want to be able to detach both $O r$ and $O \neg r$, unless perhaps we move to a non-standard characterization of $O$. So if we stick to a standard characterization of $O$ as an SDL-operator, we will want to allow for some, but not all instances of modus ponens for $\Rightarrow$.

In other words, we only want to apply detachment in a defeasible way. This can be done as follows in terms of ALs. We first enrich the language of SDL with a default conditional, where nested occurrences of $\Rightarrow$ are disallowed:

\[\mathcal{W}^{\Rightarrow} := \mathcal{W}^d | \langle \mathcal{W}^{\Rightarrow} \rangle \supset \langle \mathcal{W}^{\Rightarrow} \rangle | \neg \langle \mathcal{W}^{\Rightarrow} \rangle | \langle \mathcal{W}^{\Rightarrow} \rangle \lor \langle \mathcal{W}^{\Rightarrow} \rangle | \langle \mathcal{W}^{\Rightarrow} \rangle \land \langle \mathcal{W}^{\Rightarrow} \rangle | \langle \mathcal{W}^{\Rightarrow} \rangle \equiv \langle \mathcal{W}^{\Rightarrow} \rangle\]

Next, let $\text{SDL}_{\Rightarrow}$ be just $\text{SDL}$, but defined over this richer language. Hence, $\Rightarrow$ has no properties in $\text{SDL}_{\Rightarrow}$. We then define our ALs on the basis of $\text{SDL}_{\Rightarrow}$, by the set of abnormalities

\[\Omega_{\Rightarrow} := \text{df} \{(A \Rightarrow B) \land A \land \neg B \mid A, B \in \mathcal{W}^d\}\]

\[59\text{One may also represent the conditional obligation "If } A, \text{ then it is obligatory that } B" \text{ by } O(A \Rightarrow B) \text{ or } O A \Rightarrow O B. \text{ We will have little to say about the first of these two alternatives; we briefly return to the second at the end of this section.}\]

\[60\text{We consider deontic detachment at the end of this section.}\]
So whenever the conditional $A \Rightarrow B$ is true and $A$ is true, then we assume that also $B$ is true. Note that $A$ and $B$ can be arbitrary members of $W^d$, hence also $A$ can be a deontic statement such as $Op$ – we return to this point below.

Let us call the resulting adaptive logics $\text{SDL}_{x}^\Rightarrow$. As the following proof illustrates, conditional obligations are detachable in $\text{SDL}_{x}^\Rightarrow$ as long as no conflicts are generated. (For the sake of readability, we abbreviate $(A \Rightarrow B) \land A \land \neg B$ as $A \not\Rightarrow B$.)

\begin{align*}
1 & \quad p \land q \quad \text{Prem} \quad \emptyset \\
2 & \quad p \Rightarrow Or \quad \text{Prem} \quad \emptyset \\
3 & \quad q \Rightarrow O \neg r \quad \text{Prem} \quad \emptyset \\
4 & \quad (p \land q) \Rightarrow Os \quad \text{Prem} \quad \emptyset \\
5 & \quad Or \quad 1,2;RC \quad \{p \not\Rightarrow Or\}^8 \\
6 & \quad O \neg r \quad 1,3;RC \quad \{q \not\Rightarrow O \neg r\}^8 \\
7 & \quad Os \quad 1,4;RC \quad \{(p \land q) \not\Rightarrow Os\} \\
8 & \quad (p \not\Rightarrow Or) \lor (q \not\Rightarrow O \neg r) \quad 1-3;RU \quad \emptyset
\end{align*}

The conditional $\Rightarrow$ of $\text{SDL}_{x}^\Rightarrow$ is of course very weak – we can only make use of it by going adaptive. We can however strengthen the lower limit logic by adding further rules. Here are some candidates:

(Or) \quad \quad \text{If } A \Rightarrow C \text{ and } B \Rightarrow C, \text{ then } (A \lor B) \Rightarrow C

(Tra) \quad \quad \text{If } A \Rightarrow B \text{ and } B \Rightarrow C, \text{ then } A \Rightarrow C

(CTra) \quad \quad \text{If } A \Rightarrow B \text{ and } (A \land B) \Rightarrow C, \text{ then } A \Rightarrow C

(SA) \quad \quad \text{If } A \vdash B \text{ and } B \Rightarrow C, \text{ then } A \Rightarrow C

Each of these rules can be added to our logic if desired. However, one should be careful here, as adding more properties to one’s lower limit logic often generates flip-flop problems, as explained in the previous sections of this chapter.

Unlike the dyadic deontic operator of $\text{SDL}_d$ from Section 9.1, the conditional $\Rightarrow$ of $\text{SDL}_{x}^\Rightarrow$ is completely independent of the way we formalize obligations. We can read a statement $A \Rightarrow B$ as ‘If $A$, then normally $B$’ as we would do for defeasible conditionals in general. In $\text{SDL}_{x}^\Rightarrow$ we detach obligations via defeasible modus ponens, just like we defeasibly detach conclusions in default logic or in your preferred calculus of non-monotonic logic. So this approach is very unifying, treating deontic reasoning as just one specific type of defeasible reasoning in general.

However, the approach has the disadvantage that it cannot as easily accommodate deontic detachment (DD) (cf. Section 9.1). Consider the following three inferences:

\begin{align*}
(83) & \quad p, p \Rightarrow Oq \vdash Oq \\
(84) & \quad Op, Op \Rightarrow Oq \vdash Oq \\
(85) & \quad Op, p \Rightarrow Oq \vdash Oq
\end{align*}

(83) and (84) are derivable $\text{SDL}_{x}^\Rightarrow$-rules: we can apply these rules conditionally in $\text{SDL}_{x}^\Rightarrow$. However, (85) is not a derivable rule in $\text{SDL}_{x}^\Rightarrow$. Some have argued that this is how it should be (see e.g. the discussion and references in [Bonevac, 2016]). Still, (85) has some intuitive force.
One way to defend $\text{SDL}_2^a$ is by arguing that, whenever we think deontic detachment should be allowed, the appropriate translation of the conditional is as in (84). More generally, such conditionals are of the form: if $A$ is obligatory, then also $B$ is obligatory ($OA \Rightarrow O B$). However, that would mean that in many cases we need a kind of “double translation” of deontic conditionals – as $(A \Rightarrow OB) \land (OA \Rightarrow OB)$ – which seems highly artificial. So altogether, it seems that the second approach is less suited to accommodate (DD).

**Further reading** The literature on the formalization of defeasible conditionals is vast. For some good entry points, see e.g. [Kraus et al., 1990; Makinson, 2005]. In this section we only presented a basic mechanism for the defeasible detachment of obligations via a new conditional. For more information on the types of rules that can be studied via this mechanism, and for an AL that also allows one to handle specificity-cases, we refer to [Straßer, 2014, Chapter 6].

### 9.3 Adaptive Characterizations of input/output logic

**Input/output logic** The third approach to deontic conditionals that we will discuss here goes under the name input/output logic (henceforth I/O logic). Technically speaking, I/O logics (without constraints, cf. infra) are operations that map every pair $⟨A, G⟩$ to an “output” $O \subseteq W$, where (i) $G \subseteq W \times W$ is a set of “input/output pairs” $(A, B)$; (ii) $A \subseteq W$ is the “input”. For instance, given the input $A = \{p, q\}$ and the set of conditionals $G = \{(p, r), (q, s)\}$, the output $O$ will consist of $r$, $s$, and everything that follows from their conjunction.

In a deontic setting, $A$ usually represents factual information, $G$ is a set of conditional obligations, and the output consists of what is obligatory, given the facts at hand and given the conditional obligations that make up our normative system. The idea of factual detachment thus lies at the very core of I/O-logics. Different I/O-logics are obtained by varying on the rules under which $G$ is closed, before one applies factual detachment. These rules are themselves highly similar to the ones used to characterize default conditionals (cf. Section 9.2). For example, by assuming that $G$ is closed under the rule (OR)

$$(\text{OR}) \quad \text{If } (A, C) \text{ and } (B, C), \text{ then } (A \lor B, C)$$

we can obtain $r$ in the output of $A = \{p \lor q\}$ and $G = \{(p, r), (q, r)\}$. Similarly, if $G$ is closed under the rule (Tra), one can validate deontic detachment (DD):

$$(\text{Tra}) \quad \text{If } (A, B) \text{ and } (B, C), \text{ then } (A, C)$$

So for instance, given closure under (Tra), we can obtain $q$ in the output of $A = \emptyset$ and $G = \{(\top, p), (p, q)\}$.

Both (FD) and (DD) are accommodated within the I/O-systems presented [Makinson and van der Torre, 2000]. However, this framework cannot handle conflicts that arise from the application of (FD) or (DD) or both: e.g. $A = \{p, q\}$ and $G = \{(p, r), (q, r)\}$ will generate a trivial output.

To deal with such cases, Makinson and van der Torre introduced a set $C$ of “constraints” in their [2001]. Depending on the application context $C$ may...
represent physical constraints, human rights, practical considerations, etc. \( \mathcal{C} \) can restrict the output in two ways, each corresponding to a different style of reasoning. We can require consistency of \( \mathcal{O} \cup \mathcal{C} \), or we can impose the weaker requirement that for each \( A \in \mathcal{O} \), \( \{A\} \cup \mathcal{C} \) is consistent. In the border case where \( \mathcal{C} = \emptyset \), this simply means that we require the \( \mathcal{O} \) to be consistent, or that each \( A \in \mathcal{O} \) is consistent. The first approach is called meet constrained output; the second is the join constrained output.

The adaptive characterization In [Straßer et al., 2016], I/O-logics are characterized in terms of deductive systems within a rich modal language. We explain how this works for constrained I/O-logics (the case for unconstrained I/O-logics is simpler). The language uses unary modal operators \( \text{in} \), \( \text{out} \), \( \text{con} \) to represent input, output, and constraints respectively. Input/output pairs \( (A,B) \) are represented by means of \( \text{in} \), \( \text{out} \) and a conditional \( \rightarrow \), as follows:

\[
in A \rightarrow \text{out} B
\]

The principle of detachment and the rules for input/output-pairs are then translated into the object level. This gives us rules and axioms such as the following:

\[
\begin{align*}
\text{(DET')} & \quad \text{If} \ \text{in} A \ \text{and} \ \text{in} A \rightarrow \text{out} B, \ \text{then} \ \text{out} B \\
\text{(OR')} & \quad (\text{in} A \rightarrow \text{out} C) \land (\text{in} B \rightarrow \text{out} C) \supset (\text{in} (A \lor B) \rightarrow \text{out} C) \\
\text{(Tra')} & \quad (\text{in} A \rightarrow \text{out} B) \land (\text{in} B \rightarrow \text{out} C) \supset (\text{in} A \rightarrow \text{out} C)
\end{align*}
\]

Finally, to mimick the selection of maximal consistent sets of conditionals, a dummy operator \( \bullet \) is introduced and used in much the same way as we did in Section 3. That is, conditionals \( (A,B) \in \mathcal{G} \) are translated into formulas of the form \( \bullet (\text{in} A \rightarrow \text{out} B) \). The adaptive logics then allow one to “activate” such conditionals by removing the dummy, whence one can apply rules like (DET’), (OR’), or (Tra’) to them.

Suppose, for instance, that we are given the following set of inputs, I/O-pairs, and constraints: \( A = \{p,q\}, \mathcal{G} = \{(p,r),(q,s),(p,t)\}, \mathcal{C} = \{\neg r \lor \neg s\} \). In the language from [Straßer et al., 2016], this gives us the following premise set:

\[
\Gamma = \{\text{inp},\text{inq}, \bullet (\text{inp} \rightarrow \text{out} r), \bullet (\text{inq} \rightarrow \text{outs}), \bullet (\text{inp} \rightarrow \text{out} t), \text{con} (\neg r \lor \neg s)\}
\]

In an adaptive proof from \( \Gamma \), we can finally derive \( \text{out} t \). Depending on the strategy, we can also finally derive \( \text{out} (r \lor s) \) or even \( \text{out} r \) and \( \text{out} s \).

Let us illustrate this with an object-level proof. To enhance readability, we use \( \star(A,B) \) to abbreviate \( \bullet (\text{in} A \rightarrow \text{out} B) \land \neg (\text{in} A \rightarrow \text{out} B) \). Moreover, we use superscripts \( r, m \) to indicate the strategy under which certain lines are (not) marked.\(^{61}\)

\(^{61}\)The formulas at lines 10-12 are derivable in view of (DET’). The formula at line 15 is derivable in view of (DET’), modal properties of the KD-operator \( \text{out} \), and the axiom schema \( \text{con} A \supset \neg \text{out} \neg A \), which excludes that a formula that contradicts a constraint is in the output.
Under the modal translation, the minimal abnormality strategy corresponds to the operation of meet constrained output; normal selections (cf. Section 3.4 corresponds to the join constrained output. The reliability strategy has no counterpart in the original framework of [Makinson and van der Torre, 2001]; however, as shown in [Straßer et al., 2016], one can also define a procedural semantics for the corresponding operation, much in the spirit of Makinson and van der Torre’s original setting.

Further reading I/O-logic was introduced by Makinson and van der Torre [2000; 2001] as a formal tool for modeling non-monotonic reasoning with conditionals. We refer to [Parent and van der Torre, 2013] in the first volume of this handbook for an introduction to this approach and its applications to deontic reasoning.

The framework presented here is not only sufficient to characterize many well-known I/O logics, but it allows one to go beyond the expressive means of I/O logics so as to express useful notions in deontic logic such as violations and sanctions. We refer to [Straßer et al., 2016] for the many details, and for an elaborate presentation and discussion of these advantages.

10 Deontic compatibility

10.1 Adaptive logics for deontic compatibility

We saw how ALs are useful for reasoning in the presence of normative conflicts, and for detaching conditional obligations. A different context of application for ALs that was mentioned in Section 1 concerns the implementation of the *nullum crimen sine lege* principle (henceforth NCSL). This principle expresses that no crimes occur where there is no law: that which is not forbidden, is permitted. Typically, NCSL is understood as a rule of closure permitting all the actions not prohibited by penal law [Alchourrón and Bulygin, 1971, pp. 142–143]. It is a fundamental principle of law, the roots of which go back at least as far as the French Revolution. In the twentieth century it was incorporated in various human rights instruments as a non-derogable right [Mokhtar, 2005].

Logicians and computer scientists are very familiar with the concept of
“negation by default”, according to which a piece of information represented by some variable is taken to be absent unless and until we include it in our database. For instance, where a variable $x$ abbreviates that there is a train leaving for Ghent at 14:14, we may conclude that $\neg x$ unless $x$ is mentioned on the timetable at the train station. Similarly, we can think of NCSL as “permission by default”. Formally, this can be expressed as follows, where we take our premise set $\Gamma$ to represent a given normative system or law, and where $\vdash$ is an ordinary (Tarskian) deontic logic:

$$\Gamma \vdash PA \iff \Gamma \not\vdash \neg PA$$

Assume that we want to implement this equivalence against the background of full SDL. Then, on pain of inconsistency, the equivalence can at best hold defeasibly. Suppose, for instance, that we are given a premise set $\Gamma$ such that $\Gamma \vdash \neg P_p \lor \neg P_q$, while $\Gamma \not\vdash \neg P_p$ and $\Gamma \not\vdash \neg P_q$. Then we cannot preserve consistency and apply NCSL to derive $P_p$ and $P_q$. What we want, then, is a logic that preserves consistency and applies NCSL as much as possible.

This motivates an adaptive logic of deontic compatibility which implements NCSL by taking SDL as its lower limit logic, and $\Omega_p$ as its set of abnormalities:

$$\Omega_p = \{\neg PA \mid A \in W\}$$

We call the resulting logic $\text{SDL}_{nc}$ with nc for nullum crimen and $x \in \{r,m\}$. In view of the SDL-validity of $PA \lor \neg PA$, $\text{SDL}_{nc}$ allows for the inference of jointly compatible permissions relative to a given premise set. The following object level proof further illustrates the ways this logic works.

<table>
<thead>
<tr>
<th>Step</th>
<th>Formula</th>
<th>Type</th>
<th>Premises</th>
<th>Rules</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>$O(\neg p \lor \neg q)$</td>
<td>Prem</td>
<td>$\emptyset$</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>$O(\neg s \land t)$</td>
<td>Prem</td>
<td>$\emptyset$</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>$Pt \supset (Pu \supset O\neg v)$</td>
<td>Prem</td>
<td>$\emptyset$</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>$Pp$</td>
<td>RC</td>
<td>${\neg Pp}$</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>$P\neg p$</td>
<td>RC</td>
<td>${\neg P\neg p}$</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>$Pq$</td>
<td>RC</td>
<td>${\neg Pq}$</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>$P\neg q$</td>
<td>RC</td>
<td>${\neg P\neg q}$</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>$Pr$</td>
<td>RC</td>
<td>${\neg Pr}$</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>$P\neg r$</td>
<td>RC</td>
<td>${\neg P\neg r}$</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>$Ps$</td>
<td>RC</td>
<td>${\neg Ps}$ $\checkmark$</td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>$P\neg s$</td>
<td>2; RU</td>
<td>$\emptyset$</td>
<td></td>
</tr>
<tr>
<td>12</td>
<td>$Pt$</td>
<td>2; RU</td>
<td>$\emptyset$</td>
<td></td>
</tr>
<tr>
<td>13</td>
<td>$P\neg t$</td>
<td>RC</td>
<td>${\neg P\neg t}$ $\checkmark$</td>
<td></td>
</tr>
<tr>
<td>14</td>
<td>$Pu$</td>
<td>RC</td>
<td>${\neg Pu}$ $\checkmark$</td>
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</tr>
<tr>
<td>15</td>
<td>$P\neg u$</td>
<td>RC</td>
<td>${\neg P\neg u}$</td>
<td></td>
</tr>
<tr>
<td>16</td>
<td>$Pv$</td>
<td>RC</td>
<td>${\neg Pv}$ $\checkmark$</td>
<td></td>
</tr>
<tr>
<td>17</td>
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<td>RC</td>
<td>${\neg P\neg v}$</td>
<td></td>
</tr>
<tr>
<td>18</td>
<td>$\neg Ps$</td>
<td>2; RU</td>
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<td></td>
</tr>
<tr>
<td>19</td>
<td>$\neg P\neg t$</td>
<td>2; RU</td>
<td>$\emptyset$</td>
<td></td>
</tr>
<tr>
<td>20</td>
<td>$\neg Pu \lor \neg Pv$</td>
<td>2;3;RU</td>
<td>$\emptyset$</td>
<td></td>
</tr>
</tbody>
</table>

One nice feature of this logic is its simplicity, when restricted to premise sets of the form $\{OA \mid A \in \Delta\}$ for $\Delta \subseteq W$. Indeed, for such cases, the strategies
reliability and minimal abnormality will coincide, since every minimal Dab-consequence of such premise sets contains only one disjunct \( A \in \Omega_p \). This is itself an immediate corollary of the following:

**Proposition 10.1** If \( \Gamma = \{ \Omega A \mid A \in \Delta \} \) for \( \Delta \subseteq W \), then \( \Gamma \vdash_{\text{SDL}} (\neg P A_1 \lor \ldots \lor \neg P A_n) \) iff there is an \( i \in \{1, \ldots, n\} \) such that \( \Gamma \vdash_{\text{SDL}} \neg P A_i \).

In more complex cases such as our example proof above, the two strategies may well differ. In either case, the resulting consequence set will be closed under SDL and consistent.

One may wonder whether the idea of deontic compatibility should necessarily be phrased in terms of the underlying logic SDL – after all, legal conflicts are a fact of life, and as soon as such conflicts are modeled in SDL, everything becomes obligatory and permissible. This motivates a logic that defeasibly applies NCSL and that accommodates conflicts much as the logics presented in Sections 5-7.

Let us illustrate this by means of the paraconsistent deontic logics from Section 7. One option is to just take a monotonic paraconsistent deontic logic – say DCLuN, to keep things relatively simple – and to use as a set of abnormalities

\[ \Omega = \{ \Omega A \mid A \in W^\sim \} \]

However, the resulting logic will be too strong, in the sense that it will allow one to derive permissions that should intuitively not be derivable, even if we take NCSL seriously. With such a logic, one can e.g. derive \( P \sim \neg p \) from \( \Gamma = \{ \Omega p \} \). The underlying reason is that in these logics, \( \Omega p \) does not entail \( O \sim \neg p \) (just like the truth of \( p \) does not entail the falsehood of \( \sim p \) in their paraconsistent propositional base), and hence one can consistently assume that \( O \sim \neg p \) is false even when \( \Omega p \) is true. But the mere fact that we want to allow for the logical possibility of conflicts, should not entail that everything is permissible.

A more plausible combination of conflict-tolerance and nullum crimen can be obtained if we combine the adaptive logics DCLuN\(^x\) from Section 7 with NCSL, using the format of lexicographic ALs that was introduced in Section 3.4. This means that the logic first minimizes inconsistencies (which implies i.a. that we derive further obligations), and only after that do we maximize permissions. In this way we can e.g. explain why in view of \( \Gamma' = \{ \Omega p, O(\sim p \lor q), O r, O \sim r \} \) we can derive \( O q, O p \) and \( \sim P \sim p, \sim P \sim q \), but also \( P \sim s, P \sim s \), and \( P \sim r, P \sim r \).

Analogously, one may enrich the logics from Sections 5 and 6 with a default version of NCSL. For similar reasons as in the paraconsistent case, it seems best to first apply the adaptive mechanisms from those sections, and only after that to apply NCSL. For instance, in the case of non-aggregative deontic logics, we would not want to infer \( P \sim (p \land q) \) from \( \Gamma = \{ O p, O q \} \). Likewise, in the context of the LUM-logics, we would not want to infer \( P \sim p \) from \( \Gamma' = \{ O(p \land q) \} \).

The full development of such rich ALs for deontic compatibility is still very much open; it should by now be clear that a broad range of options are to be considered, and that the devil may well be in the many details.
10.2 Further reading

Adaptive logics for classical compatibility were among the first ampliative adaptive logics to be published – see [Batens and Meheus, 2000]. Although these logics were not formulated in the standard format, one can do this by means of the triple

$$(S5, \{\neg \Box A \mid A \text{ is a non-modal formula } \}, x \in \{r, m\})$$

The relation between classical compatibility and the logics in question is then expressed in terms of a modal translation: $A$ is compatible with $\Gamma$ iff $\{\Box A \mid A \in \Gamma\} \vdash_{AL} \Box A$.

In [Meheus, 2003], the basic idea behind these logics is used in order to develop a formal account of paraconsistent compatibility, i.e., what it means that a given formula is compatible with a certain (possibly inconsistent) scientific theory. As Meheus argues there, one also first needs to minimize inconsistencies before checking compatibility with the resulting maximally consistent interpretation of the theory.

11 Summary and outlook

This chapter started with two simple adaptive logics that can handle deontic conflicts. We then discussed in some detail more sophisticated conflict-tolerant ALs, as well as ALs for reasoning with conditional obligations and the problems of detachment that are associated with these. Finally, we broadened the picture by presenting ALs for the inherently defeasible nullum crimen sine lege principle. This should convince the reader of the generality and the flexibility of the adaptive logic framework.

It is important to realize, however, that this does not exhaust the possibilities of adaptive logics for the domain of normative reasoning. This requires more explanation.

All logics presented in this chapter share important constraints. One of them is that we only considered the two main deontic modalities, “it is obligatory that” and “it is permitted that”, and we moreover restricted our formal languages to non-nested occurrences of these modalities. Another one is that we took it for granted that we can start from premise sets that merely consist of very specific and very concrete normative statements, like “Nathan ought to take Lisa to that particular movie on Saturday afternoon”.

Because of these constraints, the logics allow us to explicate only a very small part of the normative reasoning one finds in actual cases. Already the everyday examples from Nathan’s life (that are recognizable to many of us) suffice to illustrate this. In Nathan’s first predicament (the prelude), his normative reasoning does not start from the statements that he ought to take Lisa to the movie in the afternoon, that he ought to look after Ben in the afternoon and that he ought to take Lisa for a veggie burger in the evening. These statements are themselves derived from other statements, in this case concrete promises by Nathan and the general rule “One ought to keep one’s promises”. Also in Nathan’s second predicament (Section 3.1), the specific normative statements are not given at the outset, but are the result of reasoning. In this case, not
only general rules play a role (like “One ought to return favors”), but also commands uttered by an authority (i.e., Nathan’s father). None of the logics presented here allows us to explicate the reasoning from general rules to their instances or from commands (uttered by one person) to obligations (for another person) – to mention only two possible origins of specific normative statements.

There is more. Some readers may have noticed that, while presenting our conflict-tolerant logics, we used the term “prima facie obligations”, but never used the term “all-things-considered obligations” which is, at least since Ross’ [1930], associated with it. Instead we consistently used the term “actual obligations”. The reason is that none of our logics enables us to explicate the reasoning from prima facie obligations to all-things-considered obligations, where the latter is taken to mean something like “obligations that are, after careful deliberation, considered to be binding”. Our logics only give us those binding obligations for which relatively little deliberation is needed. For instance, “if a prima facie obligation is unconflicted, it should be binding” or “if two prima facie obligations are unconflicted, also their conjunction should be binding”, etc.

In order to explicate the reasoning that goes on in resolving a predicament and finding out what one’s all-things-considered obligations are (or should be), we need much more than just deontic operators. For instance, whatever Nathan’s solution for his first predicament may be, it will involve certain beliefs (for instance, what Nathan believes will happen if he does not keep the promise he made to his mother). None of our logics can handle interactions between deontic modalities on the one hand, and doxastic or epistemic modalities on the other.\footnote{See e.g. [Pacuit et al., 2006] for a study of the interaction between epistemic and deontic modalities.}

Does this mean we have gone all this way for nothing? Certainly not. We are convinced that the logics presented here are good candidates to explicate part of the reasoning that goes on in specific deontic contexts. They moreover provide a first stepping stone to more complex, richer accounts of deontic reasoning. So there is still hope for Nathan, or at least for us to fully understand how he should reason.

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