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Abstract	It has been argued that only those models that describe the actual mechanisms responsible for a given cognitive capacity are genuinely explanatory. On this account, descriptive accuracy is necessary for explanatory power. This means that mechanistic models, which include reference to the components of the actual mechanism responsible for a given capacity, are explanatorily superior to functional models, which decompose a capacity into a number of sub-capacities without specifying the actual realizers. I argue against this view by considering models in engineering contexts. Here, other considerations besides descriptive accuracy play a role. Often, the goal of performance trumps that of accuracy, and researchers are interested in how cognitive capacities <i>as sucn</i> can be realized, rather than how it is realized in a given system.	
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Chapter 3 Explaining Capacities: Assessing the Explanatory Power of Models in the Cognitive Sciences

Raoul Gervais

3.1 Introduction

As Robert Cummins notes, capacities are an important type of explanandum 7 addressed by psychologists (Cummins 2000). In fact, this does not only hold with 8 respect to psychology, but seems to apply in equal measure to the other disciplines 9 that fall under the label 'cognitive sciences'. All kind of cognitive capacities are 10 in need of explanation, from face recognition to the ability to play chess; from 11 motor skills to language acquisition. Now whereas most other types of explanandum 12 (events, occurrences, states of affairs etc.) are, at least intuitively, explained by 13 identifying their *causes*, capacities are typically explained in terms of a *model*.^{1,2} 14 To put the difference between these two explanations in pragmatic or erotetic terms, 15 the former are answers to why-questions (Van Fraassen 1980), the latter to how- 16 questions.³

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¹Throughout this paper, the term 'model' is used in a loose sense, to encompass any schema that mimics a certain pattern of behaviour that constitutes the explanandum. Of course, not all such models are scientifically or even philosophically interesting. However, in what follows, some specific *types* of models that *are* of interest will be considered in more detail.

 $^{^{2}}$ Of course, this is not to say that models cannot be causal in themselves, or that we cannot model causes. Rather, the difference is that the explanation of an event, occurrence or state of affairs typically refers to the cause of that event, occurrence or state of affairs, while the explanation of a capacity refers to a model, which may include *descriptions or simulations* of causes, but not the actual cause responsible for the capacity. In the former case, the explanans is located in reality, in the latter, it is a description or simulation of the cause, not the cause itself that does the explaining.

³This is not to say that one cannot ask how-questions about events, or why-questions about capacities (evolutionary explanations of biological traits provide examples of the latter strategy).

In the cognitive sciences, two types of model are used to explain capacities: ¹⁸ functional and mechanistic models. Functional models explain capacities by decomposing them into ever smaller sub-capacities or -routines, and then attempt to ²⁰ show how the overall capacity arises as a result of the way these sub-routines are ²¹ organized (a useful metaphor here is that of the assembly line, where a complex ²² task is divided into several simpler ones). These functional models can be highly ²³ abstract, putting more emphasis on the function to be performed than what actually ²⁴ performs it. Mechanistic models on the other hand, are less abstract. They too ²⁵ involve decomposing a capacity into a hierarchy of sub-functions or -capacities, ²⁶ but also include data on what type of entity is actually responsible for this or that ²⁷ (sub-)function (I will explain these two types of models in more detail in Sect. 3.2). ²⁸

According to some authors, mechanistic models are superior to functional models ²⁹ precisely because they incorporate this additional information. While the latter ³⁰ are merely loose conjectures, the former are, at least in the ideal case, complete ³¹ descriptions of the mechanism responsible for the explanandum. Indeed, Craver ³² goes so far as to say that only to the degree it describes the actual entities by ³³ means of which a mechanism performs a capacity, can the model be said to *explain* ³⁴ that capacity (Craver 2006). Functional models can be useful for the purposes of ³⁵ prediction and control (they can successfully map the input-output patterns of the ³⁶ target system) but explanation requires something further. In the case of cognitive ³⁷ capacities, the model should at least be somewhat accurate ('plausible') from a ³⁸ neurophysiological point of view, if it is to explain those capacities. In short, it seems ³⁹ that on this view, *accuracy with regard to a mechanism's components is necessary* ⁴⁰ *for a model to have explanatory power*. ⁴¹

In this paper, I will argue against this view. Of course Craver is right in stating that 42 in cases where we try to explain a capacity as it is realized in some particular system 43 (which, of course, is what Craver and the mechanists in general are interested in), 44 mere phenomenal models are not explanatory. However, this conclusion does not 45 carry over to models in general: it is not correct to claim that descriptive accuracy is 46 necessary in every context. The argument I present takes the form of a reductio: if it 47 were necessary, this would exclude a whole range of models that are not only useful 48 in the phenomenal sense (for the purposes of control or prediction), but intuitively 49 also have explanatory power. These models are found in the context of *engineering*. 50 A particularly promising way to account for these models is to employ the pragmatic 51 perspective on explanation I hinted at above. We should realize that models need 52 not be answers to how-questions relative to some set of systems S, but can also 53answer how-questions about capacities as such. The picture that emerges suggests 54 that explaining capacities is a much more dynamic affair—consequently, a simple 55 insistence on descriptive accuracy is too simplistic and does no justice to scientific 56 practice. 57

The point is simply that in the cognitive sciences, explaining how a capacity comes about by constructing a model is simply a very prominent research strategy, as we research which makes it philosophically interesting.



3 Explaining Capacities

3.2 Functional Versus Mechanistic Explanations

Traditional functional explanations work by decomposition. They explain a capacity 59 by breaking it down into sub-capacities or -functions, and then show how the overall 60 capacity is a result of the organization of these sub-functions. Returning to the 61 metaphor of the assembly line, let us consider a factory churning out radios. This 62 factory effectively performs the function of taking parts as input and producing 63 radios as output. This function can be explained by dividing the assembly process 64 into several sub-routines carried out by workers standing alongside a conveyor belt, 65 where each subsequent worker adds a specific component to the radio, until the 66 finished product appears at the end of the belt, ready for transport. Once we know 67 all the sub-routines that make up the assembly process, and understand the way 68 they are organized (the order in which the parts are added) we can explain how the 69 factory performs its function by means of a flow-chart or box diagram. 70

This explanatory strategy was widely used in the cognitive sciences, especially in 71 the 1980s and 1990s. Cognitive capacities like memory storage, face recognition 72 and numerical cognition were explained by construing models of how these 73 capacities might be divided up into sub-functions. In psycholinguistics for example, 74 a particularly influential functional model for the capacity of speech production was 75 offered by Levelt (1989). Roughly, the process was divided into three steps: first, 76 the person conceptualizes what he wants to say, second, he formulates this into 77 language (this step is in turn divided into two sub-tasks, one of lexicalization, which 78 produces the words needed, and one of syntactic planning, which provides order and 79 grammatical structuring to these words) and finally, he engages in articulation (see 80 Fig. 3.1).

Of course, this is a rough sketch of how the capacity might be realized, but it need 82 not be wholly speculative. For example, the distinction between lexicalization and 83 syntactic planning may be grounded in experimental evidence: some test subjects 84 might be able to produce the right words, but fail to put them in the correct order. 85 In general then, functional models need not be merely phenomenal (input-output 86 mapping devices): with respect to the partitioning of a capacity into sub-routines, 87



one can be detailed or abstract, and this partitioning might be supported by ⁸⁸ experimental evidence to a greater or lesser degree. ⁸⁹

Yet however much informed a functional model like this might be, there is $_{90}$ one issue with respect to which it remains silent: it has nothing to say about $_{91}$ what actually performs all these sub-tasks. To put the point differently, it specifies $_{92}$ functions, but not the realizers of these functions. In the example of the assembly $_{93}$ line, imagine that in another factory, the different assembly tasks are realized by $_{94}$ robots instead of workers. From a certain level of abstraction, the two factories are $_{95}$ functionally equivalent, as they both perform the function of taking in parts as input $_{96}$ and producing radios as output. More formally, if we want to explain a capacity C $_{97}$ of a system S, we have to construct a functional model M which performs C, such $_{98}$ that for each input, output and input-output relation in S there is a corresponding $_{99}$ input, output and input-output relation in M.

In philosophy, this abstraction from what performs a function is often paired with 101 the thesis of multiple realizability, and has been a key motivator to argue in favour 102 of the autonomy of the special sciences (Fodor 1981). However, what was once 103 hailed as an advantage is now increasingly criticised as a weakness. To be sure, 104 functional models may succeed in correctly mapping the input-output relation of 105 the target system, and for the purposes of control or prediction this may suffice, but 106 does that make the model explanatory? Even though a particular partitioning of a 107 function into subroutines is supported by evidence, if we want to understand how 108 we, as humans, perform some kind of cognitive capacity, it seems imperative that we 109 know something of the brain regions involved. Too often, the critics say, researchers 110 are at a loss about what is really behind the boxes in their diagrams. For heuristic 111 purposes, e.g. when we are just mapping out a certain capacity, this may be fine,⁴ $_{112}$ but if the original status of these boxes as mere placeholders is forgotten, they only 113 serve to mask gaps in our understanding (hence the derogatory term 'boxology' that 114 is sometimes applied to pure functional analysis). 115

In any case, a growing body of literature is devoted to an alternative approach 116 to explaining cognitive capacities: mechanistic explanations. Like functional expla-117 nations, mechanistic explanations decompose the target capacity into several subcapacities. Unlike functional explanations however, mechanistic explanations also 119 incorporate information about *what* performs a certain (sub-)function. They explain 120 a capacity of a system by modelling the mechanism responsible for it: its operations, 121 its entities or parts and the way the operation and parts are organized come into 122 play.⁵ Of course, this model need not be a complete description of the mechanism. 123

⁴See for example Machamer et al., who write that a mechanistic explanation typically starts by providing a mechanism sketch, which is "... an abstraction for which bottom out entities and activities cannot (yet) be supplied or which contains gaps in its stages. The productive continuity from one stage to the next has missing pieces, black boxes, which we do not yet know how to fill in" (Machamer et al. 2000, p. 18).

⁵Another way to put the difference is that mechanistic explanations, besides decomposition, also involve localization, where the latter notion is understood as the identification of activities with parts (Bechtel and Richardson 1993).

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Ideally complete descriptions only serve as a regulative ideal: the degree of 124 completeness required depends on our purposes at the time.

So far so good. But some authors do not stop at that. They believe that if our 126 purposes are *explanatory*, then the model cannot afford to remain silent about the 127 parts or entities of a mechanism: 128

In order to explain a phenomenon, it is insufficient merely to characterize the phenomenon and to describe the behavior of some underlying mechanism. It is required, in addition, that the components described in the model should correspond to components in the mechanism... (Craver 2006, p. 361) 132

Note that in this quote, Craver no longer talks about capacities as they are realized 133 by humans, or indeed by any specific system: the claim he makes is about explaining 134 'a phenomenon', that is, about the explanatory power of models in general, not as 135 they apply to any particular system. Thus Craver seems to endorse the following 136 thesis: 137

(T) or a model to have explanatory power, it is necessary that it corresponds to 138 me target system, both with respect to its operations and the parts carrying 139 out these operations. 140

Now I agree that if we want to explain a capacity *as it is performed by some system* 141 *or set of systems*, we must say something about the parts or components involved 142 and, what is more, what we say should be correct. That is, the accuracy of the 143 model should extend beyond the input-output relations to the actual mechanism 144 itself. However, if from this concession **T** follows, we are in trouble, for not only do 145 the traditional functional models described above not give accurate descriptions of a 146 system's components, they typically remain silent about them altogether! According 147 to **T** then, purely functional models are not explanatory. Nevertheless, from the 148 1970s onward, they have been used in cognitive psychology to explain all kind 149 of capacities. With this discrepancy in mind, in Sect. 3.3, I will try to account for 150 explanatory, yet purely functional models by considering some pragmatic aspects of 151 explanation, while in Sect. 3.4, I will give an example of an explanatory context in 152 which these aspects typically play a role.

3.3 Pragmatic Aspects of Explanation Considered

Although traditional functional models like the one sketched above are more 155 abstract than mechanistic explanations in that they remain silent about a system's 156 components, it would be wrong to infer from this that they have no explanatory 157 power at all. To make this point, I will turn to a pragmatic account of explanation. 158 The account I shall develop is pragmatic in the sense that it elaborates on van 159 Fraassen's erotetic model of explanation. 160

According to van Fraassen, explanations are answers to why-questions 161 (Van Fraassen 1980). However, as I have mentioned in the introduction, when 162 dealing with *capacities*, it is often more appropriate to say that explanations are 163

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answers to how-questions. Fortunately, it has been argued persuasively that howquestions are valid explanation-seeking questions in their own right (Scriven 1962; 165 Salmon 1989). Again, while answers to the former typically consist of identifying 166 or referring to causes, the answers to the latter take the form of models. Recall 167 how functional models work: if we want to explain a capacity C of a system S, 168 we have to construct a functional model M which performs C, such that for each 169 input, output and input-output relation in S there is a corresponding input, output 170 and input-output relation in M. That is, if we want to answer a question like: 171

(1) How is C realized in S?

we should construct a model M that maps the input-output relations that make up 173 C. Having done that, we can answer (1) by saying: 174

(2) C is realized in S the same way that C is realized in M.

Note that although it looks like (2) just restates the mystery, it does not, for we 176 must remember that M is not a mechanism or system in nature, but a model that we 177 have constructed ourselves, so that we know in detail how it realizes C. However, 178 and this is where I agree with Craver, the question seems to ask something beyond 179 input-output mapping. For a simple example, consider: 180

(3) How is the capacity to recognize faces realized in the human brain?

Now some face-recognition systems have been developed that perform this capacity 182 very well, in that they are able, in experimental setups, to map the input-output 183 relations of the brain (they are presented with examples of faces and non-faces and 184 are able to tell the difference with more or less the same degree of accuracy as 185 humans), but do so in a fundamentally different way. Up until recently for example, 186 they could only use two-dimensional geometrical data. Of course we do not want to 187 count: 188

(4) The capacity to recognize faces is realized in the human brain by applying 189 algorithms to exclusively 2-D geometrical data. 190

as an answer to (3). As we know ourselves to see, e.g., chins and noses as 191 protrusions, (4) is clearly inaccurate. Beyond this appeal to 'first person knowledge' 192 however, there is also some 'harder' evidence. For example, 2-D face systems 193 notoriously suffer from what is known as the 'lighting problem': their ability to 194 recognize faces deteriorates significantly when the strength of the light coming 195 from the image they are presented with is varied, while humans tend to retain 196 their abilities in such circumstances. No matter how perfectly such systems may 197 mimic our performance in this task, we have to concede that, being 2-D, they are 198 not explanatory models for face recognition as it is performed by humans. 199

Granted then, a model may to a certain extent map the human input-output 200 relation for a capacity, without being explanatory with respect to the human 201 realization of that capacity. However, **T** makes a stronger claim than that. Craver 202 went beyond models for capacities as they are performed by humans or systems, 203 to claim that *any* model that does not offer an adequate description of a system's 204

Author's Proof

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components has no explanatory power. But do models always have to be models of 205 a capacity as it is performed in a specific (set of) system(s)? The erotetic approach 206 we have explored so far says that if a capacity is the explanandum, the explanans 207 can be viewed as an answer to a how-question. There is nothing to restrict this 208 type of question to include only capacities *as they are realized in some system*, we 209 can also ask how-questions about capacities *as such*, that is, without any particular 210 descriptive or correspondence constraints. Instead of (1), we might ask: 211

(5) How is C (as such) possible?⁶

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The point here is not that researchers will actually be interested in how capacities 213 could be realized without *any* constraints: capacities are of course always realized in 214 some system. Rather, the point is that one can have legitimate motives in placing *as* 215 *little constraints on the system as possible*. In Sect. 3.4, I will consider one context 216 in which this strategy is commonplace, namely the context of engineering. For 217 now, note that at least in psychology and the cognitive sciences, asking explanatory 218 questions about capacities as such forms an important part of scientific practice, if 219 only as a preliminary strategy (that is, preliminary to the business of answering the 220 question how the capacity is realized in some particular system). In fact, this was 221 already noted by Dennett back in 1978:

Faced with the practical impossibility of answering the empirical questions of psychology 223 by brute inspection (how in fact does the nervous system accomplish X or Y or Z), 224 psychologists ask themselves an easier preliminary question: How could any system (ldots) 225 possibly accomplish X? This question is easier because it is 'less empirical'; it is an 226 engineering question, a quest for a solution (any solution) rather than a discovery. (...) 227 Seeking an answer to such a question can sometimes lead to the discovery of general 228 constraints on all solutions (...), and therein lies the value of this style of aprioristic 229 theorizing. (...). For instance, one can ask how any neuronal network with such-and-such 230 physical features could possibly accomplish human color discriminations (...). Or, one can 231 ask, with Kant, how anything at all could possibly experience or know anything at all. Pure 232 epistemology, thus viewed (...) is simply the limiting case of the psychologist's quest. 233 (Dennett 1978, pp. 110–111) 234

Thus viewed, the 'Kantian' question (How is X possible at all?) can be interpreted as 235 constituting the extreme end of a continuum, while enquiries about how a particular 236 system performs that function occupies the opposite end (Fig. 3.2). 237

As Dennett notes, it is possible to begin with more general questions, discovering 238 constraints having to do more with *C* itself, and work your way to a particular 239 realization of *C* in *S*. However, explanation can also work in the opposite direction. 240

AQ2

AQ1

⁶Note that this question does not fall into the category of Craver's how-possibly questions (Craver 2006). For Craver, how-possibly questions are loose inquiries that are made in the early stages of an investigation, in which a lot of data is still missing: they are attempts to put some initial constraints on the explanandum, prior to constructing a more informed (how-plausibly), and ultimately ideally complete description (how-actually). Nevertheless, how-possibly questions in Craver's sense are still asked with respect to a capacity as it is performed by some system. The question under consideration differs because it is asked about a capacity *as such*, regardless of any particular realization.

How does S perform C? How is C performed in S and $S^{1...}$? How is C possible at all?

Fig. 3.2 Different levels of abstraction at which one might seek to explain a capacity

As one moves to the right of the spectrum, the number of constraints will decrease. ²⁴¹ This means that somewhere along the line you get to the point where *C* is described ²⁴² in such a general way that it applies to more than one system. In other words, the ²⁴³ scope increases. Examples of this can be found in medicine. If an impaired capacity ²⁴⁴ in a brain damaged patient has somehow been restored by the brain, we might be ²⁴⁵ interested to know just exactly how that capacity is carried out in this damaged brain. ²⁴⁶ In circumstances like these, we are actually looking to move toward the right end ²⁴⁷ of the spectrum. Of course, detail matters: as soon as we reach the point where all ²⁴⁸ the relevant systems fall under the scope of that capacity, we stop. In the example, ²⁴⁹ as soon as we have described the capacity in such general terms that it applies both ²⁵⁰ to healthy patients and the brain damaged patient, we stop jettisoning constraints. ²⁵¹ This stopping has to do with our methodological interests: it is simply the act of ²⁵² eliminating variables.⁷

In Sect. 3.4, I will give a more detailed example of this explanatory strategy. For 254 now, the point to note here is that abstraction is a matter of degree. How many 255 constraints one places on the system responsible for a certain capacity will be 256 decided by pragmatic issues. This however, seems at odds with **T**, which endorses 257 descriptive accuracy about implementational details as necessary for a model to 258 have explanatory power. Of course, this is particularly striking for questions located 259 near the right end of the spectrum: surely, one cannot expect a model answering (5) 260 to excel in descriptive accuracy, for there is no mechanism specified to describe. In 261 fact, *any* model of *any* system that realizes *C* is a valid answer. Again, scientists are 262 rarely (if ever) interested in capacities under no constraint whatsoever. Nevertheless, 263 the continuum sketched above suggests a more dynamic and more tolerant picture 264 of model-explanation; a picture which **T**, with its simple assertion that descriptive 265 accuracy about entities and parts is necessary for a model to have explanatory power, 266 is too rigid to encompass.

3.4 Explaining Capacities in Engineering Contexts

Explanation-seeking how-questions about capacities as such are often asked in cases 269 where the research is driven by engineering interests. In the case of the cognitive 270 sciences for example, type (5) questions might arise in artificial intelligence. Let us 271 consider one specific example of a cognitive capacity: exact calculation. 272

⁷Also, think of animal testing: here we continue to drop constraints until the capacity is described in such a way as to apply across species. Again, S can be any system, natural or artificial.

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Humans are endowed with the capacity to perform exact calculations accurately, 273 up to a certain level of complexity. If we ask how we perform this capacity, the 274 model that answers this question indeed derives its explanatory power from (among 275 other things) its neurophysiological accuracy. That is, if we want to answer: 276

(6) How is the capacity to perform exact calculations realized in humans?

the model that we use to answer (6) has to reproduce the capacity under a number 278 of constraints. For example, some artificial computing devices might make poor 279 models, as they are disanalogous to human brains in important respects: they might 280 be neurophysiologically implausible, or they might fail to reproduce the capacity 281 to perform exact calculations (e.g., they might be less exact, or they might take far 282 longer to solve arithmetic problems). 283

However, although these respects are important to contexts like the one referred 284 to in question (6), there are other contexts in which they are less important, or even 285 irrelevant, and these other context in which they are less important, or even 286 In other words, descriptive accuracy or correspondence is not the only explanatory 287 context in which we could be interested in the capacity: there are other reasons 288 we might want to explain the capacity to perform exact calculations. Suppose an 289 engineer wants to construct a desk calculator. Now of course, his goal is not to 290 construct a model of how humans perform complex calculations: after all, he is 291 designing a tool that, hopefully, surpasses our own ability. In fact, he seeks to 292 *duplicate* the capacity. Motivated by this interest of duplication, he might ask: 293

(7) How is exact calculation as such possible?

However, this is somewhat artificial. In fact, when constructing a desk calculator, ²⁹⁵ there are all kinds of constraints he needs to take into account.⁸ The point is that ²⁹⁶ these constraints are different from the ones applying to exact calculations as it is ²⁹⁷ performed by humans. Thus, a sensible strategy would be to put fewer constraints ²⁹⁸ on the capacity, until the scope is broad enough to apply to both humans and certain ²⁹⁹ artificial devices. In terms of the continuum sketched above, we stop somewhere in ³⁰⁰ the middle, at the point where the scope is just broad enough to encompass both the ³⁰¹ human realization of the capacity and an artificial one. To put it in other terms, we ³⁰² stop where the forces pulling in opposite directions, namely level of detail (to the ³⁰³ left) and duplication (to the right), balance out for the task at hand. ³⁰⁴

But that is not all. In engineering contexts, it is not uncommon to jettison the 305 requirement of descriptive accuracy completely. To appreciate this, let us continue 306 to pursue the example of the engineer trying to construct his desk calculator. Now 307 there are a number of models that can perform exact calculations. For reasons of 308 clarity, let us consider classic computationalism and connectionism. The symbolic 309 architecture of classic computationalism, where symbols are manipulated according 310

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⁸Examples of such constraints are: the materials available, convenience of use and time considerations (we want the calculator to perform calculations rapidly—within a timeframe that is of use to us, that is).

to a pre-programmed set of rules, is very good at performing very complex 311 calculations with great accuracy, far surpassing that of any human. On the other 312 hand, as a model of the mind, computationalism is outdated. The serial nature of its 313 operations and its consequent brittleness does not compare to the robustness of our 314 brains. Connectionism on the other hand, resembles our brains more closely. In fact, 315 in the original debate between computationalism and connectionism as candidate 316 models for the mind, the latter's neural plausibility (in the form of distribution 317 of activity over a network of nodes, graceful degradation, its ability to recognize 318 patterns etc.) counted as an important point in its favour (McClelland and Rumelhart 319 1986).⁹ However, despite all these advantages, they perform poorly when it comes to 320 exact calculations. In fact, connectionist networks have been ridiculed for answering 321 a question like "What is two plus two?", after much crunching, with "About four". 322

Clearly, exactness is a virtue when it comes to desk calculators. In fact, when ³²³ engineering interests drive model construction, *performance trumps accuracy*. ³²⁴ Duplication therefore, is only a subsidiary goal: it is really the desire to make a ³²⁵ system that outperforms humans that motivates the engineer, and the model he ³²⁶ finally constructs will reflect this. Of this model, that is of the flow chart representing ³²⁷ how the calculator performs the exact calculations, we can say three things. First, ³²⁸ with regard to how humans perform exact calculations, it is an inaccurate model ³²⁹ and fails to explain it. Second, with regard to how the calculator performs it, it is an ³³⁰ ideally complete description and explains it, but that is hardly surprising, since it is ³³¹ the very blueprint the engineer used to make the calculator in the first place. Third, ³³² with regard to the capacity to perform *exact calculations* as such, it explains how that ³³³ capacity *can be* performed. When the engineer asked (7) and started decomposing ³³⁴ exact calculation down into sub-routines, he was looking for an explanation, only ³³⁵ not with neurophysiological accuracy on his mind, but performance. ³³⁶

Yet there are other interests besides duplication or performance that might prompt ³³⁷ the search for an explanation of such capacities. Another interest is *unification*. Once ³³⁸ an artificial system has been designed and constructed, then to anyone besides the ³³⁹ engineers involved in this process of designing and construction, the explanatory ³⁴⁰ question might arise as to what these artificial systems have in common with, e.g., ³⁴¹ natural systems. Again, the term 'system' has been chosen to reflect the fact that ³⁴² we might not only be interested in a capacity as performed by humans (or natural ³⁴³ systems in general), but also by artificial ones. Thus, one might ask the following ³⁴⁴ question: ³⁴⁵

(8) How is the capacity to perform exact calculations performed in this desk 346 calculator and in humans? 347

This question is situated somewhere in the middle of the continuum presented in 348 Sect. 3.3. In effect, what we are asking for here is what two realizations of the 349 capacity of exact calculations have in common with each other. These comparative 350

⁹As the debate currently stands though, connectionist networks are considered to be highly idealized models too—but still more plausible than classic computationalist architectures.

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question-types are often motivated by unification: in revealing features that are $_{351}$ common to the operations of both types of systems, an answer to (8) brings $_{352}$ together information from multiple and diverse sources. And of course, an answer $_{353}$ to comparative question-types like (8) will typically take the form of a model— $_{354}$ precisely the kind of functional model introduced in Sect. 3.2. In the case of question $_{355}$ (8), this is especially clear, since any similarity between humans performing $_{356}$ complex calculations and desk calculators exercising the same capacity will not be $_{357}$ found in the entities, but will be confined solely to the domain of the operations. Yet, $_{358}$ despite its abstract nature, and *pace* **T**, such a model would clearly be of explanatory $_{359}$ value to those who are interested in the similarities between human and artificial $_{360}$ performances of exact calculation. $_{361}$

Again, all this does not tarnish the explanatory importance of mechanistic models 362 when it comes to explaining capacities as they are realized in particular systems. Of 363 course we need the models of, e.g., biological functions to be accurate, and not 364 only phenomenally adequate. It might even follow that for particular systems, this 365 accuracy is necessary for a model to have any explanatory power regarding that 366 capacity. What does not follow however, is that phenomenal and functional models 367 have no explanatory power in any context. Reiterating Dennett's point, asking about 368 capacities under fewer constraints can be a valuable research strategy. Ultimately, 369 how many constraints one takes into account is decided by one's interests: in the 370 case of performance, an interest typical of engineering contexts, these constraints 371 will surely be determined by practical considerations, but no (mpirical adequacy. 372) Nevertheless, this does not undermine the explanatory power or answers to such 373 questions. Hence, it seems that Craver's thesis T is false as it stands. However, 374 although strictly speaking correct, this conclusion should not be the main point to 375 take away from this discussion, if only for the fact that Craver and the mechanists 376 have a very different context in mind from some of the ones considered in this paper. 377 Of greater importance is the observation, borne out by the continuum sketched in 378 Sect. 3.3 and illustrated in this section, that the business of explaining capacities 379 by constructing models is far more diverse and dynamic than Craver suggests. This 380 more constructive conclusion might serve as a starting point to reformulate T in a 381 way that either restricts its scope, so that it applies only to those contexts which 382 Craver had in mind, or to drop the requirement of descriptive accuracy, so that it 383 does justice to the practice of explaining capacities by constructing models. 384

3.5 Some Concluding Remarks

Two final remarks are in order. First, although distinct, engineering and accuracy 386 interests are often present at the same time and can even be complementary. 387 This is especially the case when a model has to be constructed of a capacity at 388 which, unlike exact calculations, humans are particularly good. Face recognition for 389 example, is a capacity in which we excel, and many of the early artificial systems 390 badly underperformed compared to us, being sensitive to all kind of distortions 391

Author's Proof

(we already encountered the lighting problem, faces presented at angles is another 392 one) that human test persons just see right through. In such cases of course, an 393 engineer wanting to design such an artificial system has everything to gain by 394 first asking how the capacity is realized in us. The point is though, that even 395 here, accuracy is only a sub-goal. As soon as artificial systems are starting to 396 equal or outperform us, engineers will drop accuracy as a goal, as it no longer 397 serves the greater goal of performance.¹ (Thally, one may wonder whether the 398 capacities targeted by functional explanations in engineering contexts, such as the 399 one described in Sect. 3.4, are still properly called *cognitive* capacities. Can we 400 still talk of subtraction as a cognitive capacity when it is performed by a humble 401 desk calculator instead of a person? Here, one might point out that the engineering 402 sciences (artificial intelligence in particular) have a history of fruitful interaction 403 with the cognitive sciences. Artificial systems can help us understand our own 404 capacities, while knowledge of these may in turn lead engineers to improve the 405 performance of these systems. After all, the point made in this article is that accuracy 406 and explanatory power can, and in some cases do, operate separately from each 407 other, not that they always do so. 408

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¹⁰And in fact, with the example of face recognition systems we considered earlier, this is beginning to happen right now; see the results from the 2006 Face Recognition Vendor Test (available for download at: http://www.frvt.org/).



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AUTHOR QUERIES

Please advise shall we change "(ldots)" as "(...)" in the sentence starting σT "Faced with the practical...". Please check if inserted citation for "Fig. 3.2" is okay. Please check if updated publisher location for "Scriven (1962)" is okay.